

Nonsymmetric Macdonald polynomials and Demazure characters

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Banff International Research Station 22 January 2019



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Symmetric functions Nonsymmetric polynomials Specialization at t = 0

Schur functions

Ring of symmetric functions $\Lambda_{\mathbb{C}}$ in variables $X = x_1, x_2, x_3, \dots$ has bases: $m_{\lambda}, e_{\lambda}, h_{\lambda}, p_{\lambda}, \dots$

Definition (Schur functions)

The orthonormal basis for $\Lambda_{\mathbb{C}}$ is

$s_{\lambda}(X) =$	\sum	x_1^{will}	$x_{2}^{wi(1)}$	12.	•	•
	$T \in SSYT(\lambda)$)				

Definition (Semistandard Young tableau)

An $\underline{SSYT}(\lambda)$ is a filling $T : \lambda \to \mathbb{N}$ such that

- $T(c) \leq T(d)$ if c left of d same row
- 2 T(c) > T(d) for c above d

Example (The set $SSYT_3(2, 1)$ used to compute $s_{(2,1)}(x_1, x_2, x_3)$)

$$SSYT_{3}(2,1) = \left\{ \boxed{\frac{3}{2}}, \ \boxed{\frac{3}{2}}, \ \boxed{\frac{3}{2}}, \ \boxed{\frac{3}{1}}, \ \boxed{\frac{3}{12}}, \ \boxed{\frac{2}{13}}, \ \boxed{\frac{3}{11}}, \ \boxed{\frac{2}{12}}, \ \boxed{\frac{2}{11}} \right\}$$
$$s_{(2,1)}(x_{1}, x_{2}, x_{3}) = x_{2}x_{3}^{2} + x_{2}^{2}x_{3} + x_{1}x_{3}^{2} + x_{1}x_{2}x_{3} + x_{1}x_{2$$

Expanding a symmetric function in the Schur basis is important in many contexts, for example

- For $\mathbb{S}_{\lambda}(\mathbb{C}^n)$ an irred. rep. of GL_n , the character is $\operatorname{char}(\mathbb{S}_{\lambda}(\mathbb{C}^n)) = s_{\lambda}(x_1, \ldots, x_n)$.
- For Sp_{λ} an irred. rep. of S_n , the Frobenius character is $\text{ch}(\text{Sp}_{\lambda}) = s_{\lambda}(X)$.
- For X_{λ} a Schubert variety for Gr(n, k), the Schubert poly is $\mathfrak{S}_{v(\lambda, k)} = s_{\lambda}(x_1, \dots, x_k)$.

Fundamental problem: write $g(X) = \sum_{\lambda} g_{\lambda} s_{\lambda}(X)$ and find a combinatorial formula for g_{λ} .



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Hall–Littlewood symmetric functions

Hall–Littlewood symmetric functions $P_{\mu}(X;t)$ and $H_{\mu}(X;t)$ generalize Schur functions to $\Lambda_{\mathbb{C}(t)}$

$$s_{\lambda}(X) = \sum_{\lambda} K_{\lambda,\mu}(t) P_{\mu}(X;t)$$
 and $H_{\mu}(X;t) = \sum_{\lambda} K_{\lambda,\mu}(t) s_{\lambda}(X)$

Theorem (Lascoux-Schützenberger 1978, Butler 1986)

$$K_{\lambda,\mu}(t) = \sum_{T \in SSYT(\lambda), wt(T) = \mu} t^{charge(T)} \in \mathbb{N}[t]$$

Example (Computing the Schur expansion of $H_{(2,2,1)}(X;t)$)



Hall-Littlewood polynomials arise in similar contexts as Schur functions, for example

- For χ_{λ} a unipotent char. of $\operatorname{GL}_n(\mathbb{F}_t)$ and μ a conj. class, $\chi_{\lambda}(\mu) = t^{n(\mu)} K_{\lambda,\mu}(1/t)$.
- For R_{μ} the Garsia–Procesi S_n -module, the Frob. char. is $ch(R_{\mu}) = t^{n(\mu)}H_{\mu}(X; 1/t)$.
- For B_{μ} a Springer fiber, the cohomology ring $H^*(B_{\mu})$ has Frob. series $t^{n(\mu)}H_{\mu}(X; 1/t)$.



Macdonald symmetric functions

The Macdonald symmetric functions $P_{\lambda}(X;q,t)$ specialize to the classical bases by

- Schur functions: $P_{\lambda}(X;q,q) = s_{\lambda}(X)$
- Hall–Littlewood functions: $P_{\lambda}(X; 0, t) = P_{\lambda}(X; t)$
- Jack symmetric functions: $\lim_{t\to 1} P_{\lambda}(X; t^{\alpha}, t) = J_{\lambda, \alpha}(X)$

Moreover, integral Macdonald symmetric functions are sort of Schur positive:

$$J_{\mu}(X;q,t) = \left(\prod_{c \in \lambda} 1 - q^{\operatorname{arm}(c)} t^{\operatorname{leg}(c)+1}\right) P_{\mu}(X;q,t) = \sum_{\lambda} K_{\lambda,\mu}(q,t) s_{\lambda}[X(1-t)]$$

where $s_{\lambda}[X(1-t)]$ is the plethystic Schur basis dual to $s_{\lambda}(X)$: $\langle s_{\lambda}[X(1-t)], s_{\mu}(X) \rangle_{t} = \delta_{\lambda,\mu}$

The transformed Macdonald symmetric functions of Garsia and Haiman are Schur positive

$$H_{\mu}(X;q,t) = J_{\mu}[X\left(\frac{1}{1-t}\right);q,t] = \sum_{\lambda} K_{\lambda,\mu}(q,t)s_{\lambda}(X)$$

Theorem (Haiman 2001)

The isospectral Hilbert scheme of points in the plane is Cohen-Macaulay, and so the Garsia–Haiman S_n -module has dimension n!, and so $K_{\lambda,\mu}(q,t) \in \mathbb{N}[q,t]$.



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Nonsymmetric Macdonald polynomials

Nonsymmetric Macdonald polynomials $E_a(x_1, \ldots, x_n; q, t)$ are polynomials indexed by weak compositions that form a basis for the full polynomial ring $\mathbb{C}[x_1, \ldots, x_n]$.

They generalize the symmetric Macdonald polynomials in the following sense:

$$\begin{split} E_{(\lambda_n,\lambda_{n-1},...,\lambda_1)}(x_1,...,x_n;q,t) &= P_{\lambda}(x_1,...,x_n;q,t) \\ E_{0^m \times a}(x_1,...,x_m,0,...,0;q,t) &= P_{\text{sort}(a)}(x_1,...,x_m;q,t) \\ \lim_{m \to \infty} E_{0^m \times a}(x_1,...,x_m,0,...,0;q,t) &= P_{\text{sort}(a)}(x_1,x_2,...;q,t) \end{split}$$

Additional structure in the polynomial ring helps illuminate the symmetric case.

Theorem (Haglund–Haiman–Loehr 2008)

$$E_a(X;q,t) = \sum_{\substack{T:a \to [n] \\ \text{non-attacking}}} q^{\operatorname{maj}(T)} t^{\operatorname{coinv}(T)} X^{\operatorname{wt}(T)} \prod_{c \neq \operatorname{left}(c)} \frac{1-t}{1-q^{\operatorname{leg}(c)+1} t^{\operatorname{arm}(c)+1}}$$

Question: Are there any natural positivity results for $E_a(x; q, t)$ parallel to symmetric case?

While there is an integral form for the nonsymmetric Macdonald polynomials, there is no well-defined notion of plethysm in the polynomial ring, so we cannot make this positive.



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Combinatorial formula

Theorem (Haglund-Haiman-Loehr 2008)

$$E_a(X;q,t) = \sum_{\substack{T:a \to [n] \\ \text{non-attacking}}} q^{\operatorname{maj}(T)} t^{\operatorname{coinv}(T)} X^{\operatorname{wt}(T)} \prod_{c \neq \operatorname{left}(c)} \frac{1-t}{1-q^{\operatorname{leg}(c)+1} t^{\operatorname{arm}(c)+1}}$$

The diagram of a weak composition a has a_i cells in row i. Fill them with positive integers.

 $maj(T) = \sum leg(c)$ $coinv(T) = \# \{co-inv triples\}$ attacking fillings $T(\operatorname{left}(c)) < T(c)$ i i j i leg k i < j < k or : 0 0 j < k < i or k < i < ji k i i j i < jExample (One non-attacking filling for $E_{(2,1,3,0,0,2)}(x_1 \dots x_6; q, t)$)

5 5	$\boxed{3 \ 4} \log(\boxed{4}) = 2$	5 34	contribution to F_{i} (x_{i} , x_{i} , q_{i} t)
3 4 2	$\boxed{1 \ 6} \log(\boxed{6}) = 1$: () () : 3 4 6	$L_{(2,1,3,0,0,2)}(x_1 \dots x_6, q, t)$
2 1 6	maj(T) = 2 + 1 = 3	$\operatorname{coinv}(T) = 2$	$q^3 t^2 x_1 x_2^2 x_3 x_4 x_5^2 x_6 \cdot \left(\frac{\operatorname{big}}{\operatorname{mess}}\right)$

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Nonsymmetric Macdonald polynomials and Demazure characters



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Semistandard key tabloids



Setting t = 0 in $E_a(X; q, t)$ has the following nice simplification

$$E_{a}(X;q,0) = \sum_{\substack{T:a \to [n] \\ \text{non-attacking}}} q^{\text{maj}(T)} 0^{\text{coinv}(T)} X^{\text{wt}(T)} \prod_{c \neq \text{left}(c)} \frac{1-0}{1-q^{\log(c)+1} 0^{\text{arm}(c)+1}} = \sum_{\substack{T:a \to [n] \\ \text{non-attacking} \\ \text{coinv}(T)=0}} q^{\text{maj}(T)} X^{\text{wt}(T)}$$

Example (The six semistandard key tabloids giving terms in $E_{(0,2,1)}(x_1, x_2, x_3; q, 0)$) SSKD $(0, 2, 1) = \begin{bmatrix} 3 \\ 2 & 2 \end{bmatrix}$, $\begin{bmatrix} 3 \\ 2 & 1 \end{bmatrix}$, $\begin{bmatrix} 3 \\ 1 & 1 \end{bmatrix}$, $\begin{bmatrix} 2 \\ 1 & 3 \end{bmatrix}$, $\begin{bmatrix} 2 \\ 1 & 1 \end{bmatrix}$, $\begin{bmatrix} 1 \\ 2 & 2 \end{bmatrix}$ $E_{(0,2,1)}(X; q, 0) = x_2^2 x_3 + x_1 x_2 x_3 + x_1^2 x_3 + q x_1 x_2 x_3 + x_1^2 x_2 + x_1 x_2^2$

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In search of positivity

Proposition

For ω the symmetric function involution $\omega s_{\lambda} = s_{\lambda^{T}}$, where λ^{T} denotes transpose, we have

$$\lim_{n\to\infty} E_{0^m\times a}(x_1,\ldots,x_m,0,\ldots,0;q,0) = \omega H_{\operatorname{sort}(a)^T}(X;0,q)$$

 $\text{For example, } \lim_{m \to \infty} E_{0^m \times (0,3,0,2)}(X;q,0) = \omega H_{(2,2,1)}(X;0,q) = \omega H_{(2,2,1)}(X;q).$

Question: Does this positivity in the limit $\lim_{m \to \infty} E_{0^m \times a}(X; q, 0)$ pull back to the polynomial ring?

Recall $P_{\mu}(X; 0, 0) = s_{\mu}(X)$. Consider the nonsymmetric analog $E_a(X; 0, 0) = \kappa_a(X)$.

The Demazure characters κ_a are a basis for polynomials generalizing Schur polynomials

$$\begin{aligned} \kappa_{(\lambda_n,\lambda_{n-1},\ldots,\lambda_1)}(x_1,\ldots,x_n) &= s_{\lambda}(x_1,\ldots,x_n) \\ \kappa_{0^m \times a}(x_1,\ldots,x_m,0,\ldots,0) &= s_{\operatorname{sort}(a)}(x_1,\ldots,x_m) \\ \underset{\to \infty}{\longrightarrow} \kappa_{0^m \times a}(x_1,\ldots,x_m,0,\ldots,0) &= s_{\operatorname{sort}(a)}(X) \end{aligned}$$

These are characters of Demazure modules that arise in the study of Schubert varieties.

Theorem (Assaf 2018)

Writing $E_b(X;q,0) = \sum_a K_{a,b}(q)\kappa_a(X)$, using weak dual equivalence, we have $K_{a,b}(q) \in \mathbb{N}[q]$.



Normal g t_n crystals Demazure crystals Combinatorial models

Crystal graphs

Schur polynomials are also characters for finite connected normal gl_n crystals.

Crystal basis \mathcal{B} , weight map wt : $\mathcal{B} \to \mathbb{Z}^n$ crystal lowering operators $f_i : \mathcal{B} \xrightarrow{i} \mathcal{B} \cup \{0\}$ such that wt(b) – wt($f_i(b)$) = $\mathbf{e}_i - \mathbf{e}_{i+1}$.

The character of a crystal is

char
$$(\mathcal{B}) = \sum_{b \in \mathcal{B}} x_1^{\operatorname{wt}(b)_1} \cdots x_n^{\operatorname{wt}(b)_n}$$

The standard \mathfrak{gl}_n crystal has wt $([i]) = \mathbf{e}_i$

1	$\xrightarrow{f_1}$	2	f_2	3	$\xrightarrow{f_3}$	••••	$\xrightarrow{f_{n-1}}$	n
x_1	+	<i>x</i> ₂	+	<i>x</i> ₃	+		+	x_n

The connected (finite, normal) \mathfrak{gl}_n crystals are indexed by dominant weights (partitions). For $\mathbb{B}(\lambda)$ is the crystal for the irrep $\mathbb{S}_{\lambda}(\mathbb{C}^n)$ $\operatorname{char}(\mathbb{B}(\lambda)) = \operatorname{char}(\mathbb{S}_{\lambda}(\mathbb{C}^n)) = s_{\lambda}(x_1, \dots, x_n)$





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A crystal on tableaux

Define crystal operators e_i on SSYT(λ) that change an i + 1 to an i in T by



Definition (Crystal raising operators)

For $T \in SSYT(\lambda)$ and $1 \le i < n$, the crystal raising operator e_i acts on T by

- $e_i(T) = 0$ if T has no unpaired i + 1
- change leftmost unpaired i+1 to i





Demazure modules

- Complex semi-simple Lie algebra \mathfrak{g} has a Cartan subalgebra \mathfrak{h} and Borel subalgebra $\mathfrak{b} \supset \mathfrak{h}$, dominant weights Λ^+ indexing f. d. irreps and Weyl group *W*.
- $\mathfrak{g} = \mathfrak{gl}_n = \{$ invertible matrices $\}$
- $\mathfrak{h} = \{$ invertible diagonal matrices $\}$
- $\mathfrak{b} \;=\; \{\text{invertible upper triangular matrices}\}$

$$\Lambda^+ = \{ (\lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_n \ge 0) \}$$

 $W = S_n = \{\text{permutations of } \{1, 2, \dots, n\}\}$

Finite dimensional irred. representations of \mathfrak{g} decompose into weight spaces $V^{\lambda} = \bigoplus_{a} V_{a}^{\lambda}$.

The Weyl group acts on extremal weight spaces $\{V_{w,\lambda}^{\lambda} \mid w \in W\}$, which are all 1-dimensional.

Definition

The Demazure module V_w^{λ} is the b-submodule of the irreducible g-representation V^{λ} generated by the extremal weight space $V_{w,\lambda}^{\lambda}$.

Example (Demazure modules)

•
$$V_{\lambda}^{\lambda} = V_{id}^{\lambda}$$
 is 1-dim

•
$$V_{\operatorname{rev}(\lambda)}^{\lambda} = V_{w_0}^{\lambda} = V^{\lambda}$$

For $\mathfrak{g} = \mathfrak{gl}_a$, Demazure modules are indexed by weak compositions *a* by the correspondences

 $(w, \lambda) \mapsto w \cdot \lambda$ $a \mapsto (w_a, \operatorname{sort}(a))$ for w_a = shortest permutation such that $w_a \cdot a \in \Lambda$.



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Demazure crystals



Define operators \mathfrak{D}_i on subsets $X \subseteq \mathcal{B}$ by $\mathfrak{D}_i X = \{b \in \mathcal{B} \mid e_i^k(b) \in X\}$ For $w = s_1 \cdots s_k$ reduced expression

$$\mathbb{B}_w(\lambda) = \mathfrak{D}_{i_1} \cdots \mathfrak{D}_{i_k} \{u_\lambda\}$$

where u_{λ} is the highest weight of $\mathbb{B}(\lambda)$.

Theorem (Kashiwara 1993)

The Demazure character κ_a is given by

$$\kappa_a = \operatorname{char}\left(V_w^\lambda\right) = \operatorname{char}\left(\mathcal{B}_w(\lambda)\right)$$

Example (Compute $\mathbb{B}_{2413}(2, 2, 1, 0)$)

For w = 2413, we may take $w = s_1 s_3 s_2$

$$\begin{split} \kappa_{(1,2,0,2)} &= x_1^2 x_2^2 x_3 + x_1^2 x_2 x_3^2 \\ &+ x_1^2 x_2^2 x_4 + x_1^2 x_2 x_3 x_4 + x_1^2 x_2 x_4^2 \\ &+ x_1 x_2^2 x_3^2 + x_1 x_2^2 x_3 x_4 + x_1 x_2^2 x_4^2 \end{split}$$



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Semistandard key tableaux

Definition (Assaf 2018, Mason 2009)

An SSKT(a) is a filling $T : a \to \mathbb{N}$ such that

- $T(c) \ge T(d)$ if c left of d same row
- if $T(c) \le T(d)$ for c above d, $\exists e \text{ right of } d \text{ s.t. } T(c) < T(e)$
- $T(c) \le \operatorname{row}(c)$



Theorem (Assaf 2018, Mason 2009)

$$\kappa_a(X) = \sum_{T \in \text{SSKT}(a)} x_1^{\text{wt}(T)_1} \cdots x_n^{\text{wt}(T)_n}$$

Definition (Pairings of cells)

Two cells *i* and i + 1 are paired if in the same column or *i* left of i + 1 and no unpaired cells *i* or i + 1 between.



Definition (Crystal raising operators)

For $T \in SSKT(a)$ and $1 \le i < n, e_i(T)$ is

- $e_i(T) = 0$ if T has no unpaired i + 1

Theorem (Assaf–Schilling 2018)

This is a Demazure crystal for SSKT(a).

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Examples of Demazure crystals on key tableaux





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Raising operators on key tabloids

Same pairing rule as for key tableaux.



Definition (Crystal raising operators)

For $T \in \text{SSKD}(a)$ and $1 \leq i < n, e_i(T)$ is

- $e_i(T) = 0$ if T has no unpaired i + 1
- change rightmost unpaired i + 1 to iand change $i \mapsto H \mapsto i$ and change $H \mapsto H \mapsto i$ to the left and change $H \mapsto i$ to the right

Theorem (Assaf–González 2018)

This is a Demazure crystal for SSKD(a) that preserves the major index.

We construct an explicit bijection between SSKD(a) and SSKT using Kohnert's algorithm for computing Demazure characters.



The bijection intertwines our operators with the Assaf–Schilling operators.



Demazure subsets

Recall $\mathbb{B}_{w}(\lambda) = \mathfrak{D}_{i_{1}} \cdots \mathfrak{D}_{i_{k}}\{u_{\lambda}\}$ where u_{λ} is highest wt and $\mathfrak{D}_{i}(X) = \{b \in \mathbb{B}(\lambda) \mid e_{i}^{k}(b) \in X\}.$

Question: Given a subset $X \subseteq \mathbb{B}(\lambda)$, how can we determine if $X = \mathbb{B}_w(\lambda)$ for some *w*?



Theorem (Assaf–González 2019)

Every Demazure crystal $\mathbb{B}_w(\lambda)$ is a demazure subset of $\mathbb{B}(\lambda)$, and every demazure subset $X \subseteq \mathbb{B}(\lambda)$ is a demazure crystal $X = \mathbb{B}_w(\lambda)$.



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Examples of key tabloid crystals





Highest weights

Theorem (Assaf 2018; Assaf–González 2018⁺)

Nonsymmetric Macdonald polynomials are q-graded sums of Demazure characters.

A connected crystal has a unique highest weight element u characterized by $e_i(u) = 0$ for all i.

$$\operatorname{char}(\mathcal{B}) = \sum_{u \in \mathcal{B} \text{ s.t. } e_i(u) = 0 \, \forall i} s_{\operatorname{wt}(u)}(x_1, \dots, x_n)$$

 $\text{Recall } E_{(\mu_n,\mu_{n-1},\ldots,\mu_1)}(X;q,0) = \omega H_{\mu^T}(X;0,q) \text{ and } \kappa_{(\lambda_n,\lambda_{n-1},\ldots,\lambda_1)}(X) = s_\lambda(X).$

Theorem (Assaf–González 2018+)

$$H_{\mu}\tau(X;t) = \sum_{\substack{U \in SSKD(u_{\pi}, u_{\pi}, \dots, u_{M}) \ St \ e_{i}(U) = 0 \ \forall \ i}} t^{\operatorname{maj}(U)} s_{\operatorname{wt}(U)}\tau(X)$$

Example (The six highest weight elements of SSKD(0, 0, 2, 3))

2	2
1	1

T	1	2	
		1	
]		l	1

3	[
	[

2	2	Γ
	1	· · ·
	1	L

2	4
1	3

2	4
1	3
	-

$$\begin{split} & E_{(0^3,2,3)}(X;q,0) = \kappa_{(0^3,2,3)} + q \kappa_{(0^2,1,1,3)} + (q+q^2) \kappa_{(0^2,1,2,2)} + (q^2+q^3) \kappa_{(0,1,1,1,2)} + q^4 \kappa_{(1,1,1,1,1)} \\ & H_{(2,2,1)}(X;0,t) = s_{(2,2,1)} + t s_{(3,1,1)} + (t+t^2) s_{(3,2)} + (t^2+t^3) s_{(4,1)} + t^5 s_{(5)} \end{split}$$



Demazure lowest weights

Demazure crystals have unique highest weights but $\mathcal{B}_w(\lambda)$ has highest weight λ for every w.



 $\lim_{m \to \infty} E_{0^m \times (0,3,0,2)}(X;q,0) = s_{(3,2)} + qs_{(3,1,1)} + (q+q^2)s_{(2,2,1)} + (q^2+q^3)s_{(2,1,1,1)} + ??$ $H_{(2,2,1)}(X;0,t) = s_{(2,2,1)} + ts_{(3,1,1)} + (t+t^2)s_{(3,2)} + (t^2+t^3)s_{(4,1)} + t^4s_{(5)}$



 $E_{(0,3,0,2)}(X;q,0) = \kappa_{(0,3,0,2)} + q\kappa_{(0,3,1,1)} + q\kappa_{(0,2,1,2)} + q^2\kappa_{(0,1,2,2)} + q^2\kappa_{(1,2,1,1)} + q^3\kappa_{(1,1,1,2)}$



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Refinement of Kostka–Foulkes polynomials

Theorem (Assaf–González 2018+)

The specialized nonsymmetric Macdonald polynomial $E_a(X; q, 0)$ is given by

$$E_{a}(X;q,0) = \sum_{\substack{Z \in \text{SSKD}(a) \\ Z \text{ Demazure lowest weight}}} q^{\text{maj}(Z)} \kappa_{\text{wt}(Z)}(X)$$

$$E_{(0,3,0,2)}(X;q,0) = \underbrace{\kappa_{(0,3,0,2)}}_{s_{(2,2,1)}} + \underbrace{q\kappa_{(0,3,1,1)}}_{t_{s_{(3,1,1)}}} + \underbrace{q\kappa_{(0,2,1,2)} + q^2\kappa_{(0,1,2,2)}}_{(t+t^2)s_{(3,2)}} + \underbrace{q^2\kappa_{(1,2,1,1)} + q^3\kappa_{(1,1,1,2)}}_{(t^2+t^3)s_{(4,1)}}$$

Define nonsymmetric Kostka–Foulkes coefficients $K_{a,b}(q)$ by $E_b(X;q,0) = \sum_a K_{a,b}(q)\kappa_a(X)$

Corollary

For *b* with column heights μ such that SSKT(*b*) has no virtual highest weight elements

$$K_{\lambda,\mu}(t) = \sum_{\text{sort}(a)=\lambda^T} K_{a,b}(t)$$



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Thank You