

# Recent developments in singularity formation of nonlinear waves.

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# Bubbling off dynamics

- Consider an evolution equation of either wave or Schrodinger type

$$\begin{aligned} -u_{tt} + \Delta u &= F(u, \nabla_{t,x} u) \\ iu_t + \Delta u &= G(u) \end{aligned}$$

We are interested in solutions of a 'bubbling type' of essentially the following form

$$u(t, x) = \lambda^\alpha(t) Q(\lambda(t)x) + \epsilon(t, x)$$

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- Usually the bulk profile  $Q(x)$  is either a stationary or even static solution of the problem.
- Smoothness of solution before blow up : only require smoothness  $H^s$ -class in which problem is strongly locally well-posed, i. e. not just  $C^\infty$ -data.

# $L^2$ -Critical NLS

- $L^2$ -critical NLS, for example in one spatial dimension given by

$$iu_t + u_{xx} = -|u|^4 u,$$

admits static solution  $Q(x) = \frac{(\frac{3}{2})^{\frac{1}{4}}}{\cosh^{\frac{1}{2}}(\frac{x}{2})}$ . Application of suitable **pseudo-conformal** transformation leads to

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- Application of inherent symmetry leads to a very rigid blow up type (precisely one blow up rate).
- Most 'natural problems' don't admit such inherent algebraic symmetries to infer bubbling off blow up. Nonetheless, the latter is quite ubiquitous.

# Models for Bubbling off dynamics

- Key examples which are typically Hamiltonian and also critical :

Critical Wave Maps : 
$$-u_{tt} + u_{rr} + \frac{1}{r}u_r = \frac{\sin 2u}{2r^2}$$

Critical focussing NLW on  $\mathbf{R}^{3+1}$  : 
$$-u_{tt} + \Delta u = -u^5$$

Critical Yang-Mills : 
$$-u_{tt} + \Delta u = -\frac{2}{r^2}u(1-u^2)$$

critical Schrodinger Maps : 
$$u_t = u \times \Delta u$$

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- Outlier example :

Hyperbolic Vanishing mean curvature flow :

$$\sum_{\alpha=0}^n \partial_\alpha \left( \frac{\partial^\alpha u}{\sqrt{1 + \partial_\alpha u \partial^\alpha u}} \right) = 0, \quad n = 8. \quad (1)$$

# Bubbling off blow up for WM I

- Specific example : **co-rotational critical Wave Maps**

$$\phi : \mathbf{R}^{2+1} \longrightarrow S^2 :$$

$$-\phi_{tt} + \Delta\phi = \phi(|\phi_t|^2 - |\nabla_x\phi|^2), \phi \in S^2 \hookrightarrow \mathbf{R}^3.$$

$$\phi(t, x) = \begin{pmatrix} \cos\theta \sin u \\ \sin\theta \sin u \\ \cos u \end{pmatrix}, u = u(t, r) \quad r = |x|.$$

implies the equation

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- Model admits non-trivial finite energy static solution  
 $Q(r) = 2 \arctan r$ , corresponding to stereographic projection.

# Bubbling off blow up for WM II

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- Raphael-Rodnianski('09) approach : exhibits open data set within sufficiently smooth class of (co-rotational) data resulting in solutions of the form

$$u(t, r) = Q(\lambda(t)r) + \epsilon(t, r), \quad \lambda(t) = (T - t)^{-1} e^{\sqrt{\log(T-t)}}.$$

The result implies the same blow up rate for an open data set, but the topology is important. The following appears a natural conjecture :

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- One may also conjecture quantized set of blow up rates corresponding to sufficiently smooth data and unstable blow up.

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**Theorem**(Donninger'16) : The ODE blow up solutions are stable under radial  $H^1$ -perturbations

- This is the strongest stability statement one can hope for since  $H^1$  is the largest natural space in which the problem is locally well-posed.

# The phenomenon of a continuum of blow up rates

- Back to co-rotational critical Wave Maps into  $S^2$ , another approach for finite time bubbling off blow up due to [K.-Schlag-Tataru\('06\)](#) : exhibits solutions of the form

$$u(t, r) = Q(\lambda(t)r) + \epsilon(t, r), \quad \lambda(t) = t^{-1-\nu}, \quad \epsilon \in C^{\nu+\frac{1}{2}-} \cap H^{1+\nu-}.$$

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- **Two peculiar features** : (1) **continuum** of blow up rates. (2) The solutions are only of **finite regularity**, depending on the blow up rate.
- More precisely, the solutions are of class  $C^\infty$  in the inside of light cone  $|x| < |t|$  centered at singularity, but experience a **shock** on the light cone  $|x| = |t|$ .

# Remarks on KST('06) construction

- There are two key steps in the construction : (1) construction of an **approximate solution**  $u_{approx}$ . Here the shock on light cone already manifests itself. (2) Completion of approximate solution to an exact one via **spectral methods**.

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- In the **wave region** introduce  $a = \frac{r}{t}$ , and write

$$u_{approx} = \sum_{j \geq 0} t^{\nu j} g_j(R, a)$$

where the  $g_j$  admit suitable Puiseux type expansion in  $a$  reflecting the shock across the light cone. □



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- By analyzing the approximate solution in far region, Perelman can extract the leading radiation part that is left over at the singularity formation.

# A further natural candidate which blends wave and Schrodinger

- The critical Zakharov system on  $\mathbf{R}^{4+1}$  :

$$i\partial_t u + \Delta u = -nu,$$

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- Conjecture** : Zakharov admits a finite time bubbling off blow up where

$$u(t, x) = e^{i\alpha(t)} \lambda(t) W(\lambda(t)x) + \zeta(t, x), \quad \lambda(t) = t^{-\frac{1}{2}-\nu},$$

and  $\nu > \nu_* > 0$



# How to get a coherent picture of all these dynamics?

- The issue of **stability** : the following appears reasonable but non-trivial since due to a nonlinear instability : **Conjecture** : A KST type blow up solution with  $\lambda(t) = t^{-1-\nu}$  and  $\nu$  large is unstable, but stable along a manifold of finite co-dimension in a sufficiently smooth class of perturbations.

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- Recall that these solutions experience **shock across light cone** of the form  $(1 - a)^{\frac{1}{2}+\nu} \log(1 - a)$ ,  $a = \frac{r}{|t|}$ .

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- Recall that these solutions experience **shock across light cone** of the form  $(1 - a)^{\frac{1}{2}+\nu} \log(1 - a)$ ,  $a = \frac{r}{|t|}$ .
- Displacing this shock 'costs a lot', i. e. requires a rough perturbation of the data. Hence natural to consider smooth perturbations which 'cannot displace' the shock.

Stability of KST blow up for critical WM into  $S^2$ 

- **Theorem**(K.-Miao '19) The KST finite time blow up solutions for critical co-rotational wave maps  $u : \mathbf{R}^{2+1} \rightarrow S^2$  are stable under sufficiently smooth and small *co-rotational* perturbations, provided  $\nu > 0$  is sufficiently small. More precisely if  $\nu > 0$  is sufficiently small and  $u_\nu(t, x)$  a KST blow up solution with  $\lambda(t) = t^{-1-\nu}$ , constructed on some interval  $[t_0, 0)$ , and if  $(\epsilon_0, \epsilon_1)$  is sufficiently small in the  $H^4 \times H^3$ -norm, then the data

$$u_\nu[t_0] + (\epsilon_0, \epsilon_1)$$

lead to a finite time blow up solution of the form

$$u(t, r) = Q(\lambda(t)r) + \epsilon(t, r)$$

with  $\epsilon \in H^{1+\nu-}$ . In particular, the perturbed solution blows up in the same space-time location (*rigidity of blow up*).

# Comments on result

- One key difficulty in proof has to do with the low regularity (just  $H^{1+}$ ) of the solution  $u_\nu$  being perturbed. On the other hand, the **low regularity** is solely linked to the shock along the light cone.

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- Remarkable feature of co-rotational reduction : **no derivatives in nonlinearity** :

$$\square u = \frac{\sin 2u}{2r^2} \text{ versus } \square u = u(|u_t|^2 - |\nabla_x u|^2).$$

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- Remarkable feature of co-rotational reduction : **no derivatives in nonlinearity** :

$$\square u = \frac{\sin 2u}{2r^2} \text{ versus } \square u = u(|u_t|^2 - |\nabla_x u|^2).$$

- Applying Duhamel parametrized to source term  $\frac{\sin 2u}{2r^2}$  leads to terms of regularity  $H^{2+}$ , which gives a key **boost in regularity**.



# Stability of KST blow up under general (non-equivariant) perturbations I

- Up until recently, the stability of either the KST type blow up or the Raphael-Rodnianski blow up for the co-rotational critical Wave Maps into  $S^2$  and under *generic, non-equivariant* perturbations has been completely open. In fact, for the (Ra-Ro) solutions it is conjectured that they are **unstable**.

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- For the KST blow up  $u_\nu$  at low  $\nu$  (where  $\lambda(t) = t^{-1-\nu}$  and solutions are at regularity  $H^{1+\nu-}$ ), even if one uses very smooth perturbations  $(\epsilon_0, \epsilon_1)$  of the data, the interactions of  $u_\nu$  with perturbation in the nonlinearity

$$u(|u_t|^2 - |\nabla u|^2)$$

lead to terms of same regularity as  $u_\nu$ . **Modulations needed**, *but only of the kind preserving the locus of the shock.*

# Stability of KST blow up under general (non-equivariant) perturbations II

- **Theorem**(K.-Miao-Schlag '20) The KST finite time blow up solutions for critical co-rotational wave maps  $u : \mathbf{R}^{2+1} \rightarrow S^2$  are stable under sufficiently smooth and small *generic* perturbations, provided  $\nu > 0$  is sufficiently small. The perturbed solutions are of the form

$$u(t, x) = \mathcal{R}_{h(t)}^{\alpha(t), \beta(t)} \mathcal{L}_{\nu(t)} \mathcal{S}_{c(t)} (Q(\lambda(t)r) + \epsilon(t, x)).$$

where  $\mathcal{R}_{h(t)}^{\alpha(t), \beta(t)}$  represents a suitable combination of rotations on the target in terms of Euler angles,  $\mathcal{L}_{\nu(t)}$  a suitable Lorentz transform, and  $\mathcal{S}_{c(t)}$  a suitable scaling transformation.

# Stability of KST blow up under general (non-equivariant) perturbations III

- Key aspects of this work : non-equivariant setting forces one to work in suitable frame for tangent bundle :

$$\begin{pmatrix} \cos \theta \cos U \\ \sin \theta \cos U \\ -\sin U \end{pmatrix}, \begin{pmatrix} -\sin \theta \\ \cos \theta \\ 0 \end{pmatrix}$$

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- If we write  $\phi_1 E_1 + \phi_2 E_2$  for the tangential part of perturbation, then.  $\phi_1 \pm i\phi_2$  can be decomposed into Fourier series with respect to  $\theta$ , resulting in

$$\epsilon_{\pm}(n) = \phi_1(n) \pm i\phi_2(n).$$

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- Schrodinger operators

$$H_n^{\pm} = \partial_{RR} + \frac{1}{R} \partial_R - f_n(R) \pm g_n(R), \quad f_n = \frac{n^2 + 1}{R^2} - \frac{8}{(1 + R^2)^2}$$

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- 'Semiclassical variable'  $h = \frac{1}{|n|+1}$ ,  $\alpha = h \cdot E$ ,

$$\phi_n(R; \xi) = h^{\frac{1}{3}} \alpha^{-\frac{1}{2}} q^{-\frac{1}{4}}(\tau) \text{Ai}(h^{-\frac{2}{3}} \tau) (1 + ha_0(-\tau, \alpha, h)).$$



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- For each mode  $n$ , one tries to mimic the estimates in the co-rotational case (as in K.-Miao).
- The symmetries of the problem lead to certain **algebraic instabilities** which manifest in the Fourier modes  $n = 0, \pm 1$ . This is where the modulations are being used.

# Outlook : classification in terms of radiation at blow up time ?

- Recent(2019) work by [Jendrej-Lawrie-Rodriguez](#) : write co-rotational WM blow up solution as

$$u(t, r) = Q(\lambda(t)r) + u_*(r) + g(t)$$

where  $\lim_{t \rightarrow 0} g(t) = 0$ . Then if

$$u_*(r) = qr^\nu + o(r^\nu), \nu > \frac{9}{2},$$

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- KST solutions have similar radiation part (but also with  $\nu > 0$  very small). Probably [similar classification](#) ?
- How about more general radiation part asymptotics near  $r = 0$ . [More exotic blow up rates](#) ?

# Outlook : multibubble solutions ; how much freedom ?

- Precise characterization of **two bubble solutions** for equivariant wave maps and under a minimal energy condition (threshold blow up) by Jendrej-Lawrie ('20) for  $k \geq 2$  and in the co-rotational case  $k = 1$  by Rodriguez('18). **Rigid blow up rates**.

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- In these scenarios only one bubble collapses while the other one converges to a 'limiting bubble'.
- Can there be multi-bubble solutions where **all bubbles collapse** in finite or infinite time? This will require more than the threshold energy. Is there a link between the topology one is working with and the possible collapsing rates?