# Localization near the edge for the Anderson Bernoulli model on the two-dimensional lattice 

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Joint work with Charles Smart (University of Chicago)

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H=-\Delta+\delta V
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where

- $(\Delta u)(x)=\sum_{|y-x|=1}(u(y)-u(x))$ is the discrete Laplacian;
- $(V u)(x)=V_{x} u(x)$ is a random potential;
- $V_{x} \in\{0,1\}$ are i.i.d. Bernoulli variables;
- $\delta>0$ is the noise strength.

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Remark: the spectrum $\sigma(H)=[0,4 d+\delta]$.
Remark: For concreteness we assume $\delta=1$, and $\mathbb{P}\left(V_{x}=0\right)=1 / 2$.

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holds for any $\psi$ satisfying the following:

- $\psi: \mathbb{Z}^{d} \rightarrow \mathbb{R}$,
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Remark: the above is usually referred to as spectral localization. There is also a notion of dynamic localization which is more directly related to the transport of the electron.

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Remark: Except for a spectral measure 0, each spectrum value has a polynomially bounded solution to the eigenfunction equation.

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- If the noise is continuous (or a sufficiently nice discrete approximation of a continuous noise) and $\delta \geq C$ is large, then $H$ almost surely has Anderson localization in all of $\sigma(H)$
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(Aizenman-Molchanov 93, Frohlich-Martinelli-Scoppola-Spencer 85 and Imbrie 16).
- If the lattice is replaced by the continuum $\mathbb{R}^{d}$, then $H$ almost surely has Anderson localization in $[0, \epsilon]$ (Bourgain-Kenig 05).

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Theorem. (Exponential decay for resolvent; D.-Smart 18) Suppose $d=2$. For any $1 / 2>\gamma>0$, there are $\alpha>1>\epsilon>0$ such that, for every energy $\bar{\lambda} \in[0, \epsilon]$ and square $Q \subseteq \mathbb{Z}^{2}$ of side length $L \geq \alpha$, (write $H_{Q}=1_{Q} H 1_{Q}$ )

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\mathbb{P}\left[\left|\left(H_{Q}-\bar{\lambda}\right)^{-1}(x, y)\right| \leq e^{L^{1-\epsilon}-\epsilon|x-y|} \text { for } x, y \in Q\right] \geq 1-L^{-\gamma} .
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Remark: Resolvent decay was established for $\mathbb{R}^{d}$ in Bourgain-Kenig 05, via a powerful framework of multi-scale analysis.

## Unique continuation principle

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Unique continuation principle (UCP) on $\mathbb{R}^{d}$ : if $u \in C^{2}\left(B_{R}\right)$, $|u(0)|=1,|\Delta u| \leq \alpha|u|$, and $|u| \leq \alpha$, then for some $\beta>0$

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UCP is a key ingredient in Bourgain-Kenig 05 for $\mathbb{R}^{d}$ which does not hold for $\mathbb{Z}^{d}$, even for harmonic functions.

- In $\mathbb{Z}^{2}$ there exists a non-zero harmonic function which vanishes on half of the plane.
- In $\mathbb{Z}^{3}$ there exists a non-zero harmonic function which vanishes except on a plane.


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Very roughly speaking, in Bourgain-Kenig, Sperner's lemma is applied in junction with UCP to derive a Wegner type of estimate, i.e., for a cube of size $L$ and $1 \leq k \leq L^{d}$, the probability that the $k$-th eigenvalue is in an interval of size $e^{-L^{4 / 3+\epsilon}}$ is at most $O\left(L^{-d / 2}\right)$.

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The key point is that every site responds to the potential perturbation by UCP.

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Definition. Suppose $\rho \in(0,1]$. A set $\mathcal{A}$ of subsets of $\{1, \ldots, n\}$ is $\rho$-Sperner if, for every $A \in \mathcal{A}$, there is a set $B(A) \subseteq\{1, \ldots, n\} \backslash A$ such that $|B(A)| \geq \rho(n-|A|)$ and $A \subseteq A^{\prime} \in \mathcal{A}$ implies $A^{\prime} \cap B(A)=\varnothing$.

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Remark: Sperner family is 1-Sperner with $B(A)=\{1, \ldots, n\} \backslash A$. Theorem. If $\rho \in(0,1]$ and $\mathcal{A}$ is a $\rho$-Sperner set of subsets of $\{1, \ldots, n\}$, then

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Thus, we only need a version of UCP on $\mathbb{Z}^{d}$ with size of support $\gg \sqrt{\text { volume }}$.

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Key challenge for us is to deal with potentials.

- In the worst case potential, there exists a harmonic function supported only on a diagonal. We have to use "randomness" of the potential in some way.
- A key step in Buhovsky-Logunov-Malinnikova-Sodin is to study the propagation of the harmonic function with 0-boundary on west diagonals and input on the south diagonals.


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- Given values of a harmonic function on black and red bullets (in particular, assume 0 on black), one can inductively determine the values on all circles;
- The values on blue circles is a polynomial on its northeast coordinate;
- Apply Remez ineauality: $\max _{I}|p| \leq\left(4|I| /\left|I^{\prime}\right|\right)^{d}$ max $_{I^{\prime}}|p|$ for a polynomial $p$ of degree $d$.


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|  | - Given values of a harmonic function on black and red bullets (in particular, assume 0 on black), one can inductively determine the values on all circles; <br> - The values on blue circles is a polynomial on its northeast coordinate; <br> - Apply Remez ineauality: $\max _{I}\|p\| \leq\left(4\|I\| /\left\|I^{\prime}\right\|\right)^{d}$ max $_{I^{\prime}}\|p\|$ for a polynomial $p$ of degree $d$. Conclusion: If blue circles are bounded on half fraction, it is bounded on all (up to an exponential factor). |
| :---: | :---: |

## Main challenge with presence of potentials

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Our main challenge: the presence of potentials eliminates the polynomial structure.

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- In $d=2$, proving such a UCP necessarily has to use the randomness of the potential, as the worst potential has solutions (to eigenfunction equation) supported on a diagonal line.
- But, in $d=3$, it seems even with worst potential the support of any solution is at least two-dimensional.
- Li-Zhang proved a weaker version: for $d=3$, with any potential any solution has support with exponential lower bound on at least $N^{3 / 2+\epsilon}$ vertices.
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## Happy birthday to HT!

