Catalan Functions and k-Schur Functions

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Joint work with Jennifer Morse, Jonah Blasiak, and Dan Summers

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Background

Macdonald polynomials form a basis for the ring of symmetric functions over the field $\mathbb{Q}(q, t)$.

Their study over the last three decades has generated an impressive body of research, a prominent focus being the Macdonald positivity conjecture:

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The (Garsia) modified Macdonald polynomials are q, t-Schur positive:

$$\mathcal{H}_{\mu}(\mathbf{x};q,t) = \sum_{\lambda} \mathcal{K}_{\lambda\mu}(q,t) s_{\lambda}(\mathbf{x}) \qquad ext{for } \mathcal{K}_{\lambda\mu}(q,t) \in \mathbb{N}[q,t].$$

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Theorem (Haiman 2001)

The modified Macdonald polynomials are Schur positive:

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many questions arising in this study remain unanswered.

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Is there a combinatorial interpretation of the coefficients?



Birth of k-Schur functions

20 - 21 years ago ...

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Birth of *k*-Schur functions



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Conjecture (Lapointe-Lascoux-Morse)

The atom k-Schur functions $\{A_{\lambda}(\mathbf{x}; t)\}_{\lambda_1 \leq k}$

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Many conjecturally equivalent candidates have since been proposed, now all informally called *k-Schur functions*.

Example.
$$k = 2$$
,

$$\Lambda^{2} = \operatorname{span}_{\mathbb{Q}(q,t)} \{H_{1}, H_{11}, H_{2}, H_{111}, H_{21}, H_{14}, H_{211}, H_{22}, \cdots \}$$

$$H_{1^{4}} = t^{4} (\operatorname{sp} + t \operatorname{spn} + t^{2} \operatorname{spn}) + (t^{2} + t^{3}) (\operatorname{sp} + t \operatorname{spn}) + (\operatorname{sp} + t \operatorname{spn}) + (\operatorname{sp} + t^{2} \operatorname{spn})$$

$$H_{211} = t (\operatorname{sp} + t \operatorname{spn} + t^{2} \operatorname{spn}) + (1 + qt^{2}) (\operatorname{sp} + t \operatorname{spn}) + q (\operatorname{sp} + t \operatorname{spn} + t^{2} \operatorname{spn})$$

$$H_{22} = (\operatorname{sp} + t \operatorname{spn} + t^{2} \operatorname{spn}) + \underbrace{(q + qt)}_{q, t \text{-monomials}} \underbrace{(\operatorname{sp} + t \operatorname{spn})}_{t \text{-positive sum}} + q^{2} (\operatorname{sp} + t \operatorname{spn} + t^{2} \operatorname{spn})$$

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basis for restricted span Λ^k of Macdonald polynomials

k-Schur candidate	basis of Λ^k	Schur positive	$egin{array}{l} H_\mu({f x};q,t)\ {f are}\ k ext{-Schur}\ {f positive} \end{array}$	k-rectangle property
('98) Young tableaux and katabolism		\checkmark		
('03) <i>k</i> -split polynomials $ ilde{A}_{\lambda}^{(k)}$	⁽⁾	\checkmark	($q = 0$)	\checkmark
('06) Strong tableaux $s_{\lambda}^{(k)}$)	\checkmark	($q = 0$)	\bigcirc
('10) Catalan functions $\mathfrak{s}_{\lambda}^{(k)}$)	\checkmark	($q = 0$)	\bigcirc
('12) Affine Kostka matrix	✓		$\checkmark (q=0)$	
('04) Weak tableaux ($t=1$) $ar{s}_{\lambda}^{(k)}$) 🗸	\checkmark	$\checkmark (q=0)$	\checkmark
('05) Schubert classes in $H_*(Gr)$ ($t = 1$	L) 🗸	\checkmark	$\checkmark (q=0)$	\checkmark

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Introducing a new powerful tool



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			0		
				1	
					2
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 $band(\Psi, \mu) = (6, 6, 6, 2, 2, 2).$

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$$\mathfrak{s}_{\mu}^{(k)}(\mathbf{x};t):=H_{\mu}^{\Delta^{k}(\mu)}=\prod_{i=1}^{\ell}\prod_{j=k+1-\mu_{i}+i}^{\ell}\left(1-tR_{ij}
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band $(\Delta^k(\mu), \mu)$ is a decreasing sequence whose first $\ell(\Delta^k(\mu))$ entries are all k

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Example. $k = 4, \mu = 3321.$

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 $band(\Psi, 3321) = 4431.$

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$$\mathfrak{s}_{\mu}^{(k)}(\mathbf{x};t) = \prod_{(i,j)\in\Delta^k(\mu)} (1-tR_{ij})^{-1}s_{\mu}(\mathbf{x})$$

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Is there any combinatorial objects related?

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Is there any combinatorial objects related? YES! :)

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From SSYT to SMT

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Fix a positive integer k (for construction),

SMT



Fix a positive integer k (for construction), \checkmark outside, inside

SMT

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Fix a positive integer k (for construction), • outside, inside SMT • word

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We write $SMT^{k}(w; \mu) = set of strong tableaux T marked by w with outside(T) = <math>\mu$.

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Def. Fix a positive integer k. The strong Pieri operators $u_1, u_2, \dots \in \operatorname{End}_{\mathbb{Z}[t]}(\Lambda^k)$ are defined by their action on the basis $\{\mathfrak{s}_{\mu}^{(k)}\}_{\mu\in\operatorname{Par}^k}$ as follows:

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$$\mathfrak{s}_{\mu}^{(k)} \cdot u_{p} = \sum_{T \in \mathrm{SMT}^{k}(p\,;\mu)} t^{\mathrm{spin}(T)} \mathfrak{s}_{\mathrm{inside}(T)}^{(k)}.$$

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For any
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Example.

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 $\mathfrak{s}_{3321}^{(4)} \cdot u_2$

For any $\mu \in \mathsf{Par}_{\ell}^k$ and $p \in [\ell]$,

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Example.



 $\mathfrak{s}_{3321}^{(4)} \cdot u_2$

For any $\mu \in \mathsf{Par}_{\ell}^k$ and $p \in [\ell]$,

$$\mathfrak{s}_{\mu}^{(k)} \cdot u_{p} = H(\Delta^{k}(\mu); \mu - \epsilon_{p}).$$

Example.



$$\mathfrak{s}_{3321}^{(4)} \cdot u_2 = \mathfrak{s}_{3221}^{(4)} + t\mathfrak{s}_{3320}^{(4)}.$$

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Properties of k-Schur functions

Theorem (Blasiak-Morse-P.-Summers)

The k-Schur functions $\{\mathfrak{s}_{\mu}^{(k)} \mid \mu \text{ is } k\text{-bounded of length} \leq \ell\}$ satisfy

$$\begin{array}{ll} (\textit{vertical dual Pieri rule}) & e_d^{\perp} \mathfrak{s}_{\mu}^{(k)} = \mathfrak{s}_{\mu}^{(k)} \cdot \left(\sum_{i_1 > \cdots > i_d} u_{i_1} \cdots u_{i_d}\right), \\ (\textit{shift invariance}) & \mathfrak{s}_{\mu}^{(k)} = e_\ell^{\perp} \mathfrak{s}_{\mu+1^\ell}^{(k+1)}, \\ (\textit{Schur function stability}) & \textit{if } k \ge |\mu|, \ \textit{then } \mathfrak{s}_{\mu}^{(k)} = \mathfrak{s}_{\mu}. \end{array}$$

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- $e_d^{\perp} \in \operatorname{End}(\Lambda)$ is defined by $\langle e_d^{\perp}(g), h \rangle = \langle g, e_d h \rangle$ for all $g, h \in \Lambda$.
- u_i = operator for removing a strong cover marked in row *i*.

Theorem (Blasiak-Morse-P.-Summers)

For μ a k-bounded partition of length $\leq \ell$, the expansion of the k-Schur function $s_{\mu}^{(k)}$ into k + 1-Schur functions is given by

$$\mathfrak{s}_{\mu}^{(k)} = \mathfrak{s}_{\mu+1^{\ell}}^{(k+1)} u_{\ell} \cdots u_{1} = \sum_{T \in \mathrm{SMT}^{k+1}(\ell \cdots 21; \mu+1^{\ell})} t^{\mathrm{spin}(T)} \mathfrak{s}_{\mathrm{inside}(T)}^{(k+1)} \cdot t^{\mathrm{spin}(T)} \cdot t^{\mathrm$$

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Proof.

The shift invariance property followed by the vertical dual Pieri rule yields

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$$\mathfrak{s}_\mu^{(k)} = e_\ell^\perp \, \mathfrak{s}_{\mu+1^\ell}^{(k+1)} = \mathfrak{s}_{\mu+1^\ell}^{(k+1)} u_\ell \cdots u_1.$$



SMT⁴(54321; 33332)

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SMT⁴(54321; 33332)



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spin(T) = 0 + 1 + 1 + 0 + 0 = 2 inside(T) = 3222 outside(T) = 33332

k-Schur into Schur

Theorem (Blasiak-Morse-P.-Summers)

Let μ be a k-bounded partition of length $\leq \ell$ and set $m = \max(|\mu| - k, 0)$. The Schur expansion the k-Schur function $\mathfrak{s}_{\mu}^{(k)}$ is given by

$$\mathfrak{s}_{\mu}^{(k)} = \sum_{\mathcal{T} \in \mathrm{SMT}^{k+m}((\ell \cdots 1)^m; \mu+m^\ell)} t^{\mathrm{spin}(\mathcal{T})} S_{\mathrm{inside}(\mathcal{T})}.$$

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Proof.

Applying the shift invariance property m times followed by the vertical dual Pieri rule, we obtain

$$\mathfrak{s}_{\mu}^{(k)} = (e_{\ell}^{\perp})^m \, \mathfrak{s}_{\mu+m^{\ell}}^{(k+m)} = \mathfrak{s}_{\mu+m^{\ell}}^{(k+m)} (u_{\ell} \cdots u_1)^m = \sum_{\mathcal{T} \in \mathrm{SMT}^{k+m}((\ell \cdots 1)^m; \mu+m^{\ell})} t^{\mathrm{spin}(\mathcal{T})} \mathfrak{s}_{\mathrm{inside}(\mathcal{T})} \cdot t^{\mathrm{spin}(\mathcal{T})} \cdot t^{\mathrm{spin}($$

The Schur function stability property ensures this is the Schur function decomposition.

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Example. $k = 1, \mu = 111,$
k-Schur into Schur

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Example. $k = 1, \mu = 111, \ell = 3, m = 3 - 1 = 2.$

k-Schur into Schur

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Example. $k = 1, \mu = 111, \ell = 3, m = 3 - 1 = 2.$

$$\mathrm{SMT}^{k+m}((\ell \cdots 1)^m; \mu + m^\ell) = \mathrm{SMT}^3((321)^2; 111 + 2^3)$$

k-Schur into Schur

Theorem (Blasiak-Morse-P.-Summers)

Let μ be a k-bounded partition of length $\leq \ell$ and set $m = \max(|\mu| - k, 0)$. The Schur expansion the k-Schur function $\mathfrak{s}_{\mu}^{(k)}$ is given by

$$\mathfrak{s}_{\mu}^{(k)} = \sum_{\mathcal{T} \in \mathrm{SMT}^{k+m}((\ell \cdots 1)^m; \, \mu+m^{\ell})} t^{\mathrm{spin}(\mathcal{T})} s_{\mathrm{inside}(\mathcal{T})}.$$

Example. $k = 1, \mu = 111, \ell = 3, m = 3 - 1 = 2.$

 $\mathrm{SMT}^{k+m}((\ell \cdots 1)^m; \mu + m^{\ell}) = \mathrm{SMT}^3(321321; 333)$

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Schur expansion of $s_{111}^{(1)} = H_{111}$



$$\mathfrak{s}_{111}^{(1)} = t^3 s_3 + t^2 s_{21} + t s_{21} + s_{111}$$

The Schur expansion of the 1-Schur function $\mathfrak{s}_{111}^{(1)}$ is obtained by summing $t^{\text{spin}(\mathcal{T})}s_{\text{inside}(\mathcal{T})}$ over the set $\text{SMT}^3(321321; 333)$ of strong tableaux \mathcal{T} above.

• $s_{\mu}^{(k)}(\mathbf{x};t)$ defined as a sum of monomials over strong tableaux. Equivalent to the symmetric functions satisfying the dual Pieri rule.

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• $\tilde{A}^{(k)}_{\mu}(\mathbf{x}; t)$ defined recursively using Jing vertex operators.

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Combining our results with those of Lam and Lam-Lapointe-Morse-Shimozono:

Theorem

The k-Schur functions defined from Jing vertex operators, k-Schur Catalan functions, and strong tableau k-Schur functions coincide:

 $ilde{A}^{(k)}_{\mu}(\mathbf{x};t) = \mathfrak{s}^{(k)}_{\mu}(\mathbf{x};t) = \mathfrak{s}^{(k)}_{\mu}(\mathbf{x};t)$ for all k-bounded μ .

Moreover, their t = 1 specializations $\{s_{\mu}^{(k)}(\mathbf{x}; 1)\}$ match a definition using weak tableaux, and represent Schubert classes in the homology of the affine Grassmannian Gr_G of $G = SL_{k+1}$.

Proposition

If (Ψ, μ) is an indexed root ideal with $band(\Psi, \mu)_i \leq k$ for all *i*, then $H(\Psi; \mu) \in \Lambda^k$.

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Conjecture (Blasiak-Morse-P.-Summers)

If (Ψ, μ) is an indexed root ideal with $\mu \in \operatorname{Par}^k$ and $\operatorname{band}(\Psi, \mu)_i \leq k$ for all *i*, then the Catalan function $H(\Psi; \mu)$ is *k*-Schur positive.

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Conjecture (Chen-Haiman)

The Catalan function H^{Ψ}_{μ} is Schur positive for any root ideal Ψ and partition μ .



Let $\mu \in \mathsf{Par}^k$.





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• $\Psi = \Delta^+$:



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- product of a schur and a k-schur (and also its generalization) when the indexing partition concatenate to a partition; This proves the k-split polynomials G_λ^(k) are k-schur positive and {s_λ^(k)}_{Λ^k} = {Ã_λ^(k)}_{Λ^k}.

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- Ψ = Δ⁺: H(Ψ; μ)(x; t) is the modified Hall Littlewood polynomial, proving q = 0 of the strengthened Macdonald positivity conjecture;

• proving a substantial special case of a problem of Broer and Shimozono Weyman on parabolic Hall Littlewood polynomials.

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Example.
$$k = 3, \mu = 2211.$$

 $Z_{(3333)/(2211)} = \underbrace{\begin{array}{c} & 1 \\ & 2 \\ & 3 \\ & 3 \\ & 4 \\ & 4 \end{array}}_{a \ b} \quad and \quad colword(Z_{(3333)/(2211)}) = 434321.$

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Theorem (Blasiak-Morse-P.-Summers)

Let μ be a k-bounded partition of length $\leq \ell$. Set $w = \operatorname{colword}(Z_{k^{\ell}/\mu})$.

$$H_{\mu} = \mathfrak{s}_{k^{\ell}}^{(k)} \cdot u_{w} = \sum_{T \in \mathrm{SMT}^{k}(w; k^{\ell})} t^{\mathrm{spin}(T)} \mathfrak{s}_{\mathrm{inside}(T)}^{(k)}$$

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$$H_{2211} = \mathfrak{s}_{3333}^{(3)} \cdot u_4 u_3 u_4 u_3 u_2 u_1 = \sum_{\text{SMT}^3(434321; 3333)} t^{\text{spin}(\mathcal{T})} \mathfrak{s}_{\text{inside}(\mathcal{T})}^{(3)}$$

The 3-Schur expansion of H_{2211}



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Theorem (Blasiak-Morse-P.-Summers)

Let μ be a partition of length r with $\mu_1 \leq k - r + 1$, and ν a partition such that $\mu\nu$ is a partition. Set $R = (k - r + 1)^r$. Then

$$\mathbf{B}_{\mu} \mathfrak{s}_{\nu}^{(k)} = \sum_{T \in \mathrm{SSYT}_{R/\mu}(r)} \mathfrak{s}_{R\nu}^{(k)} \cdot u_{\mathrm{colword}(T)}.$$

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Example. Let k = 6, r = 3, $\mu = 432$, $\nu = 22$. Then R = 444.
Schur times k-Schur into k-Schur

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$$\operatorname{SSYT}_{R/\mu}(r) = \left\{ \underbrace{1}_{12} \underbrace{1}_{13} \underbrace{1}_{13} \underbrace{2}_{22} \underbrace{1}_{23} \underbrace{1}_{23} \underbrace{1}_{33} \underbrace{2}_{33} \right\}.$$

$$(k) \quad (k) \quad (k)$$

 $\mathbf{B}_{\mu}\mathfrak{s}_{\nu}^{(k)} = \mathfrak{s}_{R\nu}^{(k)} \cdot \left(u_{121} + u_{131} + u_{132} + u_{221} + u_{231} + u_{232} + u_{331} + u_{332}\right).$

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Example. 6-Schur expansion of a *t*-analog of $s_{432} s_{22}$.

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 $\mathbf{B}_{432}\mathfrak{s}_{22}^{(6)} = t^3\mathfrak{s}_{4441}^{(6)} + t^2\mathfrak{s}_{44311}^{(6)} + t^2\mathfrak{s}_{4432}^{(6)} + t^1\mathfrak{s}_{43321}^{(6)} + t^1\mathfrak{s}_{44221}^{(6)} + \mathfrak{s}_{43222}^{(6)}.$

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inside = 44311spin = 1 + 0 + 1 = 2

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• Catalan operators : generalizing vertex operators

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Def. $\mathfrak{p}(\mu)$ denotes the outer shape of k-skew(μ).



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Def. A k + 1-core is a partition whose diagram has no box with hook length k + 1.

Example. k = 4:



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Proposition. The map $\mu \mapsto \mathfrak{p}(\mu)$ defines a bijection from *k*-bounded partitions to k + 1-cores.

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Def. An inclusion $\tau \subset \kappa$ of k + 1-cores is a *strong cover*, denoted $\tau \Rightarrow \kappa$, if $|\mathfrak{p}^{-1}(\tau)| + 1 = |\mathfrak{p}^{-1}(\kappa)|$.

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Strong cover with k = 4:



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Example.

Strong cover with k = 4:

corresponding k-skew diagrams:



 $\mathfrak{p}^{-1}(\tau) = 332221111$ $\mathfrak{p}^{-1}(\kappa) = 22222222111$

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Example.

Strong cover with k = 4:

corresponding *k*-skew diagrams:



 $|\mathfrak{p}^{-1}(au)|=16$

 $|\mathfrak{p}^{-1}(\kappa)| = 17$

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Strong marked covers

Def. A strong marked cover $\tau \stackrel{r}{\Longrightarrow} \kappa$ is a strong cover $\tau \Rightarrow \kappa$ together with a positive integer *r* which is allowed to be the smallest row index of any connected component of the skew shape κ/τ .

Example. The two possible markings of the previous strong cover:





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Spin

Def.

$$spin(au \stackrel{r}{\Longrightarrow} \kappa) = c \cdot (h-1) + N$$
, where

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- c = number of connected components of κ/τ ,
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Example.



Def. For a word $w = w_1 \cdots w_m \in \mathbb{Z}_{\geq 1}^m$, a *strong tableau marked by w* is a sequence of strong marked covers of the form

$$\kappa^{(0)} \xrightarrow{w_m} \kappa^{(1)} \xrightarrow{w_{m-1}} \cdots \xrightarrow{w_1} \kappa^{(m)}.$$

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$$T = \begin{bmatrix} 1 & 1 & 3 & 5 \\ 2 & 2 & 4 \\ 2 & 3 & 5 \\ 4 & 4 & 3 & 5 \end{bmatrix}, \quad spin(T) = 1 + 0 + 2 + 1 + 0 = 4$$

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Def. For a k-bounded partition μ , let

$$s_{\mu}^{(k)}(\mathbf{x};t) = \sum_{1 \leq i_1 \leq \cdots \leq i_d} \sum_{\substack{w \in \mathbb{Z}_{\geq 1}^d \\ i_j = i_{j+1} \Longrightarrow w_j \leq w_{j+1}}} \sum_{\mathcal{T} \in \mathrm{SMT}^k(w;\mu)} t^{\mathrm{spin}(\mathcal{T})} x_{i_1} \cdots x_{i_d} \,.$$

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Their t = 1 specializations

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- agree with another combinatorial definition using weak tableaux (Lam-Lapointe-Morse-Shimozono 2010),
- are Schubert classes in the homology of the affine Grassmannian $\operatorname{Gr}_{SL_{k+1}}$ of SL_{k+1} (Lam 2008).



Example. $k = 3, \mu = 311$:

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Example. $k = 3, \mu = 311$:

There are 10 strong marked standard tableaux T whose 4-core is 411 with outside(T) = 311:

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