

# Edge Augmentation Beyond Uncrossable Families

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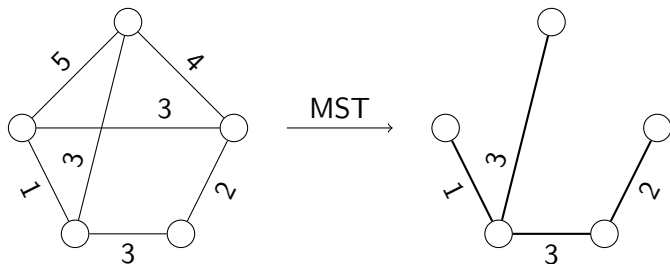
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# Network Design

*Given a network with edge costs, find a cheapest subgraph satisfying given connectivity requirements.*

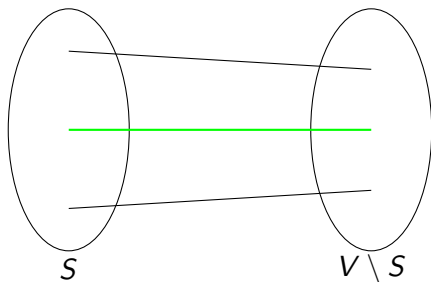
- Uniform edge connectivity,
- Survivable network design,
- Capacitated network design,
- Flexible graph connectivity...



## Edge Augmentation: A subroutine

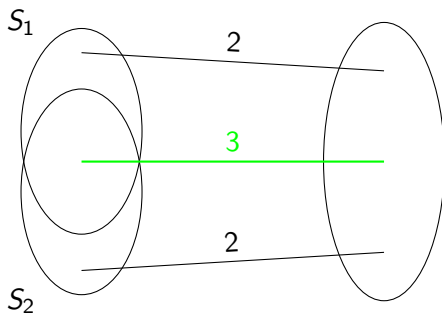
Given a family of cuts  $\mathcal{F}$ , find a cheapest subgraph that covers every cut  
i.e.  $F \cap \delta(S) \neq \emptyset$  for all  $S \in \mathcal{F}$ .

- Tree Augmentation,
- Cactus Augmentation,
- Steiner Tree Augmentation,
- Matching Augmentation...



## Edge augmentation needs structure

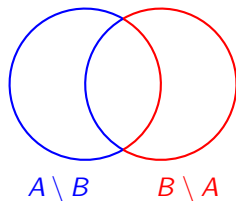
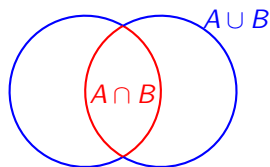
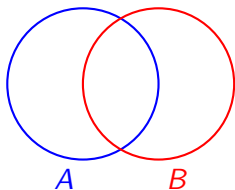
- Generalizes set cover.
- $O(\log |E|)$  hardness of approximation algorithm in general.
- Can do better if  $\mathcal{F}$  has structure.
- Williamson, Goemans, Mihail, Vazirani (WGMV) in 1995 considered families  $\mathcal{F}$  that are *uncrossable* and provided a 2-approximation algorithm.



# Uncrossable Families

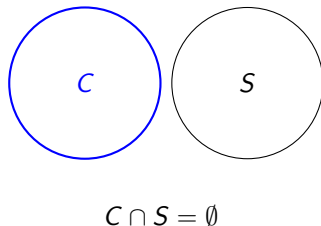
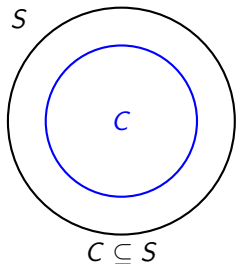
- A family of sets  $\mathcal{F} \subseteq 2^V$  is called *uncrossable* if

$$A, B \in \mathcal{F} \implies (A \cup B \in \mathcal{F} \text{ AND } A \cap B \in \mathcal{F}) \text{ OR} \\ (A \setminus B \in \mathcal{F} \text{ AND } B \setminus A \in \mathcal{F})$$



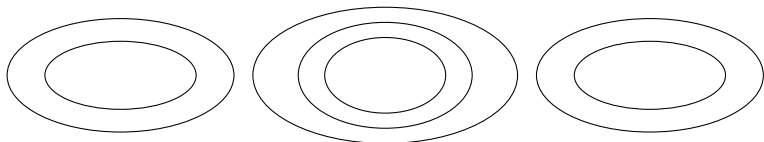
## Augmentation of Uncrossable Families

- Williamson et al. provided a 2-approximation primal-dual algorithm for augmenting uncrossable families.
- They observed and leveraged two key properties:
  - i) **Non-crossing minimal sets:** Any inclusion-wise minimal set in  $\mathcal{F}$  does not “cross” any other set in  $\mathcal{F}$ .



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- They observed and leveraged two key properties:
  - i) Non-crossing minimal sets
  - ii) **Dual laminarity:** There exists an optimal solution  $y^*$  to the dual linear program such that the sets  $S$  with  $y_S^* > 0$  form a laminar family (no pair of sets cross).



A Laminar Family

## Augmentation of Uncrossable Families

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  - i) Non-crossing minimal sets
  - ii) Dual Laminarity
- For many years, it was believed that uncrossability and dual laminarity are essential and almost all problems in network design with  $O(1)$ -approximations use uncrossability and/or dual laminarity.
- Challenging open question whether  $O(1)$ -approximations can be obtained for families that are not uncrossable.



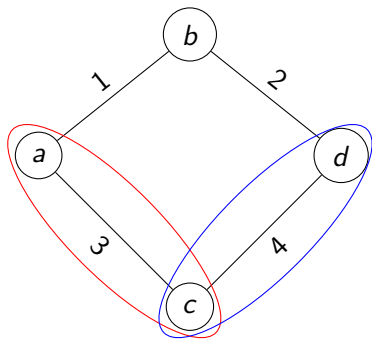
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## Generalizing Uncrossable Families

- There exist interesting and naturally arising families of cuts that are not captured by uncrossable families.
- For example near min-cuts: For a network with capacities on the edges and a threshold  $\alpha \geq 0$ , the family of cuts with total capacity at most  $\alpha$  is not uncrossable.

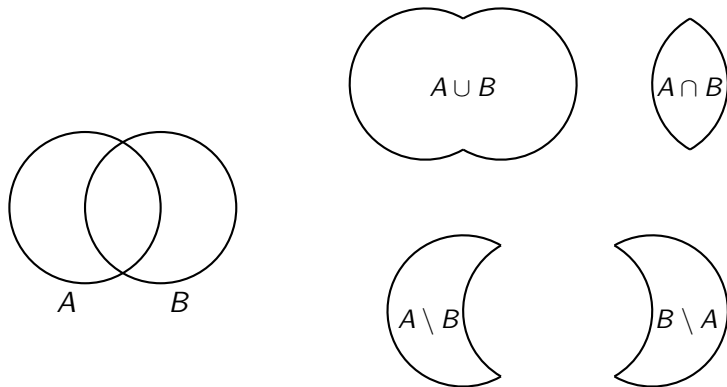


$$\alpha = 5$$

## Pliable Families

A family of sets  $\mathcal{F}$  is called *pliable* if

$A, B \in \mathcal{F}$  implies at least two of the four sets  
 $\{A \cup B, A \cap B, A \setminus B, B \setminus A\}$  also lie in  $\mathcal{F}$



# Primal-Dual Method for Edge Augmentation

## Primal LP

$$\min \sum_{e \in E} c_e x_e$$

$$\text{subject to: } \sum_{e \in \delta(S)} x_e \geq 1 \quad \forall S \in \mathcal{F}$$

$$x_e \geq 0$$

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## Dual LP

$$\max \sum_{S \in \mathcal{F}} y_S$$

$$\text{subject to: } \sum_{S \in \mathcal{F}: e \in \delta(S)} y_S \leq c_e \quad \forall e \in E$$

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# Primal-Dual Method for Edge Augmentation

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**Phase 1:** Starting from the empty set of edges,

- Increase uniformly the dual variables corresponding to the minimal sets of  $\mathcal{F}$ ,
- Add edges to solution when dual constraint becomes tight,
- Repeat until feasible.

# Primal-Dual Method for Edge Augmentation

$$\begin{array}{ll} \min & \text{Primal LP} \\ & \sum_{e \in E} c_e x_e \\ \text{subject to:} & \sum_{e \in \delta(S)} x_e \geq 1 \quad \forall S \in \mathcal{F} \\ & x_e \geq 0 \end{array}$$

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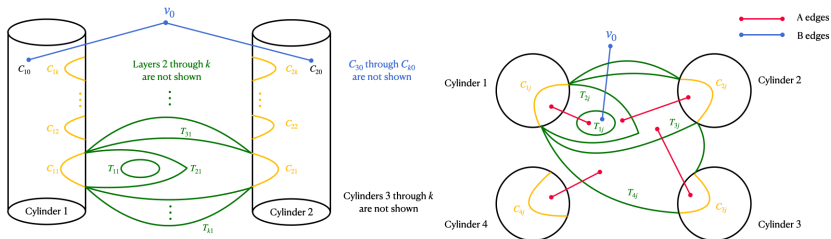
**Phase 1:** Starting from the empty set of edges,

- Increase uniformly the dual variables corresponding to the minimal sets of  $\mathcal{F}$ ,
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- Repeat until feasible.

**Phase 2:** In reverse order of edge additions, delete edges that are not required.

# Primal-Dual Method for Pliable Families

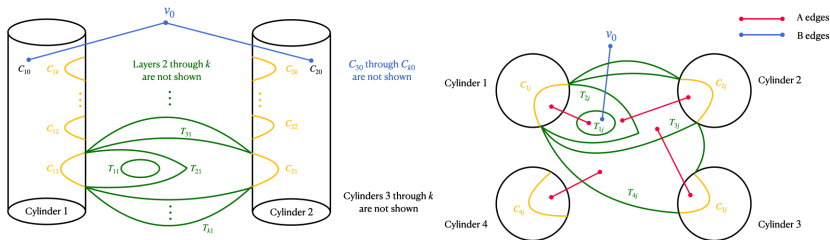
- Does the primal-dual method for edge augmentation work for pliable families? **No**
- We show a counterexample where the primal-dual method provides a solution that is a factor  $\Omega(\sqrt{|V|})$  worse than the optimal solution.
- A major issue seems to be that minimal sets of  $\mathcal{F}$  start to cross other sets in  $\mathcal{F}$ .





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- A major issue seems to be that minimal sets of  $\mathcal{F}$  start to cross other sets in  $\mathcal{F}$ .

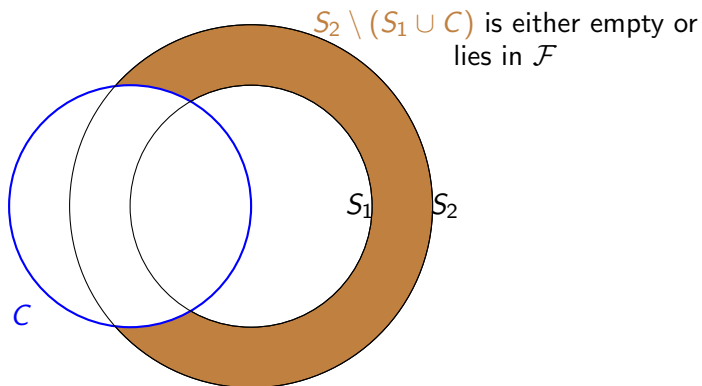


- Turns out pliable families that we know of (like near min-cuts) have an additional property that can be leveraged.

## Property Gamma

*The number of crossings between minimal sets of  $\mathcal{F}$  and other sets of  $\mathcal{F}$  is proportional to the total number of minimal sets of  $\mathcal{F}$ .*

More formally,



## Pliable Families with Property Gamma

Theorem (B., Cheriyan, Grout, Ibrahimpur)

*The primal-dual algorithm for edge augmentation is a 16-approximation algorithm on pliable families satisfying property gamma.*

- Pliable families satisfying property gamma need not have the two key properties that are typically used to obtain  $O(1)$ -approximation algorithms for network design problems:
  - i) Non-crossing minimal sets.
  - ii) Dual laminarity.

## Proof Sketch

- Goal: In every iteration of the primal-dual, the average degree of minimal sets is bounded in our final solution  $F$ .

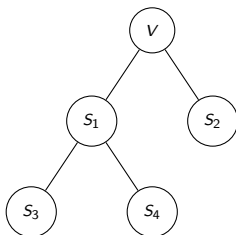
$$\begin{array}{ll} \text{Primal LP} & \\ \min & \sum_{e \in E} c_e x_e \\ \text{subject to:} & \sum_{e \in \delta(S)} x_e \geq 1 \quad \forall S \in \mathcal{F} \\ & x_e \geq 0 \end{array}$$

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## Witness Sets

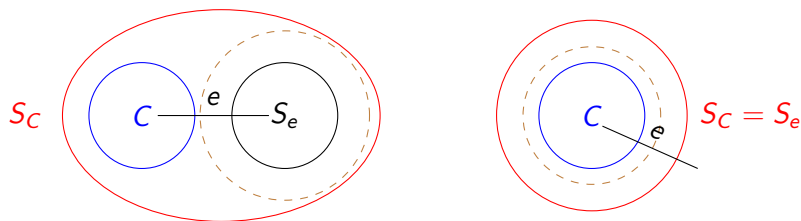
For every edge  $e$  incident to a minimal set, assign a witness set  $S_e$  such that

- $S_e \in \mathcal{F}$
- $\delta(S_e) \cap F = \{e\}$
- The family of witness sets  $\{S_e\}$  is laminar



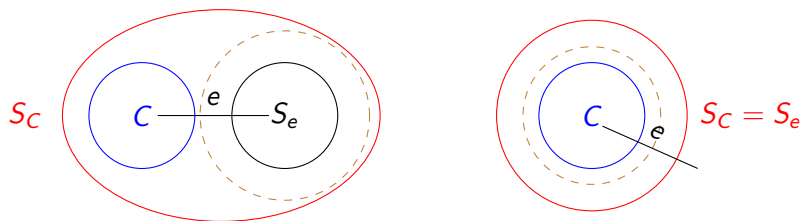
## Bounding Average Degree: Uncrossable Case

- To every minimal set  $C$ , assign the smallest witness set  $S_C$  that contains it.
- For an edge  $e \in \delta(C)$ , the witness set  $S_e$  is either  $S_C$  or a child of  $S_C$ .



## Bounding Average Degree: Uncrossable Case

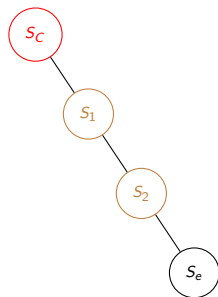
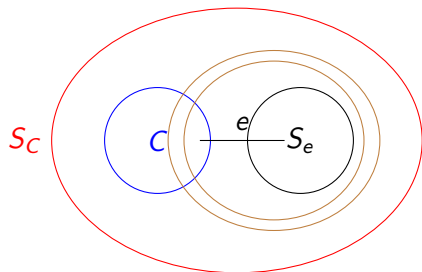
- To every minimal set  $C$ , assign the smallest witness set  $S_C$  that contains it.
- For an edge  $e \in \delta(C)$ , the witness set  $S_e$  is either  $S_C$  or a child of  $S_C$ .



- The degree of  $C$  is paid for by the degree of  $S_C$  in the witness tree.
- Handshaking lemma shows that the average degree of minimal sets is at most 2.

## Bounding Average Degree: Pliable Case

- For an edge  $e \in \delta(C)$ , the witness set  $S_e$  could be a distant descendant of  $S_C$ .

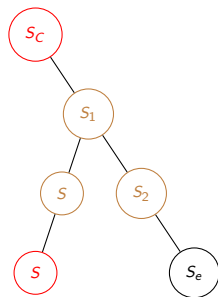
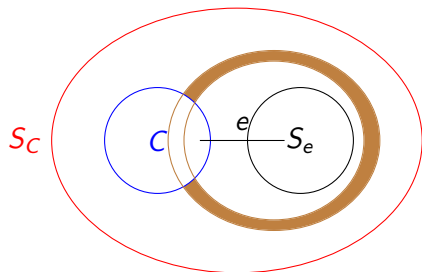


- Hence, primal-dual does not work for pliable families in general.



## Bounding Average Degree: Property Gamma

- For an edge  $e \in \delta(C)$ , the witness set  $S_e$  could be a distant descendant of  $S_C$ .



- Property gamma ensures that the shaded region contains a minimal set.
- This minimal set can 'pay' for the degree of set  $C$ .

## Applications: Flexible Graph Connectivity

- Introduced by Adjiashvili, Hommelsheim, Mühlenhaller (2022).
- Given a graph with edge costs and a partition of the edge set into safe and unsafe edges, find a cheapest  $p$ -edge connected subgraph tolerant to  $q$  unsafe edge failures.
- When  $q = 2$ ,
  - i) Boyd, Cheriyan, Haddadan, Ibrahimpur (2023) showed an  $O(\log |V|)$  approximation algorithm (essentially set cover).
  - ii) Chekuri, Jain (2023) showed an  $O(p)$  approximation algorithm (problem splits into augmenting  $p$  uncrossable families)

### Theorem (B., Cheriyan, Grout, Ibrahimpur)

*The  $(p, 2)$ -flexible graph connectivity problem admits a 20-approximation algorithm.*

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### Theorem (B., Cheriyan, Grout, Ibrahimpur)

*The  $(p, 2)$ -flexible graph connectivity problem admits a 20-approximation algorithm.*

- Nutov (2023) improved the factor to  $7 + \epsilon$ .
- We showed that a constant factor can be obtained for  $(p, 3)$ -flexible graph connectivity as well.

## Applications: Capacitated Edge Connectivity

### Theorem (B., Cheriyan, Grout, Ibrahimpur)

*The problem of augmenting near-min cuts of a graph (with arbitrary thresholds) admits a 16-approximation algorithm.*

- Given a graph with edge costs and capacities, find a cheapest  $k$ -edge connected subgraph (with capacities).
- Goemans et al. (1994) provided a  $2k$ -approximation algorithm.
- Boyd et al. (2023) provided a  $k$ -approximation algorithm.

### Theorem (B., Cheriyan, Grout, Ibrahimpur)

*The capacitated edge connectivity problem admits an  $O(k/u_{min})$  approximation algorithm where  $u_{min}$  is the minimum capacity of an edge.*

## Takeaways and Open Questions

- The folklore belief for around 28 years that uncrossability is essential for primal-dual algorithms to work in the context of network design is not true!
- Constant factor approximations can be obtained for network design problems even when laminar supported dual optimal solutions do not exist!

## Takeaways and Open Questions

- The folklore belief for around 28 years that uncrossability is essential for primal-dual algorithms to work in the context of network design is not true!
- Constant factor approximations can be obtained for network design problems even when laminar supported dual optimal solutions do not exist!
- Can we get rid of property gamma using techniques other than primal dual?
- Is there an exact characterization of a property that is necessary and sufficient for primal-dual to work on pliable families?
- Can we cover a general pliable family using  $O(1)$  pliable families satisfying property gamma?