

Homogenization in non-convex Hencky plasticity and the limit of vanishing hardening in Hencky plasticity with non-convex potentials

Bernd Schmidt

Universität Augsburg, Germany

*Topics in the Calculus of Variations:
Recent Advances and New Trends*

Banff, May 24th, 2017

joint work with Martin Jesenko¹ (Augsburg, now Freiburg)

¹ responsible for the hard work

Overview

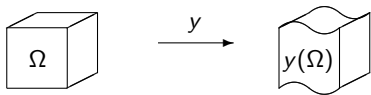
- 1 Introduction
- 2 Function spaces
- 3 Warm up: a regularized problem
- 4 Recovery sequence
- 5 liminf inequality
- 6 Main results

Overview

- 1 Introduction
- 2 Function spaces
- 3 Warm up: a regularized problem
- 4 Recovery sequence
- 5 liminf inequality
- 6 Main results

Modeling plastic deformations

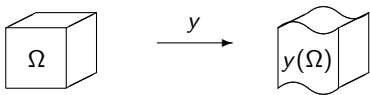
Consider a solid material, occupying a region Ω , subject to a deformation $\Omega \ni x \mapsto x + u(x)$ with (small) displacement u , caused by some (small) loading.



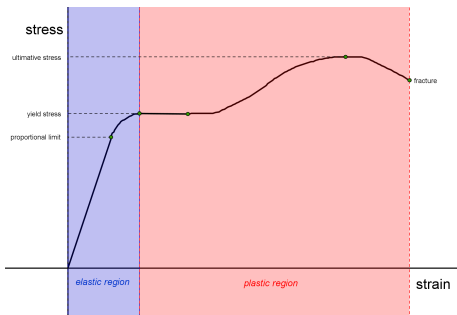
$\Omega \subset \mathbb{R}^d$, $d = 2, 3$: ref' config.
 $y = \text{id} + u : \Omega \rightarrow \mathbb{R}^d$: deformation.

Modeling plastic deformations

Consider a solid material, occupying a region Ω , subject to a deformation $\Omega \ni x \mapsto x + u(x)$ with (small) displacement u , caused by some (small) loading.



$\Omega \subset \mathbb{R}^d$, $d = 2, 3$: ref' config.
 $y = \text{id} + u : \Omega \rightarrow \mathbb{R}^d$: deformation.

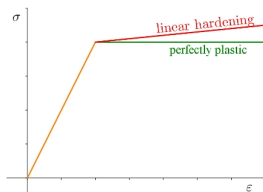


- For very small u , the body behaves **elastically** and will – after unloading – return to its original state $u = 0$.
- For larger values of u (small but not very small), the body behaves **plastically**. After unloading it is permanently deformed.

Atomistic explanation: Reorganized atomic bonds.

Hencky plasticity

Simplified static theory: Hencky plasticity (or 'pseudoelasticity').

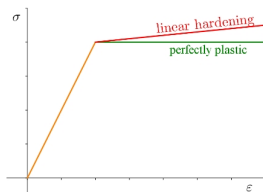


- **elastic** regime with linear dependence,
- **perfectly plastic** regime (Hencky plasticity),
- **plastic** regime with **linear hardening**.

Elastic region K is determined by some yield criterion (von Mises, Tresca, ...).

Hencky plasticity

Simplified static theory: Hencky plasticity (or 'pseudoelasticity').

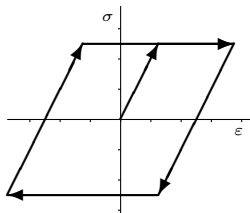


- elastic regime with linear dependence,
- perfectly plastic regime (Hencky plasticity),
- plastic regime with linear hardening.

Elastic region K is determined by some yield criterion (von Mises, Tresca, ...).

Draw back: Static theory does not keep track of the history.

- misses hysteresis effects
- can only apply to one-time loading.



Energy functionals and homogenization

Hencky energy functional at zero hardening

$$\mathcal{F}(u) = \int_{\Omega} f(\mathfrak{E}u(x)) \, dx \quad \text{with} \quad f(X) = f_{\text{dev}}(X_{\text{dev}}) + \frac{\kappa}{2}(\text{tr } X)^2,$$

$\mathfrak{E}u(x) = \frac{1}{2}(\nabla u(x) + \nabla u(x)^T)$, $X_{\text{dev}} = X - \frac{\text{tr } X}{n}I$ and f_{dev} is convex with linear growth at ∞ .

Energy functionals and homogenization

Hencky energy functional at zero hardening

$$\mathcal{F}(u) = \int_{\Omega} f(\mathfrak{E}u(x)) \, dx \quad \text{with} \quad f(X) = f_{\text{dev}}(X_{\text{dev}}) + \frac{\kappa}{2}(\text{tr } X)^2,$$

$\mathfrak{E}u(x) = \frac{1}{2}(\nabla u(x) + \nabla u(x)^T)$, $X_{\text{dev}} = X - \frac{\text{tr } X}{n}I$ and f_{dev} is convex with linear growth at ∞ .

Caveat: f has **mixed growth**: linear in the deviatoric direction and quadratic in the trace.

Energy functionals and homogenization

Hencky energy functional at zero hardening

$$\mathcal{F}(u) = \int_{\Omega} f(\mathfrak{E}u(x)) \, dx \quad \text{with} \quad f(X) = f_{\text{dev}}(X_{\text{dev}}) + \frac{\kappa}{2}(\text{tr } X)^2,$$

$\mathfrak{E}u(x) = \frac{1}{2}(\nabla u(x) + \nabla u(x)^T)$, $X_{\text{dev}} = X - \frac{\text{tr } X}{n}I$ and f_{dev} is convex with linear growth at ∞ .

Caveat: f has **mixed growth**: linear in the deviatoric direction and quadratic in the trace.

[Demengel, Qi '90]: Homogenization of densities $f(\frac{x}{\varepsilon}, X)$, **convex** in X .

Energy functionals and homogenization

Hencky energy functional at zero hardening

$$\mathcal{F}(u) = \int_{\Omega} f(\mathfrak{E}u(x)) \, dx \quad \text{with} \quad f(X) = f_{\text{dev}}(X_{\text{dev}}) + \frac{\kappa}{2}(\text{tr } X)^2,$$

$\mathfrak{E}u(x) = \frac{1}{2}(\nabla u(x) + \nabla u(x)^T)$, $X_{\text{dev}} = X - \frac{\text{tr } X}{n}I$ and f_{dev} is convex with linear growth at ∞ .

Caveat: f has **mixed growth**: linear in the deviatoric direction and quadratic in the trace.

[Demengel, Qi '90]: Homogenization of densities $f(\frac{x}{\varepsilon}, X)$, **convex** in X .

Main goals: In a **nonlinear setting** with **non-convex** (multi-well) energy densities study:

- homogenization and the
- influence of a small hardening parameter.

Energy functionals and homogenization

Hencky energy functional at zero hardening

$$\mathcal{F}(u) = \int_{\Omega} f(\mathfrak{E}u(x)) \, dx \quad \text{with} \quad f(X) = f_{\text{dev}}(X_{\text{dev}}) + \frac{\kappa}{2}(\text{tr } X)^2,$$

$\mathfrak{E}u(x) = \frac{1}{2}(\nabla u(x) + \nabla u(x)^T)$, $X_{\text{dev}} = X - \frac{\text{tr } X}{n}I$ and f_{dev} is convex with linear growth at ∞ .

Caveat: f has **mixed growth**: linear in the deviatoric direction and quadratic in the trace.

[Demengel, Qi '90]: Homogenization of densities $f(\frac{\kappa}{\varepsilon}, X)$, **convex** in X .

Main goals: In a **nonlinear setting** with **non-convex** (multi-well) energy densities study:

- homogenization and the
- influence of a small hardening parameter.

Note: Techniques completely different from [Demengel, Qi '90] who strongly use convex analysis tools (consider $f(\mu)$, $\mu \in M$).

Set-up: energy densities

Let $\Omega \subset \mathbb{R}^n$ be a domain with Lipschitz boundary and $f : \mathbb{R}^n \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ a Carathéodory function that is \mathbb{I}^n -periodic, $\mathbb{I} = (0, 1)$, in the first variable and satisfies

- the **growth condition of Hencky plasticity**

$$\alpha(|X_{\text{dev}}| + (\text{tr } X)^2) \leq f(x, X) \leq \beta(|X_{\text{dev}}| + (\text{tr } X)^2 + 1)$$

for suitable $\alpha, \beta > 0$ for a.e. $x \in \Omega$ and every $X \in \mathbb{R}_{\text{sym}}^{n \times n}$ and

- an **asymptotic convexity assumption**: $\forall \eta > 0$ there is $\beta_\eta > 0$ and a Carathéodory function $c : \mathbb{R}^n \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ that is \mathbb{I}^n -periodic in the first variable and convex in the second such that for a.e. $x \in \mathbb{R}^n$ and all $X \in \mathbb{R}_{\text{sym}}^{n \times n}$

$$|f(x, X) - c^\eta(x, X)| \leq \eta(|X_{\text{dev}}| + (\text{tr } X)^2) + \beta_\eta.$$

Set-up: energy densities

Let $\Omega \subset \mathbb{R}^n$ be a domain with Lipschitz boundary and $f : \mathbb{R}^n \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ a Carathéodory function that is \mathbb{I}^n -periodic, $\mathbb{I} = (0, 1)$, in the first variable and satisfies

- the **growth condition of Hencky plasticity**

$$\alpha(|X_{\text{dev}}| + (\text{tr } X)^2) \leq f(x, X) \leq \beta(|X_{\text{dev}}| + (\text{tr } X)^2 + 1)$$

for suitable $\alpha, \beta > 0$ for a.e. $x \in \Omega$ and every $X \in \mathbb{R}_{\text{sym}}^{n \times n}$ and

- an **asymptotic convexity assumption**: $\forall \eta > 0$ there is $\beta_\eta > 0$ and a Carathéodory function $c : \mathbb{R}^n \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ that is \mathbb{I}^n -periodic in the first variable and convex in the second such that for a.e. $x \in \mathbb{R}^n$ and all $X \in \mathbb{R}_{\text{sym}}^{n \times n}$

$$|f(x, X) - c^\eta(x, X)| \leq \eta(|X_{\text{dev}}| + (\text{tr } X)^2) + \beta_\eta.$$

Example. Periodic mixtures of shape memory alloys subject to elastic and plastic deformation. Common (geometrically linear) model:

$$W(x, X) = \frac{1}{2} \min_{i=1, \dots, N} Q(x, X - X_i(x)).$$

Main results (informal)

Let $f^{(\delta)}(x, X) = f(x, X) + \delta|X|^2$. We consider (each for suitable u):

$$\mathcal{F}_\varepsilon(u) = \int_{\Omega} f\left(\frac{x}{\varepsilon}, \mathcal{E}u(x)\right) dx \quad (\text{perfect plasticity}),$$

$$\mathcal{F}_\varepsilon^{(\delta)}(u) = \int_{\Omega} f^{(\delta)}\left(\frac{x}{\varepsilon}, \mathcal{E}u(x)\right) dx \quad (\text{with hardening}).$$

Main results (informal)

Let $f^{(\delta)}(x, X) = f(x, X) + \delta|X|^2$. We consider (each for suitable u):

$$\mathcal{F}_\varepsilon(u) = \int_{\Omega} f\left(\frac{x}{\varepsilon}, \mathfrak{E}u(x)\right) dx \quad (\text{perfect plasticity}),$$

$$\mathcal{F}_\varepsilon^{(\delta)}(u) = \int_{\Omega} f^{(\delta)}\left(\frac{x}{\varepsilon}, \mathfrak{E}u(x)\right) dx \quad (\text{with hardening}).$$

Theorem A. \mathcal{F}_ε Γ -converges to \mathcal{F}_{hom} , where (for suitable u)

$$\mathcal{F}_{\text{hom}}(u) = \int_{\Omega} f_{\text{hom}}(\mathfrak{E}u(x)) dx + (f_{\text{hom}})^{\#}(\text{sing. part of } \frac{(Du)^T + Du}{2}).$$

Main results (informal)

Let $f^{(\delta)}(x, X) = f(x, X) + \delta|X|^2$. We consider (each for suitable u):

$$\mathcal{F}_\varepsilon(u) = \int_\Omega f\left(\frac{x}{\varepsilon}, \mathcal{E}u(x)\right) dx \quad (\text{perfect plasticity}),$$

$$\mathcal{F}_\varepsilon^{(\delta)}(u) = \int_\Omega f^{(\delta)}\left(\frac{x}{\varepsilon}, \mathcal{E}u(x)\right) dx \quad (\text{with hardening}).$$

Theorem A. \mathcal{F}_ε Γ -converges to \mathcal{F}_{hom} , where (for suitable u)

$$\mathcal{F}_{\text{hom}}(u) = \int_\Omega f_{\text{hom}}(\mathcal{E}u(x)) dx + (f_{\text{hom}})^\#(\text{sing. part of } \frac{Du}{2} + Du).$$

Theorem B. The following diagrams commute:

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{F}_\varepsilon^{(0)} \\
 \downarrow \Gamma & & \downarrow \Gamma \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\Gamma} & \mathcal{F}_{\text{hom}}
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma} & \text{lsc } \mathcal{F}_\varepsilon^{(0)} = \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma & & \downarrow \Gamma \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\Gamma} & \mathcal{F}_{\text{hom}}
 \end{array}$$

Overview

- 1 Introduction
- 2 Function spaces**
- 3 Warm up: a regularized problem
- 4 Recovery sequence
- 5 liminf inequality
- 6 Main results

Domain of \mathcal{F}_ε and its closure

Requirements for the domain of \mathcal{F}_ε : $Eu = \frac{(Du)^T + Du}{2}$ must satisfy

- $Eu \in L^1(\Omega; \mathbb{R}_{\text{sym}}^{n \times n})$,

Domain of \mathcal{F}_ε and its closure

Requirements for the domain of \mathcal{F}_ε : $Eu = \frac{(Du)^T + Du}{2}$ must satisfy

- $Eu \in L^1(\Omega; \mathbb{R}_{\text{sym}}^{n \times n})$, \rightarrow consider

$$LD(\Omega) := \{u \in L^1(\Omega; \mathbb{R}^n) : Eu \in L^1(\Omega; \mathbb{R}^{n \times n})\},$$

$$\|u\|_{LD} = \|u\|_{L^1} + \|Eu\|_{L^1}.$$

Domain of \mathcal{F}_ε and its closure

Requirements for the domain of \mathcal{F}_ε : $Eu = \frac{(Du)^T + Du}{2}$ must satisfy

- $Eu \in L^1(\Omega; \mathbb{R}_{\text{sym}}^{n \times n})$, \rightarrow consider

$$LD(\Omega) := \{u \in L^1(\Omega; \mathbb{R}^n) : Eu \in L^1(\Omega; \mathbb{R}^{n \times n})\},$$

$$\|u\|_{LD} = \|u\|_{L^1} + \|Eu\|_{L^1}.$$

- $\text{tr } Eu = \text{div } u \in L^2(\Omega)$,

Domain of \mathcal{F}_ε and its closure

Requirements for the domain of \mathcal{F}_ε : $Eu = \frac{(Du)^T + Du}{2}$ must satisfy

- $Eu \in L^1(\Omega; \mathbb{R}_{\text{sym}}^{n \times n})$, \rightarrow consider

$$LD(\Omega) := \{u \in L^1(\Omega; \mathbb{R}^n) : Eu \in L^1(\Omega; \mathbb{R}^{n \times n})\},$$

$$\|u\|_{LD} = \|u\|_{L^1} + \|Eu\|_{L^1}.$$

- $\text{tr } Eu = \text{div } u \in L^2(\Omega)$, \rightarrow consider

$$LU(\Omega) := \{u \in LD(\Omega) : \text{div } u \in L^2(\Omega)\},$$

$$\|u\|_{LU} = \|u\|_{LD} + \|\text{div } u\|_{L^2}.$$

Domain of \mathcal{F}_ε and its closure

Requirements for the domain of \mathcal{F}_ε : $Eu = \frac{(Du)^T + Du}{2}$ must satisfy

- $Eu \in L^1(\Omega; \mathbb{R}_{\text{sym}}^{n \times n})$, \rightarrow consider

$$LD(\Omega) := \{u \in L^1(\Omega; \mathbb{R}^n) : Eu \in L^1(\Omega; \mathbb{R}^{n \times n})\},$$

$$\|u\|_{LD} = \|u\|_{L^1} + \|Eu\|_{L^1}.$$

- $\text{tr } Eu = \text{div } u \in L^2(\Omega)$, \rightarrow consider

$$LU(\Omega) := \{u \in LD(\Omega) : \text{div } u \in L^2(\Omega)\},$$

$$\|u\|_{LU} = \|u\|_{LD} + \|\text{div } u\|_{L^2}.$$

Problem: \mathcal{F}_ε is **not coercive on LU** .

Domain of \mathcal{F}_ε and its closure

Requirements for the domain of \mathcal{F}_ε : $Eu = \frac{(Du)^T + Du}{2}$ must satisfy

- $Eu \in L^1(\Omega; \mathbb{R}_{\text{sym}}^{n \times n})$, \rightarrow consider

$$LD(\Omega) := \{u \in L^1(\Omega; \mathbb{R}^n) : Eu \in L^1(\Omega; \mathbb{R}^{n \times n})\},$$

$$\|u\|_{LD} = \|u\|_{L^1} + \|Eu\|_{L^1}.$$

- $\text{tr } Eu = \text{div } u \in L^2(\Omega)$, \rightarrow consider

$$LU(\Omega) := \{u \in LD(\Omega) : \text{div } u \in L^2(\Omega)\},$$

$$\|u\|_{LU} = \|u\|_{LD} + \|\text{div } u\|_{L^2}.$$

Problem: \mathcal{F}_ε is **not coercive on LU** .

General problems with linear growth: Pass from $LD(\Omega)$ to

$$BD(\Omega) = \left\{ u \in L^1(\Omega; \mathbb{R}^n) : Eu = \frac{(Du)^T + Du}{2} \in M(\Omega; \mathbb{R}^{n \times n}) \right\},$$

$$\|u\|_{BD} = \|u\|_{L^1} + \|Eu\|_M. \quad (\text{Decompose: } Eu = \mathcal{E}u\mathcal{L}^n + E^s u.)$$

Domain of \mathcal{F}_ε and its closure

Requirements for the domain of \mathcal{F}_ε : $Eu = \frac{(Du)^T + Du}{2}$ must satisfy

- $Eu \in L^1(\Omega; \mathbb{R}_{\text{sym}}^{n \times n})$, \rightarrow consider

$$LD(\Omega) := \{u \in L^1(\Omega; \mathbb{R}^n) : Eu \in L^1(\Omega; \mathbb{R}^{n \times n})\},$$

$$\|u\|_{LD} = \|u\|_{L^1} + \|Eu\|_{L^1}.$$

- $\text{tr } Eu = \text{div } u \in L^2(\Omega)$, \rightarrow consider

$$LU(\Omega) := \{u \in LD(\Omega) : \text{div } u \in L^2(\Omega)\},$$

$$\|u\|_{LU} = \|u\|_{LD} + \|\text{div } u\|_{L^2}.$$

Problem: \mathcal{F}_ε is **not coercive on LU** .

General problems with linear growth: Pass from $LD(\Omega)$ to

$$BD(\Omega) = \left\{u \in L^1(\Omega; \mathbb{R}^n) : Eu = \frac{(Du)^T + Du}{2} \in M(\Omega; \mathbb{R}^{n \times n})\right\},$$

$$\|u\|_{BD} = \|u\|_{L^1} + \|Eu\|_M. \quad (\text{Decompose: } Eu = \mathcal{E}u\mathcal{L}^n + E^s u.)$$

Hencky plasticity setting: Pass from $LU(\Omega)$ to

$$U(\Omega) = \{u \in BD(\Omega) : \text{div } u \in L^2(\Omega)\},$$

$$\|u\|_U = \|u\|_{BD} + \|\text{div } u\|_{L^2}. \quad (\text{Clearly, } E^s u = E_{\text{dev}}^s u.)$$

Strict/intermediate topology

We will need a topology that is weak enough to allow for smooth functions being dense and strong enough to allow for good continuity properties.

Strict/intermediate topology

We will need a topology that is weak enough to allow for smooth functions being dense and strong enough to allow for good continuity properties.

Suppose $c \geq 0$ is a convex function with linear upper bound, e.g.
 $c(X) = \langle X \rangle = \sqrt{1 + |X|^2}$.

Strict/intermediate topology

We will need a topology that is weak enough to allow for smooth functions being dense and strong enough to allow for good continuity properties.

Suppose $c \geq 0$ is a convex function with linear upper bound, e.g. $c(X) = \langle X \rangle = \sqrt{1 + |X|^2}$. (Then one can define $c(\text{measure})$.)

Strict/intermediate topology

We will need a topology that is weak enough to allow for smooth functions being dense and strong enough to allow for good continuity properties.

Suppose $c \geq 0$ is a convex function with linear upper bound, e.g. $c(X) = \langle X \rangle = \sqrt{1 + |X|^2}$. (Then one can define $c(\text{measure})$.)

Definition. ([Demengel, Temam '84], [Temam '85]) We say $u_j \xrightarrow{c} u$ (' c -strictly') in U if

- $u_j \rightarrow u$ in $L^1(\Omega; \mathbb{R}^n)$,
- $|Eu_j|(\Omega) \rightarrow |Eu|(\Omega)$,
- $\text{div } u_j \rightarrow \text{div } u$ in $L^2(\Omega)$.
- $\int_{\Omega} c(E_{\text{dev}} u_j) \rightarrow \int_{\Omega} c(E_{\text{dev}} u)$,
- $\int_{\Omega} c(Eu_j) \rightarrow \int_{\Omega} c(Eu)$.

Strict/intermediate topology

We will need a topology that is weak enough to allow for smooth functions being dense and strong enough to allow for good continuity properties.

Suppose $c \geq 0$ is a convex function with linear upper bound, e.g. $c(X) = \langle X \rangle = \sqrt{1 + |X|^2}$. (Then one can define $c(\text{measure})$.)

Definition. ([Demengel, Temam '84], [Temam '85]) We say $u_j \xrightarrow{c} u$ (' c -strictly') in U if

- $u_j \rightarrow u$ in $L^1(\Omega; \mathbb{R}^n)$,
- $|Eu_j|(\Omega) \rightarrow |Eu|(\Omega)$,
- $\text{div } u_j \rightarrow \text{div } u$ in $L^2(\Omega)$.
- $\int_{\Omega} c(E_{\text{dev}} u_j) \rightarrow \int_{\Omega} c(E_{\text{dev}} u)$,
- $\int_{\Omega} c(Eu_j) \rightarrow \int_{\Omega} c(Eu)$.

Theorem. (Density, [Temam '83]) $\forall u \in U(\Omega)$
 $\exists (u_j)_{j \in \mathbb{N}} \subset C^\infty(\Omega; \mathbb{R}^n) \cap LU(\Omega)$ such that

$$u_j|_{\partial\Omega} = u|_{\partial\Omega} \quad \text{and} \quad u_j \xrightarrow{c} u \text{ in } U(\Omega).$$

A technical but useful auxiliary result: improved integrability

Lemma. Let $\Omega \subset \mathbb{R}^n$ be an open bounded set with $C^{1,1}$ -boundary and let $(u_j)_{j \in \mathbb{N}}$ be a bounded sequence in $U(\Omega)$. There exist a subsequence $(u_{j_k})_{k \in \mathbb{N}}$ and a sequence $(\tilde{u}_k)_{k \in \mathbb{N}} \subset U(\Omega)$ such that

- $((\operatorname{div} \tilde{u}_k)^2)_{k \in \mathbb{N}}$ is **equiintegrable**,
- $(u_{j_k} - \tilde{u}_k)_{k \in \mathbb{N}} \subset W^{1,2}(\Omega; \mathbb{R}^n)$ and therefore $E^s u_{j_k} = E^s \tilde{u}_k$,
- $\lim_{k \rightarrow \infty} |\{\nabla(\tilde{u}_k - u_{j_k}) \neq 0\} \cup \{\tilde{u}_k \neq u_{j_k}\}| = 0$.

Moreover, if $\{u_j\}_{j \in \mathbb{N}}$ converges weakly or c -strictly to u in $U(\Omega)$, then the \tilde{u}_k can be chosen in such a way that $\tilde{u}_k|_{\partial\Omega} = u|_{\partial\Omega}$ and $\{\tilde{u}_k\}_{k \in \mathbb{N}}$ converges to u in $U(\Omega)$ in the same manner.

A technical but useful auxiliary result: improved integrability

Lemma. Let $\Omega \subset \mathbb{R}^n$ be an open bounded set with $C^{1,1}$ -boundary and let $(u_j)_{j \in \mathbb{N}}$ be a bounded sequence in $U(\Omega)$. There exist a subsequence $(u_{j_k})_{k \in \mathbb{N}}$ and a sequence $(\tilde{u}_k)_{k \in \mathbb{N}} \subset U(\Omega)$ such that

- $((\operatorname{div} \tilde{u}_k)^2)_{k \in \mathbb{N}}$ is **equiintegrable**,
- $(u_{j_k} - \tilde{u}_k)_{k \in \mathbb{N}} \subset W^{1,2}(\Omega; \mathbb{R}^n)$ and therefore $E^s u_{j_k} = E^s \tilde{u}_k$,
- $\lim_{k \rightarrow \infty} |\{\nabla(\tilde{u}_k - u_{j_k}) \neq 0\} \cup \{\tilde{u}_k \neq u_{j_k}\}| = 0$.

Moreover, if $\{u_j\}_{j \in \mathbb{N}}$ converges weakly or c -strictly to u in $U(\Omega)$, then the \tilde{u}_k can be chosen in such a way that $\tilde{u}_k|_{\partial\Omega} = u|_{\partial\Omega}$ and $\{\tilde{u}_k\}_{k \in \mathbb{N}}$ converges to u in $U(\Omega)$ in the same manner.

Proof uses a **Helmholtz decomposition** in U :

$$U(\Omega) = (\ker \operatorname{div}) \oplus (\operatorname{im} \nabla)$$

and the corresponding improved integrability result on $W^{1,2}$, cf [Fonseca, Müller, Pedregal '98].

Overview

- 1 Introduction
- 2 Function spaces
- 3 Warm up: a regularized problem**
- 4 Recovery sequence
- 5 liminf inequality
- 6 Main results

Nonzero hardening

For any $\delta \geq 0$ we set $f^{(\delta)}(x, X) := f(x, X) + \delta |X_{\text{dev}}|^2$ and let

$$\mathcal{F}_\varepsilon^{(\delta)}(u) := \begin{cases} \int_\Omega f^{(\delta)}\left(\frac{x}{\varepsilon}, \mathbb{E}u(x)\right) dx, & u \in W^{1,2}(\Omega; \mathbb{R}^n), \\ \infty, & \text{else.} \end{cases}$$

For $\delta > 0$ the densities have a **quadratic growth** in $|X_{\text{sym}}|$. With the help of Korn's inequality and **standard homogenization** results [Braides '85, Müller '87] we obtain

$$\Gamma(L^1)\text{-}\lim_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon^{(\delta)} = \mathcal{F}_{\text{hom}}^{(\delta)},$$

where $\mathcal{F}_{\text{hom}}^{(\delta)}$ has domain $W^{1,2}(\Omega; \mathbb{R}^n)$ and density

$$f_{\text{hom}}^{(\delta)}(X) = \inf_{k \in \mathbb{N}} \inf_{\varphi \in W_0^{1,2}(k\mathbb{I}^n, \mathbb{R}^n)} \frac{1}{k^n} \int_{k\mathbb{I}^n} f^{(\delta)}(x, X + \mathbb{E}\varphi(x)) dx.$$

Vanishing hardening

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{F}_\varepsilon^{(0)} \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & &
 \end{array}$$

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon^{(0)} = \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & &
 \end{array}$$

Vanishing hardening

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{F}_\varepsilon^{(0)} \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & &
 \end{array}$$

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon^{(0)} = \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & &
 \end{array}$$

Let

$$f_{\text{hom}}(X) := \inf_{k \in \mathbb{N}} \inf_{\varphi \in C_c^\infty(k\mathbb{I}^n; \mathbb{R}^n)} \frac{1}{k^n} \int_{k\mathbb{I}^n} f(x, X + \mathfrak{E}\varphi(x)) \, dx.$$

Clearly

$$f_{\text{hom}}(X) = \inf_{\delta > 0} f_{\text{hom}}^{(\delta)}(X).$$

Define

$$g^{(0)}(u) := \begin{cases} \int_\Omega f_{\text{hom}}(\mathfrak{E}u(x)), & u \in LU(\Omega; \mathbb{R}^n) \cap W^{1,2}(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{cases}$$

Vanishing hardening

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{F}_\varepsilon^{(0)} \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{G}^{(0)}
 \end{array}$$

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon^{(0)} = \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{G}^{(0)} = \text{lsc } \mathcal{G}
 \end{array}$$

Let

$$f_{\text{hom}}(X) := \inf_{k \in \mathbb{N}} \inf_{\varphi \in C_c^\infty(k\mathbb{I}^n; \mathbb{R}^n)} \frac{1}{k^n} \int_{k\mathbb{I}^n} f(x, X + \mathfrak{E}\varphi(x)) \, dx.$$

Clearly

$$f_{\text{hom}}(X) = \inf_{\delta > 0} f_{\text{hom}}^{(\delta)}(X).$$

Define

$$\mathcal{G}^{(0)}(u) := \begin{cases} \int_{\Omega} f_{\text{hom}}(\mathfrak{E}u(x)), & u \in LU(\Omega; \mathbb{R}^n) \cap W^{1,2}(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{cases}$$

Vanishing hardening

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{F}_\varepsilon^{(0)} \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{G}^{(0)}
 \end{array}$$

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon^{(0)} = \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{G}^{(0)} = \text{lsc } \mathcal{G}
 \end{array}$$

Let

$$f_{\text{hom}}(X) := \inf_{k \in \mathbb{N}} \inf_{\varphi \in C_c^\infty(k\mathbb{I}^n; \mathbb{R}^n)} \frac{1}{k^n} \int_{k\mathbb{I}^n} f(x, X + \mathfrak{E}\varphi(x)) \, dx.$$

Clearly

$$f_{\text{hom}}(X) = \inf_{\delta > 0} f_{\text{hom}}^{(\delta)}(X).$$

Define

$$\mathcal{G}^{(0)}(u) := \begin{cases} \int_{\Omega} f_{\text{hom}}(\mathfrak{E}u(x)), & u \in LU(\Omega; \mathbb{R}^n) \cap W^{1,2}(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{cases}$$

Notice

$$\mathcal{F}_{\text{hom}}^{(\delta)} \geq \Gamma\text{-lim sup}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \quad \text{and therefore} \quad \text{lsc } \mathcal{G} \geq \Gamma\text{-lim sup}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon.$$

Overview

- 1 Introduction
- 2 Function spaces
- 3 Warm up: a regularized problem
- 4 Recovery sequence**
- 5 liminf inequality
- 6 Main results

Basic ingredient

Theorem. (Reshetnyak continuity theorem, cf. [Kristensen, Rindler '10]).
Let $f \in \mathbf{E}(\Omega; \mathbb{R}^N)$, and

$$\mu_j \xrightarrow{*} \mu \quad \text{in } M(\Omega; \mathbb{R}^N) \quad \text{and} \quad \langle \mu_j \rangle(\Omega) \rightarrow \langle \mu \rangle(\Omega).$$

Then

$$\begin{aligned} \lim_{j \rightarrow \infty} \left[\int_{\Omega} f \left(x, \frac{d\mu_j^a}{d\mathcal{L}^n}(x) \right) dx + \int_{\Omega} f^{\infty} \left(x, \frac{d\mu_j^s}{d|\mu_j^s|}(x) \right) d|\mu_j^s|(x) \right] = \\ = \int_{\Omega} f \left(x, \frac{d\mu^a}{d\mathcal{L}^n}(x) \right) dx + \int_{\Omega} f^{\infty} \left(x, \frac{d\mu^s}{d|\mu^s|}(x) \right) d|\mu^s|(x). \end{aligned}$$

Here

- $\mathbf{E} = \{\text{functions extendable to } \infty\}$ (with linear growth)

- $\langle A \rangle := \sqrt{1 + |A|^2}$

- $f^{\infty}(x_0, X_0) = \limsup_{x \rightarrow x_0, t \rightarrow \infty} \frac{f(x_0, tX)}{t} = \lim_{\substack{x \rightarrow x_0 \\ x \rightarrow x_0, t \rightarrow \infty}} \frac{f(x, tX)}{t}$

$\langle \cdot \rangle$ -strict continuity

Theorem. Let $f : \Omega \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ be a continuous function that

- is symmetric-rank-one-convex in the second variable,
- satisfies the Hencky growth condition.

Suppose that $(f_{\text{dev}})^\infty(\cdot, P_0) = (f|_{\Omega \times \mathbb{R}_{\text{dev}}^{n \times n}})^\infty(\cdot, P_0) = \limsup_{P \rightarrow P_0, t \rightarrow \infty} \frac{f_{\text{dev}}(\cdot, tP)}{t}$ is

continuous for every fixed $P_0 \in \mathbb{R}_{\text{dev}}^{n \times n}$.

$\langle \cdot \rangle$ -strict continuity

Theorem. Let $f : \Omega \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ be a continuous function that

- is symmetric-rank-one-convex in the second variable,
- satisfies the Hencky growth condition.

Suppose that $(f_{\text{dev}})^\infty(\cdot, P_0) = (f|_{\Omega \times \mathbb{R}_{\text{dev}}^{n \times n}})^\infty(\cdot, P_0) = \limsup_{P \rightarrow P_0, t \rightarrow \infty} \frac{f_{\text{dev}}(\cdot, tP)}{t}$ is

continuous for every fixed $P_0 \in \mathbb{R}_{\text{dev}}^{n \times n}$. Then the functional

$$\mathcal{F}(u) = \int_{\Omega} f(x, \mathcal{E}u(x)) \, dx + \int_{\Omega} (f_{\text{dev}})^\infty \left(x, \frac{dE^s u}{d|E^s u|}(x) \right) d|E^s u|(x)$$

is $\langle \cdot \rangle$ -strictly continuous on $U(\Omega; \mathbb{R}^n)$.

$\langle \cdot \rangle$ -strict continuity

Theorem. Let $f : \Omega \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ be a continuous function that

- is symmetric-rank-one-convex in the second variable,
- satisfies the Hencky growth condition.

Suppose that $(f_{\text{dev}})^\infty(\cdot, P_0) = (f|_{\Omega \times \mathbb{R}_{\text{dev}}^{n \times n}})^\infty(\cdot, P_0) = \limsup_{P \rightarrow P_0, t \rightarrow \infty} \frac{f_{\text{dev}}(\cdot, tP)}{t}$ is

continuous for every fixed $P_0 \in \mathbb{R}_{\text{dev}}^{n \times n}$. Then the functional

$$\mathcal{F}(u) = \int_{\Omega} f(x, \mathfrak{E}u(x)) \, dx + \int_{\Omega} (f_{\text{dev}})^\infty \left(x, \frac{dE^s u}{d|E^s u|}(x) \right) d|E^s u|(x)$$

is $\langle \cdot \rangle$ -strictly continuous on $U(\Omega; \mathbb{R}^n)$.

Ingredients of the proof:

- Careful Lipschitz estimate in the trace direction.
- Approximation of functions $\geq -\alpha(1 + |X|)$ by functions from $\mathbf{E}(\Omega; \mathbb{R}^N)$ [Alibert, Bouchitté '97].
- Rank-one theorem [De Philippis, Rindler '16]: *Let $u \in BD(\Omega; \mathbb{R}^n)$. Then, for $|E^s u|$ -a.e. $x \in \Omega$, there exist $a(x), b(x) \in \mathbb{R}^n \setminus \{0\}$ such that*

$$\frac{dE^s u}{d|E^s u|} = a(x) \odot b(x) = \frac{1}{2}(a(x) \otimes b(x) + b(x) \otimes a(x)).$$

Recovery sequence

We now have

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \downarrow \text{dashed} \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & & \Gamma\text{-}\limsup_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \\
 & \text{with } \mathcal{F}_{\text{hom}} \geq \text{lsc } \mathcal{G} \geq & \\
 & \uparrow \Gamma(L^1) &
 \end{array}$$

with

$$\mathcal{F}_{\text{hom}}(u) := \begin{cases} \int_{\Omega} f_{\text{hom}}(\mathcal{E}u(x)) \, dx + \int_{\Omega} (f_{\text{hom}})^{\#} \left(\frac{dE^s u}{d|E^s u|}(x) \right) \, d|E^s u|(x), & u \in U(\Omega; \mathbb{R}^n), \\ \infty, & \text{else.} \end{cases}$$

and

$$g^{\#}(X) := \limsup_{t \rightarrow \infty} \frac{g(tX)}{t}.$$

Overview

- 1 Introduction
- 2 Function spaces
- 3 Warm up: a regularized problem
- 4 Recovery sequence
- 5 liminf inequality**
- 6 Main results

Regular points

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \downarrow \text{dashed} \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & & \Gamma\text{-lim inf}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \\
 \uparrow \Gamma(L^1) & & \uparrow \text{?} \\
 \mathcal{F}_{\text{hom}} & \geq \text{lsc } \mathcal{G} \geq \Gamma\text{-lim sup}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \geq & \geq \mathcal{F}_{\text{hom}}
 \end{array}$$

Regular points

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \downarrow \text{dashed} \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & & \Gamma\text{-lim inf}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \stackrel{?}{\geq} \mathcal{F}_{\text{hom}}
 \end{array}$$

$$\mathcal{F}_{\text{hom}} \geq \text{lsc } \mathcal{G} \geq \Gamma\text{-lim sup}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \geq \Gamma\text{-lim inf}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \stackrel{?}{\geq} \mathcal{F}_{\text{hom}}$$

$\Gamma(L^1)$

Lemma. (regular points) If $u \in U(\Omega)$, $u_j \rightarrow u$ in L^1 and $\varepsilon_j \searrow 0$, then

$$\liminf_{j \rightarrow \infty} \mathcal{F}_{\varepsilon_j}(u_j) \geq \int_{\Omega} f_{\text{hom}}(\mathcal{E}u(x)) \, dx.$$

Regular points

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma(L^1)} & \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma(L^1) & & \downarrow \text{dashed} \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & & \Gamma\text{-lim inf}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon
 \end{array}$$

$$\mathcal{F}_{\text{hom}} \geq \text{lsc } \mathcal{G} \geq \Gamma\text{-lim sup}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \geq \Gamma\text{-lim inf}_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \stackrel{?}{\geq} \mathcal{F}_{\text{hom}}$$

$\Gamma(L^1)$ (curved arrow from $\mathcal{F}_{\text{hom}}^{(\delta)}$ to \mathcal{F}_{hom})

Lemma. (regular points) If $u \in U(\Omega)$, $u_j \rightarrow u$ in L^1 and $\varepsilon_j \searrow 0$, then

$$\liminf_{j \rightarrow \infty} \mathcal{F}_{\varepsilon_j}(u_j) \geq \int_{\Omega} f_{\text{hom}}(\mathcal{E}u(x)) \, dx.$$

Lemma. Every $u \in BD(\Omega; \mathbb{R}^n)$ is a.e. $L^{\frac{n}{n-1}}$ -differentiable: for a.e. $x_0 \in \Omega$

$$\lim_{r \rightarrow 0} \frac{1}{r^n} \int_{B_r(x_0)} \left| \frac{u(x) - u(x_0) - \nabla u(x_0)(x - x_0)}{r} \right|^{\frac{n}{n-1}} dx = 0.$$

(L^q -differentiability for $q < \frac{n}{n-1}$ shown by [Alberti, Bianchini, Crippa '14].)

Blow up

We may suppose $\liminf_{j \rightarrow \infty} \mathcal{F}_{\varepsilon_j}(u_j) < \infty$. Let us fix some $1 < q < \frac{n}{n-1}$ and define the measures

$$\mu_j := f\left(\frac{\cdot}{\varepsilon_j}, \mathfrak{E}u_j(\cdot)\right) \mathcal{L}^n.$$

Blow up

We may suppose $\liminf_{j \rightarrow \infty} \mathcal{F}_{\varepsilon_j}(u_j) < \infty$. Let us fix some $1 < q < \frac{n}{n-1}$ and define the measures

$$\mu_j := f\left(\frac{\cdot}{\varepsilon_j}, \mathfrak{E}u_j(\cdot)\right)\mathcal{L}^n.$$

Extracting subsequences we get

- $\lim_{j \rightarrow \infty} \mathcal{F}_{\varepsilon_j}(u_j)$ equals the lim inf above with all $u_j \in LU(\Omega; \mathbb{R}^n)$,
- $u_j \rightarrow u$ in $L^q(\Omega; \mathbb{R}^n)$ due to the lower bound on f and since LU is compactly embedded in L^q ,
- and $\mu_j \xrightarrow{*} \mu$ in $M(\Omega; \mathbb{R}^n)$.

Let

$$\mu = g\mathcal{L}^n + \mu^s$$

Goal: $g(x) \geq f_{\text{hom}}(\mathfrak{E}u(x))$ for a.e. $x \in \Omega$.

Blow up

We may suppose $\liminf_{j \rightarrow \infty} \mathcal{F}_{\varepsilon_j}(u_j) < \infty$. Let us fix some $1 < q < \frac{n}{n-1}$ and define the measures

$$\mu_j := f\left(\frac{\cdot}{\varepsilon_j}, \mathfrak{E}u_j(\cdot)\right)\mathcal{L}^n.$$

Extracting subsequences we get

- $\lim_{j \rightarrow \infty} \mathcal{F}_{\varepsilon_j}(u_j)$ equals the lim inf above with all $u_j \in LU(\Omega; \mathbb{R}^n)$,
- $u_j \rightarrow u$ in $L^q(\Omega; \mathbb{R}^n)$ due to the lower bound on f and since LU is compactly embedded in L^q ,
- and $\mu_j \xrightarrow{*} \mu$ in $M(\Omega; \mathbb{R}^n)$.

Let

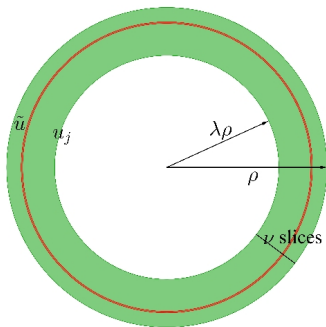
$$\mu = g\mathcal{L}^n + \mu^s$$

Goal: $g(x) \geq f_{\text{hom}}(\mathfrak{E}u(x))$ for a.e. $x \in \Omega$.

Need to show: For a.e. $x_0 \in \Omega$

$$\lim_{\rho \rightarrow 0} \lim_{j \rightarrow \infty} \frac{\mu_j(B_\rho(x_0))}{|B_\rho(x_0)|} \geq f_{\text{hom}}(\mathfrak{E}u(x_0)).$$

De Giorgi's slicing method and Bogovskii's operator



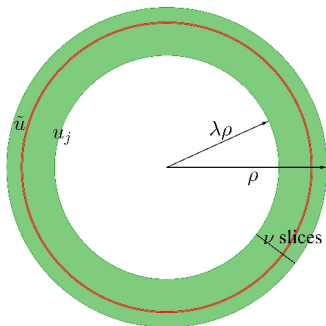
Fix any x_0 where u is **approximately differentiable**, let

$$\tilde{u}(x) := u(x_0) + \nabla u(x_0) (x - x_0).$$

Localize to suitable B_1, \dots, B_ν with cut-off functions φ_i ($\equiv 1$ on B_{i-1} , $\equiv 0$ on B_i^c):

$$\tilde{u}_{j,i} := \tilde{u} + \varphi_i(u_j - \tilde{u}) \in L^1(\Omega; \mathbb{R}^n).$$

De Giorgi's slicing method and Bogovskii's operator



Fix any x_0 where u is **approximately differentiable**, let

$$\tilde{u}(x) := u(x_0) + \nabla u(x_0) \cdot (x - x_0).$$

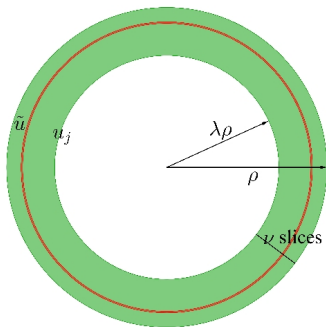
Localize to suitable B_1, \dots, B_ν with cut-off functions φ_i ($\equiv 1$ on B_{i-1} , $\equiv 0$ on B_i^c):

$$\tilde{u}_{j,i} := \tilde{u} + \varphi_i(u_j - \tilde{u}) \in L^1(\Omega; \mathbb{R}^n).$$

Problem: **No L^2 -control** on the last term in

$$\begin{aligned} \operatorname{div} \tilde{u}_{j,i} &= (1 - \varphi_i) \operatorname{div} \tilde{u} + \varphi_i \operatorname{div} u_j + \\ &\quad + \nabla \varphi_i \cdot (u_j - \tilde{u}) \end{aligned}$$

De Giorgi's slicing method and Bogovskii's operator



Fix any x_0 where u is **approximately differentiable**, let

$$\tilde{u}(x) := u(x_0) + \nabla u(x_0) \cdot (x - x_0).$$

Localize to suitable B_1, \dots, B_ν with cut-off functions φ_i ($\equiv 1$ on B_{i-1} , $\equiv 0$ on B_i^c):

$$\tilde{u}_{j,i} := \tilde{u} + \varphi_i(u_j - \tilde{u}) \in L^1(\Omega; \mathbb{R}^n).$$

Problem: **No L^2 -control** on the last term in

$$\begin{aligned} \operatorname{div} \tilde{u}_{j,i} &= (1 - \varphi_i) \operatorname{div} \tilde{u} + \varphi_i \operatorname{div} u_j + \\ &\quad + \nabla \varphi_i \cdot (u_j - \tilde{u}) \end{aligned}$$

Let $\zeta_{j,i} :=$ average of $\nabla \varphi_i \cdot (u_j - \tilde{u})$ in $B_i \setminus B_{i-1}$. **Bogovskii's operator** yields $z_{j,i} \in W_0^{1,q}(B_i \setminus \overline{B_{i-1}})$ such that

$$\operatorname{div} z_{j,i} = -\nabla \varphi_i \cdot (u_j - \tilde{u}) + \zeta_{j,i}$$

with

$$\|z_{j,i}\|_{W^{1,q}(B_i \setminus \overline{B_{i-1}})} \leq \frac{C_\nu}{(1-\lambda)\rho} \|u_j - \tilde{u}\|_{L^q(B_i \setminus \overline{B_{i-1}})}.$$

De Giorgi's slicing method and Bogovskii's operator

Now define $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega; \mathbb{R}^n)$. Notice that

$$u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$$

De Giorgi's slicing method and Bogovskii's operator

Now define $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega; \mathbb{R}^n)$. Notice that

$$u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$$

Then

$$\begin{aligned} f_{\text{hom}}(\mathfrak{E}u(x_0)) &= \lim_{j \rightarrow \infty} \inf_{\varphi \in LU_0(B_\rho(x_0), \mathbb{R}^n)} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx \\ &\leq \liminf_{j \rightarrow \infty} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx \end{aligned}$$

De Giorgi's slicing method and Bogovskii's operator

Now define $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega; \mathbb{R}^n)$. Notice that

$$u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$$

Then

$$\begin{aligned} f_{\text{hom}}(\mathfrak{E}u(x_0)) &= \lim_{j \rightarrow \infty} \inf_{\varphi \in LU_0(B_\rho(x_0), \mathbb{R}^n)} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx \\ &\leq \liminf_{j \rightarrow \infty} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx \end{aligned}$$

Averaging:
$$f_{\text{hom}}(\mathfrak{E}u(x_0)) \leq \liminf_{j \rightarrow \infty} \frac{1}{\nu} \sum_{i=1}^{\nu} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx.$$

De Giorgi's slicing method and Bogovskii's operator

Now define $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega; \mathbb{R}^n)$. Notice that

$$u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$$

Then

$$\begin{aligned} f_{\text{hom}}(\mathfrak{E}u(x_0)) &= \lim_{j \rightarrow \infty} \inf_{\varphi \in LU_0(B_\rho(x_0), \mathbb{R}^n)} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx \\ &\leq \liminf_{j \rightarrow \infty} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx \end{aligned}$$

Averaging: $f_{\text{hom}}(\mathfrak{E}u(x_0)) \leq \liminf_{j \rightarrow \infty} \frac{1}{\nu} \sum_{i=1}^{\nu} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx.$



De Giorgi's slicing method and Bogovskii's operator

Now define $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega; \mathbb{R}^n)$. Notice that

$$u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$$

Then

$$\begin{aligned} f_{\text{hom}}(\mathfrak{E}u(x_0)) &= \lim_{j \rightarrow \infty} \inf_{\varphi \in LU_0(B_\rho(x_0), \mathbb{R}^n)} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx \\ &\leq \liminf_{j \rightarrow \infty} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx \end{aligned}$$

Averaging: $f_{\text{hom}}(\mathfrak{E}u(x_0)) \leq \liminf_{j \rightarrow \infty} \frac{1}{\nu} \sum_{i=1}^{\nu} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx.$



- First term: ✓

De Giorgi's slicing method and Bogovskii's operator

Now define $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega; \mathbb{R}^n)$. Notice that

$$u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$$

Then

$$\begin{aligned} f_{\text{hom}}(\mathfrak{E}u(x_0)) &= \lim_{j \rightarrow \infty} \inf_{\varphi \in LU_0(B_\rho(x_0), \mathbb{R}^n)} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx \\ &\leq \liminf_{j \rightarrow \infty} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx \end{aligned}$$

Averaging: $f_{\text{hom}}(\mathfrak{E}u(x_0)) \leq \liminf_{j \rightarrow \infty} \frac{1}{\nu} \sum_{i=1}^{\nu} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx.$



- First term: \checkmark
- Third term: $\lambda \nearrow 1$

De Giorgi's slicing method and Bogovskii's operator

Now define $u_{j,i} := \tilde{u}_{j,i} + z_{j,i} \in LU(\Omega; \mathbb{R}^n)$. Notice that

$$u_{j,i} - \tilde{u} = \varphi_i(u_j - \tilde{u}) + z_{j,i} \in LU_0(B_\rho(x_0); \mathbb{R}^n).$$

Then

$$\begin{aligned} f_{\text{hom}}(\mathfrak{E}u(x_0)) &= \lim_{j \rightarrow \infty} \inf_{\varphi \in LU_0(B_\rho(x_0), \mathbb{R}^n)} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u(x_0) + \mathfrak{E}\varphi(x)\right) dx \\ &\leq \liminf_{j \rightarrow \infty} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx \end{aligned}$$

Averaging: $f_{\text{hom}}(\mathfrak{E}u(x_0)) \leq \liminf_{j \rightarrow \infty} \frac{1}{\nu} \sum_{i=1}^{\nu} \frac{1}{|B_\rho(x_0)|} \int_{B_\rho(x_0)} f\left(\frac{x}{\varepsilon_j}, \mathfrak{E}u_{j,i}(x)\right) dx.$



- First term: \checkmark
- Third term: $\lambda \nearrow 1$
- Second term: L^q -differentiability

Singular points: asymptotic convexity

Recall

$$\mu_j := f\left(\frac{\cdot}{\varepsilon_j}, \mathcal{E}u_j(\cdot)\right)\mathcal{L}^n \xrightarrow{*} \mu = g\mathcal{L}^n + \mu^s.$$

Need to show:

$$\mu^s \geq (f_{\text{hom}})^{\#}\left(\frac{dE^s u}{d|E^s u|}\right)|E^s u|.$$

Singular points: asymptotic convexity

Recall

$$\mu_j := f\left(\frac{\cdot}{\varepsilon_j}, \mathcal{E}u_j(\cdot)\right)\mathcal{L}^n \xrightarrow{*} \mu = g\mathcal{L}^n + \mu^s.$$

Need to show:

$$\mu^s \geq (f_{\text{hom}})^{\#}\left(\frac{dE^s u}{d|E^s u|}\right)|E^s u|.$$

Here (and only here) we need the asymptotic convexity assumption. Recall:

$$|f(x, X) - c^\eta(x, X)| \leq \eta(|X_{\text{dev}}| + (\text{tr } X)^2) + \beta_\eta.$$

Singular points: asymptotic convexity

Recall

$$\mu_j := f\left(\frac{\cdot}{\varepsilon_j}, \mathfrak{E}u_j(\cdot)\right)\mathcal{L}^n \xrightarrow{*} \mu = g\mathcal{L}^n + \mu^s.$$

Need to show:

$$\mu^s \geq (f_{\text{hom}})^{\#}\left(\frac{dE^s u}{d|E^s u|}\right)|E^s u|.$$

Here (and only here) we need the asymptotic convexity assumption. Recall:

$$|f(x, X) - c^\eta(x, X)| \leq \eta(|X_{\text{dev}}| + (\text{tr } X)^2) + \beta_\eta.$$

We may suppose $(|\mathfrak{E}_{\text{dev}} u_j| + (\text{div } u_j)^2)\mathcal{L}^n \xrightarrow{*} \sigma$ in $M(\Omega)$. Results in [Demengel, Qi 90] for the convex case and asymptotic convexity give

$$\begin{aligned} \mu^s &\geq \lim_{\eta \rightarrow 0} \left[(c_{\text{hom}}^\eta)^{\#}\left(\frac{dE^s u}{d|E^s u|}\right)|E^s u| - \eta\sigma^s \right] \\ &= (f_{\text{hom}})^{\#}\left(\frac{dE^s u}{d|E^s u|}\right)|E^s u|. \end{aligned}$$

Overview

- 1 Introduction
- 2 Function spaces
- 3 Warm up: a regularized problem
- 4 Recovery sequence
- 5 liminf inequality
- 6 Main results**

Homogenization

Theorem A. Suppose $f : \mathbb{R}^n \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ is a Carathéodory function which

- is \mathbb{I}^n -periodic in the first variable,
- has Hencky plasticity growth,
- and is asymptotically convex.

Let

$$\mathcal{F}_\varepsilon(u) := \begin{cases} \int_\Omega f\left(\frac{x}{\varepsilon}, \mathcal{E}u(x)\right) dx, & u \in LU(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{cases}$$

and

$$\mathcal{F}_{\text{hom}}(u) := \begin{cases} \int_\Omega f_{\text{hom}}(\mathcal{E}u(x)) dx + \int_\Omega (f_{\text{hom}})^\# \left(\frac{dE^s u}{d|E^s u|}(x) \right) d|E^s u|(x), & u \in U(\Omega; \mathbb{R}^n), \\ \infty, & \text{else.} \end{cases}$$

Then

$$\Gamma(L^1)\text{-}\lim_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon = \mathcal{F}_{\text{hom}},$$

Homogenization

Theorem A. Suppose $f : \mathbb{R}^n \times \mathbb{R}_{\text{sym}}^{n \times n} \rightarrow \mathbb{R}$ is a Carathéodory function which

- is \mathbb{I}^n -periodic in the first variable,
- has Hencky plasticity growth,
- and is asymptotically convex.

Let

$$\mathcal{F}_\varepsilon(u) := \begin{cases} \int_\Omega f\left(\frac{x}{\varepsilon}, \mathcal{E}u(x)\right) dx, & u \in LU(\Omega; \mathbb{R}^n), \\ \infty, & \text{else,} \end{cases}$$

and

$$\mathcal{F}_{\text{hom}}(u) := \begin{cases} \int_\Omega f_{\text{hom}}(\mathcal{E}u(x)) dx + \int_\Omega (f_{\text{hom}})^\# \left(\frac{dE^s u}{d|E^s u|}(x) \right) d|E^s u|(x), & u \in U(\Omega; \mathbb{R}^n), \\ \infty, & \text{else.} \end{cases}$$

Then

$$\Gamma(L^1)\text{-}\lim_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon = \mathcal{F}_{\text{hom}},$$

Remark. Without the asymptotic convexity assumption we still have

$$\Gamma(L^1)\text{-}\lim_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon = \mathcal{F}_{\text{hom}} \text{ on } LU(\Omega)$$

(and $\Gamma(L^1)\text{-}\limsup_{\varepsilon \rightarrow 0} \mathcal{F}_\varepsilon \leq \mathcal{F}_{\text{hom}}$ in general).

Homogenization and vanishing hardening

Theorem B. Under the same assumptions the following diagrams commute:

$$\begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\text{pt. falling}} & \mathcal{F}_\varepsilon^{(0)} \\
 \downarrow \Gamma & & \downarrow \Gamma \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\Gamma} & \mathcal{F}_{\text{hom}}
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 \mathcal{F}_\varepsilon^{(\delta)} & \xrightarrow{\Gamma} & \text{lsc } \mathcal{F}_\varepsilon^{(0)} = \text{lsc } \mathcal{F}_\varepsilon \\
 \downarrow \Gamma & & \downarrow \Gamma \\
 \mathcal{F}_{\text{hom}}^{(\delta)} & \xrightarrow{\Gamma} & \mathcal{F}_{\text{hom}}
 \end{array}$$

(All Γ -limits are with respect to the L^1 -norm.)

Thanks

Thank you for your attention!

References:

M. Jesenko, B. Schmidt:

Homogenization and the limit of vanishing hardening in Hencky plasticity with non-convex potentials,

Calc. Var. Partial Differential Equations **57** (2018), no. 1, Art. 2, 43 pp.