Approximate QCAs and a converse to the Lieb-Robinson bounds

Michael Walter

joint work with Daniel Ranard (MIT) and Freek Witteveen (Amsterdam)





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Quantum cellular automata model strictly local dynamics. However:

Lieb-Robinson: Local Hamiltonian evolution obeys approximate light cone.



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$$b(t) = e^{iHt} b e^{-iHt}$$

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Motivation and summary



Physics question: Are local dynamics generated by local Hamiltonians?

- That is, can we find converse to Lieb-Robinson bounds?
- How about lattice translations?
- Boundary dynamics generated by bulk local Hamiltonian?

Mathematics question: Classify approximately local dynamics.

Our results: Approximately local dynamics in 1D have structure & index theory similar to QCAs. In particular, obtain a converse to LR bounds.

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Quantum Cellular Automata



Setup: Infinite spin chains



It is convenient to work in the Heisenberg picture:

$$\mathcal{A}_n = \mathsf{Mat}(d) \quad \rightsquigarrow \quad \mathcal{A}_X = \bigotimes_{n \in X} \mathcal{A}_n \quad \rightsquigarrow \quad \mathcal{A}_{loc} = \bigcup_{X \in \Lambda} \mathcal{A}_X$$

Quasi-local C*-algebra:

$$\mathcal{A} = \overline{\mathcal{A}_{loc}}^{\|\cdot\|} = "\bigotimes_{n \in \mathbb{Z}} \mathcal{A}_n"$$

We can also define $\mathcal{A}_{\geqslant n} = \mathcal{A}_{\{n,n+1,\dots\}} \subseteq \mathcal{A}$, etc.

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Quantum cellular automata (QCAs)

Quasi-local algebra on infinite 1D lattice:

$$\mathcal{A} = \bigotimes_{n \in \mathbb{Z}} \mathcal{A}_n, \quad \mathcal{A}_n = \mathsf{Mat}(d)$$

An automorphism $\alpha: \mathcal{A} \to \mathcal{A}$ is a **quantum cellular automaton (QCA)** or locality preserving unitary (LPU) with radius R > 0 if:

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Classification of QCAs in 1D

Examples:



Theorem (Gross-Nesme-Vogts-Werner, GNVW):

- Any QCA is a composition of circuit and shift.
- Shift cannot be implemented by circuit.
- QCAs modulo circuits are classified by quantized index.

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GNVW gave axiomatic, algebraic, and analytic definitions. Intuively:

 $\label{eq:index} \begin{array}{l} \mbox{index} = \mbox{amount of quantum information flowing right} \\ -\mbox{amount of quantum information flowing left} \end{array}$

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index = log $d_1 - \log d_2 = \log \frac{d_1}{d_2}$

This intuition can be made precise...

(Re)defining the index



Cut chain in halves and consider corresponding Choi state $\rho_{LRL'R'}$. Then:

index
$$\alpha = \frac{1}{2} (I(L : R') - I(L' : R))$$

where $I(A:B) = S(\rho_{AB} \| \rho_A \otimes \rho_B)$ is the quantum mutual information.

Properties:

- quantized: index $\alpha \in \mathbb{Z}[\{\log p_i\}]$, $p_i = \text{prime factors of local dimension}$
- additive: index $\alpha \otimes \beta = index \alpha + index \beta$
- robust: if $\alpha \approx \beta$ then index $\alpha = \text{index } \beta$

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Approximately Locality-Preserving Unitaries



Idea: Replace strict locality \rightarrow Lieb-Robinson type bounds.



An automorphism $\alpha: \mathcal{A} \to \mathcal{A}$ is an **approximately locality preserving unitary (ALPU)** with f(r)-tails if for all $X \subseteq \mathbb{Z}$ and all r > 0:

 $\forall b \in \mathcal{A}_X: \exists c \in \mathcal{A}_{r-\text{Neighborhood}(X)}: \|\alpha(b) - c\| \leqslant f(r)\|b\|$

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Useful notation: $\mathcal{B} \subseteq_{\varepsilon} \mathcal{C}$ means

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Examples: QCAs, local Hamiltonian dynamics (Lieb-Robinson!), ...?



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Why do we care?

- ► A theory of local dynamics should allow local Hamiltonian dynamics...
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- Is there a local Hamiltonian that generates lattice translation (shift)?
- Stability of chiral many-body localized 2D Floquet systems? [Po et al]

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 But: Mutual information defn. applies! Does index remain quantized?

ALPUs modulo (time-dependent) quasi-local Hamiltonian dynamics \cong QCAs modulo circuits



- Converse to Lieb-Robinson bound: ALPU generated by quasi-local Hamiltonian iff index = 0. Always the case for *finite* open chain!
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Theorem:

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Suppose we have an ALPU:



For any fixed n, can truncate tails to obtain approximate morphism

$$\mathcal{A}_n \to \mathcal{A}_{\{n-r,\ldots,n+r\}}.$$

By a version of Ulam stability, can even find exact such morphism nearby.

However, for different sites n, the images of these morphisms need *not* commute \rightarrow unclear how to **patch together**!

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Theorem (Christensen, 80s): If $\mathcal{B} \subseteq_{\varepsilon} \mathcal{C}$ for hyperfinite von Neumann algebras and $\varepsilon < \frac{1}{8}$, then there is a unitary $u \in (\mathcal{B} \cup \mathcal{C})''$ such that

 $u \mathcal{B} u^* \subseteq \mathcal{C}$ and $||u - I|| \leq 12\varepsilon$.

We extend this to show that, moreover:

- If $x \in_{\delta} \mathcal{B}$ and $x \in_{\delta} \mathcal{C}$, then $||x uxu^*|| = O(\delta ||x||)$.
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Key idea: For any *fixed* cut, can apply unitaries near identity to construct automorphism that looks like QCA *near this cut*:



Left and right are decoupled – stronger than what we had before! This allows us to glue different α_n , α_{n+2} , ... together.

Approximation Theorem: For any 1D ALPU α , there are QCAs β_r of radius 2r such that $\beta_r \to \alpha$ strongly. In fact, if f(r) are the tails of α , $\|(\alpha - \beta_r)_{\mathcal{A}_X}\| \leq C_f f(r) \frac{\operatorname{diam}(X)}{r}$.

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 $\alpha(\mathcal{A}_{\geqslant n})\subseteq_{\epsilon}\mathcal{A}_{\geqslant n-1}$

By Christensen's theorem, we can find unitary $u \approx I$ s.th.

 $\mathbf{u}\alpha(\mathcal{A}_{\geq n})\mathbf{u}^* \subseteq \mathcal{A}_{\geq n-1}.$

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and both algebras are in $\mathcal{A}_{\geq n-1}$. Thus, the latter contains unitary v s.th.

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Key fact: Second unitary does not destroy locality achieved in first step!



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Compare two such local QCAs:



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Index of an ALPU

Thus we proved that any ALPU α in 1D can be approximated by sequence of QCAs β_r (sufficiently fast). This allows us to define the **index**:

index $\alpha := \lim_{r \to \infty} \operatorname{index} \beta_r$

- well-defined, independent of choice of $\{\beta_r\}$
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$$\alpha = \frac{1}{2} (I(L : R') - I(L' : R))$$

Index of an ALPU

Thus we proved that any ALPU α in 1D can be approximated by sequence of QCAs β_r (sufficiently fast). This allows us to define the **index**:

 $\mathsf{index}\,\alpha:=\lim_{r\to\infty}\mathsf{index}\,\beta_r$

- well-defined, independent of choice of {β_r}
- ▶ inherits properties of GNVW index: quantized, additive, continuous, ...

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index
$$\alpha = \frac{1}{2} \left(I(L:R') - I(L':R) \right)$$




• Start with ALPU of index $\alpha = 0$.

- Approximate α by QCA β₁ of same index. Thus β₁ is circuit and can be implemented by time-dependent local Hamiltonian evolution.
- Repeat with $\beta_1^{-1}\alpha$.

For an appropriate "schedule", obtain time-dependent Hamiltonian

$$H(t) = \sum_{X} H_X(t)$$

that is piecewise constant and has geometrically local interactions

 $\|H_X(t)\| = O(f(k)\log k)$ with $|X| = k \leq k(t)$.



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 Dependent units β⁻¹α

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Summary and outlook



Approximately locality preserving unitaries (ALPUs) in 1D have structure & index theory generalizing the one of QCAs. In particular, implies a converse to Lieb-Robinson bounds. Main techniques are stability results for near inclusions of algebras. *Many open problems:*

- Periodic chain in 1D?
- Extension to high dimensions? 2D within reach...
- Beyond automorphisms: Is there a QCA near any "noisy" QCA?
- Other applications of stability results in QI?

Thank you for your attention!

Discussion slides