# Spread Complexity and Topological Transitions in the Kitaev Chain 

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based on [2208.05520] with P Caputa, N Gupta, J Murugan, S Shajidul Haque, S Liu

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## Talk Layout

(1) Background
(2) Spread Complexity
(3) Kitaev Chain
4) Outlook

## Complexity

- Central question: How hard is it to synthesize a desired target state with the gates at your disposal?
- Need, $\left|\phi_{r}\right\rangle,\left|\phi_{t}\right\rangle,\left\{U_{1}, U_{2}, \cdots, U_{n}\right\}, g\left(U_{1}, U_{2}, \cdots, U_{n}\right)$


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- E.g. $U_{1} U_{2} U_{1} U_{3}\left(U_{1}\right)^{3} U_{2}\left|\phi_{r}\right\rangle=U_{3} U_{1} U_{2} U_{1} U_{3}\left(U_{1}\right)^{3} U_{2} U_{3}\left|\phi_{r}\right\rangle$, "complexity $=8$ "


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- Discrete notion of complexity closely related to quantum computational setups
- We will, however, be interested in a continuous notion of complexity


## Nielsen Complexity

- Accessible gates are taken to be from some symmetry group [Nielsen, quant-ph/0502070]
- E.g. $S U(2)$ : Gates $U=e^{i\left(s_{1} J_{1}+s_{2} J_{2}+s_{3} J_{3}\right)}$
- Target states: $\left|\phi_{t}\left(s_{1}, s_{2}, \ldots, s_{n}\right)\right\rangle=U\left(s_{1}, \cdots, s_{n}\right)\left|\phi_{r}\right\rangle$


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- We have a manifold of target states on which one can define a metric
- Complexity $=$ shortest distance connecting points
- Can introduce a circuit parameter $s_{i}=s_{i}(\sigma)$


## Nielsen Complexity

- Two examples of metrics
- $F_{1}$ cost function: $\left.\mathcal{F}_{1} d \sigma=\left|\left\langle\phi_{r}\right| U^{\dagger} d U\right| \phi_{r}\right\rangle \mid$


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- $d s_{F S}^{2}=\left\langle\phi_{r}\right| d U^{\dagger} d U\left|\phi_{r}\right\rangle-\left\langle\phi_{r}\right| d U^{\dagger} U\left|\phi_{r}\right\rangle \mid\left\langle\phi_{r}\right| U^{\dagger} d U\left|\phi_{r}\right\rangle$
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- Group symmetries are encoded as metric isometries
- $\mathcal{F}_{1}: \quad F_{i}=\left.\partial_{i}\left(\left\langle\phi_{t}\left(s_{1}^{\prime}, s_{2}^{\prime}, \cdots, s_{n}^{\prime}\right) \mid \phi_{t}\left(s_{1}, s_{2}, \ldots, s_{n}\right)\right\rangle\right)\right|_{s^{\prime}=s}$
- FS metric:

$$
g_{i j}=\left.\partial_{i} \partial_{j}^{\prime} \log \left(\left\langle\phi_{t}\left(s_{1}^{\prime}, s_{2}^{\prime}, \cdots, s_{n}^{\prime}\right) \mid \phi_{t}\left(s_{1}, s_{2}, \ldots, s_{n}\right)\right\rangle\right)\right|_{s^{\prime}=s}
$$

## Nielsen Complexity

- The overlap $\left\langle\phi_{r}\right| U^{\dagger}\left(s^{\prime}\right) U(s)\left|\phi_{r}\right\rangle$ is thus a key quantity
- The states $U(s)\left|\phi_{r}\right\rangle$ are generalized coherent states [Perelomov, 1972]


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- Stability subgroup $H \subset G$ such that $U_{h}\left|\phi_{r}\right\rangle=e^{i \phi_{h}}\left|\phi_{r}\right\rangle$
- Manifold of states $\Leftrightarrow$ group elements of $G / H$


## Spread Complexity

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- A notion of complexity without the need to specify gates
- Given a Hamiltonian and reference state one first builds the basis $\left|O_{n}\right\rangle=H^{n}\left|\phi_{r}\right\rangle$
- From a Gram-Schmidt process one then obtains the Krylov basis $\left|K_{n}\right\rangle$
- The K-complexity of a state (or spread complexity) is then given by $C_{K}=\sum_{n} n\left\langle\phi_{t} \mid K_{n}\right\rangle\left\langle K_{n} \mid \phi_{t}\right\rangle \equiv\left\langle\phi_{t}\right| \hat{K}\left|\phi_{t}\right\rangle$
- The Krylov basis provides an ordered basis for the Hilbert space of target states


## Spread Complexity

- Given some basis for the Hilbert space of target space in increasing complexity $\left|B_{n}\right\rangle$
- We can define complexity as $C=\sum_{n} c_{n}\left\langle\phi_{t} \mid B_{n}\right\rangle\left\langle B_{n} \mid \phi_{t}\right\rangle$
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- With $c_{n}$ strictly increasing
- The choice $\left|B_{n}\right\rangle=\left|K_{n}\right\rangle$ minimises the complexity of the time-evolved reference state
[Balasubramanian, Caputa, Magan, Wu, arXiv:2202.06957]


## Some Comments

- Complexity is an ambiguous quantity - can likely be a proxy for many physical quantities
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- Could average over different choices
- Are there features that can be expected to be robust?


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- Spread complexity is dependent on the choice of reference state - this may be unsatisfactory
- Could average over different choices
- Are there features that can be expected to be robust?
- Topological phase transitions appear to be such a feature [Caputa, Liu, arXiv:2205.05688], [Caputa, Gupta, Murugan, Haque, Liu, HJRvZ, arXiv:2208.06311]


## Low rank algebras

- Fully analytic results can be obtained for $s u(1,1), s u(2)$, Heisenberg-Weyl [Caputa, Magan, Patramanis, arxiv:2109.03824]
- $L_{+}=L_{-}^{\dagger} \quad ; \quad\left[\left[L_{-}, L_{+}\right], L_{ \pm}\right]= \pm 2 f L_{ \pm}$
- Highest weight state $L_{-}|w\rangle=0,\left[L_{-}, L_{+}\right]|w\rangle=w_{0}|w\rangle$
- An arbitrary group element action may be written as $e^{i\left(a_{+} L_{+}+a_{+}^{*} L_{-}+a_{0}\left[L_{-}, L_{+}\right]\right)}|w\rangle=N e^{z L_{+}}|w\rangle$
- The manifold of target states is a two-dimensional manifold $\Leftrightarrow$ elements of $G /\left(\left[L_{-}, L_{+}\right]\right)$


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- The manifold of target states is a two-dimensional manifold $\Leftrightarrow$ elements of $G /\left(\left[L_{-}, L_{+}\right]\right)$
- Krylov basis $\left|K_{n}\right\rangle=\frac{\left(L_{+}\right)^{n}|w\rangle}{\sqrt{\langle w|\left(L_{-}\right)^{n}\left(L_{+}\right)^{n}|w\rangle}}$
- Spread complexity $C=z \partial_{z} \log \langle w| e^{\bar{L} L_{-}} e^{z L_{+}}|w\rangle$


## Low rank algebras

- Can do a little better than this
- If the Krylov basis is known for $H,\left|\phi_{r}\right\rangle$ then the Krylov basis for $U H U^{\dagger}, U\left|\phi_{r}\right\rangle$ is given by $\left|K_{n}\right\rangle \rightarrow U\left|K_{n}\right\rangle$
- This is particularly useful for the low-rank algebras, since the Krylov basis is rather insensitive to the choice of $H$
- Spread complexity $C=z^{\prime} \partial_{z^{\prime}} \log \langle w| e^{\bar{z}^{\prime} L_{-}} e^{z^{\prime} L_{+}}|w\rangle$


## Tensor Products

- Suppose we have a Hamiltonian $H=\sum_{i} H_{i}$ with $\left[H_{i}, H_{j}\right]=0$
- Krylov basis, by definition, is the ordered orthonormal basis obtained from $\left.\mid O_{n}\right)=H^{n}\left|\phi_{r, 1}, \phi_{r, 2} \cdots\right\rangle$


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- For many spin $\frac{1}{2} S U(2)$ tensor products they are equal


## Kitaev Chain

- A model of Dirac fermions on an L-site lattice [Kitaev, 2001]
- $H=$

$$
\sum_{j=1}^{L}\left[-\frac{J}{2}\left(c_{j}^{\dagger} c_{j+1}+c_{j+1}^{\dagger} c_{j}\right)-\mu\left(c_{j}^{\dagger} c_{j}-\frac{1}{2}\right)+\frac{1}{2}\left(\Delta c_{j}^{\dagger} c_{j+1}^{\dagger}+\Delta^{*} c_{j+1} c_{j}\right)\right]
$$

- Hopping amplitude $J$, chemical potential $\mu$ and superconducting pairing strength $\Delta$
- $c_{j}$ 's can be redefined to always produce a real $\Delta$
- Topological phase transition occurs at $|J|=|\mu|$, gapless for $|\mu|<|J|$


## Kitaev Chain

- $c_{j}=\frac{1}{\sqrt{L}} \sum_{n} e^{i k_{n} j} a_{k_{n}}$


## Kitaev Chain

- $c_{j}=\frac{1}{\sqrt{L}} \sum_{n} e^{i k_{n} j} a_{k_{n}}$
- $H=$
$-\sum_{k_{n}>0}\left[2\left(\mu+J \cos \left(k_{n}\right)\right) J_{0}^{\left(k_{n}\right)}-i \Delta \sin \left(k_{n}\right)\left(J_{+}^{\left(k_{n}\right)}-J_{-}^{\left(k_{n}\right)}\right)\right]$
- $J_{0}^{\left(k_{n}\right)}=\frac{1}{2}\left(a_{k_{n}}^{\dagger} a_{k_{n}}-a_{-k_{n}} a_{-k_{n}}^{\dagger}\right) J_{+}^{\left(k_{n}\right)}=a_{k_{n}}^{\dagger} a_{-k_{n}}^{\dagger} J_{-}^{\left(k_{n}\right)}=a_{-k_{n}} a_{k_{n}}$
- Spin- $\frac{1}{2}$ representation of $s u(2)$
$\bullet\left[J_{0}^{\left(k_{n}\right)}, J_{ \pm}^{\left(k_{n}\right)}\right]= \pm J_{ \pm}^{\left(k_{n}\right)} \quad\left[J_{+}^{\left(k_{n}\right)}, J_{-}^{\left(k_{n}\right)}\right]=2 J_{0}^{\left(k_{n}\right)}$


## Kitaev Chain

- Eigenstates can be written as $S U(2)$ coherent states - Krylov complexity for simple groups such as $S U(2)$ is well understood
- To determine the Krylov basis we need to specify a reference state (i.e. the zero complexity state)
- Natural choices include the lowest energy state when $\Delta \rightarrow 0$ or $J, \mu \rightarrow 0$ as well as the fermion vacuum
- In principle the Krylov basis needs to be recomputed for all these choices. Here they are related by a unitary transformation


## Reference States

- Our circuits will connect these different choices of reference state $(s=0)$ to the Kitaev chain ground state $(s=1)$
- Reference state 1 :

$$
\left|\Omega_{k}(s=0)\right\rangle=e^{-i \frac{\pi}{2} \theta(\mu+J \cos (k))\left(J_{+}^{(k)}+J_{-}^{(k)}\right)}\left|\frac{1}{2},-\frac{1}{2}\right\rangle_{k}
$$

- Reference state 2 :

$$
\left|\Omega_{k}(s=0)\right\rangle=e^{-i \frac{\pi}{4}\left(J_{+}^{(k)}+J_{-}^{(k)}\right)}\left|\frac{1}{2},-\frac{1}{2}\right\rangle_{k}
$$

- Reference state 3:

$$
\left|\Omega_{k}(s=0)\right\rangle=\left|\frac{1}{2},-\frac{1}{2}\right\rangle_{k}
$$

## Target State

- Ground state $\left|\Omega_{k}(s=1)\right\rangle=\prod_{k} \sin \left|\phi_{k}\right| e^{-i \cot \phi_{k} J_{+}^{(k)}\left|\frac{1}{2},-\frac{1}{2}\right\rangle_{k}}$
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- Can readily cast the above in the form
$\left|\Omega_{k}(s=1)\right\rangle=U(s)\left|\frac{1}{2},-\frac{1}{2}\right\rangle_{k}=e^{z(s) J_{+}^{(k)}}\left|\frac{1}{2},-\frac{1}{2}\right\rangle_{k}$
- $C_{k}(s)=z \partial_{z} \log k\left\langle\frac{1}{2},-\frac{1}{2}\right| e^{\bar{z}(s) J_{-}^{(k)}} e^{z(s) J_{+}^{(k)}}\left|\frac{1}{2},-\frac{1}{2}\right\rangle_{k}$
- $C(J, \mu, \Delta)=\frac{1}{L} \sum_{n>0} C_{k_{n}} \rightarrow \frac{1}{\pi} \int_{0}^{\pi} d k C_{k}$


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- $C(J, \mu, \Delta)=\frac{1}{L} \sum_{n>0} C_{k_{n}} \rightarrow \frac{1}{\pi} \int_{0}^{\pi} d k C_{k}$
- Will set $J=1$


## Circuit 1



- Complexity takes a $\Delta$-dependent constant value in the topological phase


## Circuit 1



- $\mu=1.1,1.02,0.98$. A discontinuity develops when $|\mu|<1$


## Circuit 2



- Complexity takes a $\Delta$-dependent constant value in the topological phase


## Circuit 3



- Complexity asymptotes between 0 and 1 , the expected values


## Circuit 3



- Derivative diverges as the topological phase transition is crossed


## Outlook

- Spread Complexity is sensitive to the topological phase transition in the Kitaev chain see also [Caputa, Liu, arXiv:2205.05688]
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- This appears to be a rather robust feature
- Which choices of reference state exhibit the plateau feature? Presumably related to symmetries...
- What are the effects of twisted boundary conditions? Gauging the model?
- In general, what features of quantum many-body systems can be probed with spread complexity


## Thank you for your attention!

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