

Images of compatible systems of Galois representations of global function fields

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Set-up

- ▶ \mathbb{F}_q the finite field of q elements, of characteristic p
- ▶ X/\mathbb{F}_q a smooth geometrically connected curve
- ▶ $F = \mathbb{F}_q(X)$ the function field of X
- ▶ $|X|$ the set of closed points of X
- ▶ $\Gamma_K = \text{Gal}(K^{\text{sep}}/K)$ for any field K ; e.g. $\Gamma_{\mathbb{F}_q} \cong \widehat{\mathbb{Z}}$
- ▶ $\pi_1(X)$ the arithmetic fundamental group of X
(have surjection $\Gamma_F \rightarrow \pi_1(X)$)
- ▶ $\pi_1^{\text{geo}}(X) := \pi_1(X_{\overline{\mathbb{F}_q}})$ the geometric fundamental group of X
- ▶ For $x \in |X|$ have $Frob_x \in \pi_1(X)$ (unique up to conjugacy)

One has the fundamental short exact sequence

$$1 \rightarrow \pi_1^{\text{geo}}(X) \rightarrow \pi_1(X) \rightarrow \Gamma_{\mathbb{F}_q} \rightarrow 1.$$

ℓ -adic étale cohomology

Let \mathbb{L} be the set of all prime numbers $\ell \neq p$.

Let $Y \rightarrow X$ be a smooth projective variety. Consider

$$V_\ell := H^i(Y_{F^{sep}}, \mathbb{Q}_\ell)$$

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Theorem (Grothendieck, Deligne et al.)

- ▶ $d := \dim_{\mathbb{Q}_\ell} V_\ell$ is finite and independent of ℓ
- ▶ have a representation $\rho_\ell: \pi_1(X) \rightarrow \text{Aut}_{\mathbb{Q}_\ell}(V_\ell) \cong GL_d(\mathbb{Q}_\ell)$.
- ▶ $\text{charpol}_{Frob_x|V_\ell}(T) \in \mathbb{Z}[T]$ is independent of ℓ for any $x \in |X|$.
- ▶ The action of $\pi_1^{\text{geo}}(X)$ is semisimple.
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- ▶ The action of $\pi_1^{\text{geo}}(X)$ is semisimple.
(i.e, every subrepresentation has a complement)
 $\Rightarrow G_\ell^{\text{geo}} := \overline{\rho_\ell(\pi_1^{\text{geo}}(X))}^{\text{Zar}} \subset \text{Aut}_{\mathbb{Q}_\ell}(V_\ell)$ is a reductive group

Elliptic curves example

Let $E \rightarrow X$ be an elliptic curve.

Let $W_\ell \cong \mathbb{Q}_\ell^2$ be the ℓ -adic Tate-module of E . Then

$$H^1(E_{F^{sep}}, \mathbb{Q}_\ell) \cong W_\ell^*$$

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$$\text{charpol}_{\text{Frob}_x|W_\ell}(T) = T^2 - a_x(E)T + q_x \in \mathbb{Z}[T]$$

with $q_x =$ cardinality of the residue field \mathbb{F}_x at $x \in |X|$ and
 $\#E(\mathbb{F}_x) = 1 - a_x(E) + q_x$.

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If E has no complex multiplication, then

$$G_\ell^{\text{geo}} = \text{SL}_{2, \mathbb{Q}_\ell} \text{ and } G_\ell := \overline{\rho_\ell(\pi_1(X))}^{\text{Zar}} = \text{GL}_{2, \mathbb{Q}_\ell}$$

A result of Igusa

Theorem (Igusa, 1959)

Suppose E has no CM. Then the representation

$$\prod_{\ell \in \mathbb{L}} \rho_\ell : \pi_1^{\text{geo}}(X) \longrightarrow \prod_{\ell \in \mathbb{L}} \text{SL}_2(\mathbb{Z}_\ell)$$

arising from $H^1(E_{F^{\text{sep}}}, \mathbb{Q}_\ell)$ has open image.

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Corollary ($Y = E$ and $V_\ell = H^1(\dots)$)

- ▶ G_ℓ^{geo} comes from a reductive group over \mathbb{Z}_ℓ .
- ▶ $\rho_\ell(\pi_1(X)^{\text{geo}}) \subset \text{SL}_2(\mathbb{Q}_\ell)$ is compact open for all $\ell \neq p$.
- ▶ $\rho_\ell(\pi_1(X)^{\text{geo}}) = \text{SL}_2(\mathbb{Z}_\ell)$ for almost all ℓ .
- ▶ $\pi_1(X)^{\text{geo}}$ act semisimply on $H^1(E_{F^{\text{sep}}}, \mathbb{F}_\ell)$ for almost all ℓ
- ▶ the fields $\overline{\mathbb{F}_p} (F^{\text{sep}})^{\text{Ker } \rho_\ell}$, $\ell \in \mathbb{L}$, are 'almost independent'.

General result on ℓ -adic cohomologies

Let $Y \rightarrow X$ be smooth projective, fix i and let $V_\ell = H^i(Y_{F^{\text{sep}}}, \mathbb{Q}_\ell)$.

Proposition (Serre '67)

$\rho_\ell(\pi_1^{\text{geo}}(X)) \subset G_\ell^{\text{geo}}(\mathbb{Q}_\ell)$ is open for all $\ell \in \mathbb{L}$

Theorem (Cadoret-Hui-Tamagawa '16)

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After replacing X by a finite étale cover one has:

1. $\pi_1(X)^{\text{geo}}$ acts semisimply on $H^1(Y_{F^{\text{sep}}}, \mathbb{F}_\ell)$ for almost all ℓ
2. for almost all $\ell \in \mathbb{L}$ there exists a reductive group $\mathfrak{G}_\ell^{\text{geo}}/\mathbb{Z}_\ell$ such that $G_\ell^{\text{geo}} = \mathfrak{G}_\ell^{\text{geo}} \times_{\mathbb{Z}_\ell} \mathbb{Q}_\ell$ and $\rho_\ell(\pi_1^{\text{geo}}(X)) = \mathfrak{G}_\ell^{\text{geo}}(\mathbb{Z}_\ell)$

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3. $\left(\prod_{\ell \in \mathbb{L}} \rho_\ell\right)(\pi_1^{\text{geo}}(X)) \subset \left(\prod_{\ell \in \mathbb{L}} \mathfrak{G}_\ell^{\text{geo}}(\mathbb{Z}_\ell)\right)$ is special adelic (Hui-Larsen).

Part 2 'for all ℓ in a set of density 1' is due to Larsen ('95).

E -rational compatible systems

Let E be a number field with \mathcal{P}'_E its set of finite places not above p .

Definition

An E -rational n -dimensional compatible system ρ_\bullet consists of

1. a cont. homomorphism $\rho_\lambda: \pi_1(X) \rightarrow GL_n(E_\lambda)$ for all $\lambda \in \mathcal{P}'_E$.
2. a polynomial $P_x \in E[T]$ monic of degree n for all $x \in |X|$

such that

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Call ρ_\bullet *semisimple* if all ρ_λ are semisimple.

Call ρ_\bullet *pure of weight w (in \mathbb{Z})* if for each $x \in |X|$ the roots of P_x are Weil q_x numbers of weight w .

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Example

The earlier system $(V_\ell)_{\ell \in \mathbb{L}}$ is \mathbb{Q} -rational and pure of weight i .

Background results I

Theorem (Cadoret-Tamagawa '13, Böckle-Gajda-Petersen '13)

If $E = \mathbb{Q}$, there exists $X' \rightarrow X$ finite such that

$$\left(\prod_{\ell \in \mathbb{L}} \rho_\ell \right) (\pi_1^{\text{geo}}(X')) = \prod_{\ell \in \mathbb{L}} (\rho_\ell(\pi_1^{\text{geo}}(X'))).$$

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Analog of a similar result by Serre in the number field case.

For arbitrary E : can apply the result to the \mathbb{Q} -rational system defined by $\rho_\ell = \prod_{\lambda|\ell} \rho_\lambda$.

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Proposition (classical)

Given a sequence of Frobenius polynomials $(P_x)_{x \in |X|}$ there is up to conjugacy at most one semisimple compatible system with these P_x .

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There exists $X' \rightarrow X$ finite such that $G_\lambda^{(geo)} := \overline{\rho_\lambda^{(geo)}(\pi_1(X'))}^{Zar}$ is connected for all λ .

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G_λ^{geo} is semisimple and $G^{geo,o} = ([G_\lambda, G_\lambda])^o$ for all λ .

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From now on consider only ρ_\bullet which are **semisimple and E -rational**, and such that all $G_\lambda^{(\text{geo})}$ are **connected**. $[\rho_\bullet \rightsquigarrow \rho_\bullet^{\text{ss}}]$

Then all $G_\lambda^{(\text{geo})}$ are reductive (semisimple).

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Conjecture (Larsen-Pink '95)

There exists G/E reductive such that $G \times_E E_\lambda = G_\lambda$ for all $\lambda \in \mathcal{P}'_E$.

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*Over some finite extension $E' \supset E$ the above conjecture holds.
(Call the group M/E' the Chin group).*

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(Call the group M/E' the Chin group).*

Fix for each $\lambda \in \mathcal{P}'_E$ an \mathcal{O}_λ -lattice $\Lambda_\lambda \subset E_\lambda^n$ stable under $\pi_1(X)$.

Theorem (Larsen-Pink '95)

Let $\mathfrak{G}_\lambda^{(\text{geo})}/\mathcal{O}_\lambda$ be the Zariski closure of $G_\lambda^{(\text{geo})}$ in $\text{Aut}_{\mathcal{O}_\lambda}(\Lambda_\lambda)$.

Then the group scheme $\mathfrak{G}_\lambda^{(\text{geo})}$ is smooth over \mathcal{O}_λ for almost all λ .

Wishlist for reduction of ρ_\bullet

Using the lattices Λ_λ , can assume

$$\rho_\lambda: \pi_1(X) \rightarrow GL_n(\mathcal{O}_\lambda)$$

Define k_λ as the residue field of E_λ , denote the reduction of ρ_λ to k_λ by $\bar{\rho}_\lambda: \pi_1(X) \rightarrow GL_n(k_\lambda)$.

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Wishlist (for almost all λ)

1. $\bar{\rho}_\lambda$ is semisimple.
2. the reduction $\mathfrak{G}_{k_\lambda}^{(geo)} = \mathfrak{G}_\lambda^{(geo)} \times_{\mathcal{O}_\lambda} k_\lambda$ is reductive.
3. recover $\mathfrak{G}_{k_\lambda}^{(geo)}$ from the finite group $\bar{\rho}_\lambda(\pi_1^{(geo)}(X))$.

The Nori envelope/Serre saturation

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if $\ell > n$.

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Definition (Nori envelope/Serre saturation)

The Nori envelope of a subgroup $H \subset GL_n(\overline{\mathbb{F}}_\ell)$ is

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If H lies in $GL_n(\mathbb{F}_{\ell^e})$, then H^{sat} is defined over \mathbb{F}_{ℓ^e} .

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Theorem (Serre)

If H acts semisimply on $\overline{\mathbb{F}_\ell}^n$, then H^{sat} is reductive.

The main theorem

Let ρ_\bullet , G_λ , Λ_λ , \mathcal{O}_λ be as above.

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Theorem 1 (Böckle-Gajda-Petersen)

After passing to a finite cover of X , for all but finitely many $\lambda \in \mathcal{P}'_E$ the following hold:

1. $\mathfrak{G}_{k_\lambda}^{\text{geo}} \subset GL_{n,k_\lambda}$ is saturated, i.e., $\mathfrak{G}_{k_\lambda}^{\text{geo}} = (\mathfrak{G}_\lambda^{\text{geo}}(k_\lambda))^{\text{sat}}$.
2. $\bar{\rho}_\lambda$ is semisimple as a representation of $\pi_1^{\text{geo}}(X)$.
3. $\mathcal{H}_{k_\lambda}^{\text{geo}} := \bar{\rho}_\lambda(\pi_1^{\text{geo}}(X))^{\text{sat}}$ is reductive and defined over k_λ .
4. $\mathcal{H}_{k_\lambda}^{\text{geo}} \subseteq \mathfrak{G}_{k_\lambda}^{\text{geo}}$ is an equality.

Corollary

Suppose the Chin group M of ρ_\bullet is absolutely simple, the Chin representation $M \hookrightarrow GL_n$ is the adjoint representation, and E is minimal (as defined by Pink). Then

$$\left(\prod_{\ell \in \mathbb{L}} \rho_\lambda \right) (\pi_1(X)) \subset \prod_{\ell \in \mathbb{L}} \mathfrak{G}_\lambda(\mathcal{O}_\lambda)$$

is special adelic in the sense of Hui-Larsen.

Note here M is semisimple \Rightarrow have result not only for $\pi_1^{\text{geo}}(X)$.

Global Langlands over function fields I

Let \bar{X} be the smooth compactification of X .

Denote by N an effective divisor of \bar{X} with support in $\bar{X} \setminus X$.

Theorem (L. Lafforgue (any n), Drinfeld ($n = 2$))

Part I:

Let Π be a cuspidal automorphic representation for GL_n/\mathbb{A}_F of level N , central character $\tau: \pi_1(X) \rightarrow GL_1(\bar{\mathbb{Q}})$ of finite order, Hecke field E_0 , and Hecke polynomial $P_{\Pi,x} \in E_0[T]$ at all $x \in |X|$.

Then for some $E \supset E_0$ there exists an E -rational compatible system

$$\rho_\lambda: \pi_1(X) \rightarrow GL_n(E_\lambda), \text{ for } \lambda \in \mathcal{P}'_E,$$

with Frobenius polynomials $(P_{\Pi,x})_{x \in |X|}$, such that $\det \rho_\bullet = \tau$, and ρ_\bullet is absolutely irreducible and pure of weight zero.

Global Langlands over function fields II

Theorem (L. Lafforgue (any n), Drinfeld ($n = 2$))

Part II: Let $\rho: \pi_1(X) \rightarrow GL_n(\overline{\mathbb{Q}_\ell})$ be continuous and absolutely irreducible with finite order determinant τ and conductor at most N .

Then there exists a cuspidal automorphic representation for GL_n/\mathbb{A}_F of level N , and central character τ such that

$$\rho = \iota \circ \rho_{\Pi, \lambda}$$

for some continuous embedding $\iota: E_\lambda \hookrightarrow \overline{\mathbb{Q}_\ell}$.

In particular, ρ is a member of a compatible system.

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Part III: The above correspondence is compatible with the local Langlands correspondence at all $x \in |\overline{X}| \setminus |X|$.

Consequences I

Corollary (Passage to irreducibility and trivial determinant)

Let ρ_\bullet be E -rational semisimple compatible.

1. After possible enlarging E , one has

$$\rho_\bullet = \rho_{\bullet,1} \oplus \cdots \oplus \rho_{\bullet,r}$$

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2. After possibly passing to a finite cover $X' \rightarrow X$, can write

$$\rho_{\bullet,i} = \rho'_{\bullet,i} \otimes \tau_{\bullet,i}$$

with $\tau_{\bullet,i}$ one-dimensional and $\rho'_{\bullet,i}$ pure of weight zero.

Further reduction steps reduce Theorem 1 to the case:
 ρ_\bullet is absolutely irreducible, $\det \rho_\bullet = 1$, all G_λ are connected.

Consequences II

Corollary (Conductor)

The conductor of the ρ_λ in a semisimple compatible system ρ_\bullet is independent of λ .

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Let $\tau: \pi_1(X) \rightarrow GL_1(\overline{\mathbb{Q}})$ be fixed and continuous. Let N be fixed as before.

Corollary (Finiteness)

For any N and n there are only finitely many absolutely irreducible n -dimensional compatible systems ρ_\bullet with conductor bounded by N and $\det \rho_\bullet = \tau$.

Consequences of de Jong's and Gaitsgory's results

Using mainly results of de Jong and Gaitsgory one has

Theorem 2 (B.-Harris-Khare-Thorne)

Suppose $G \hookrightarrow GL_n$ is reductive over $W(\mathbb{F}_{\ell^e})$ and $\ell > 2 \dim G_\lambda$.

Let $\bar{\rho}: \pi_1(X) \rightarrow G(\mathbb{F}_{\ell^e}) \hookrightarrow GL_n(\mathbb{F}_{\ell^e})$ be absolutely irreducible.

Let $\chi: \pi_1(X) \rightarrow GL_1(W(\mathbb{F}_{\ell^e}))$ be a continuous lift of $\det \bar{\rho}$.

Then $\bar{\rho}$ has a lift $\rho: \pi_1(X) \rightarrow G(W(\mathbb{F}_{\ell^e}))$ with $\det \rho = \chi$.

Moreover if \bar{N} is the conductor of $\bar{\rho}$, and \bar{T} that of χ , then the conductor of ρ can be bounded $\bar{N} + n \cdot \bar{T} + n(\bar{X} \setminus X)$.

Residually compatible systems

Let $\mathcal{P} \subset \mathcal{P}'_E$ be infinite.

Write $\mathfrak{p}_\lambda \subset \mathcal{O}_E$ for the maximal ideal defined by $\lambda \in \mathcal{P}'_E$.

Definition

An E -rational n -dim. residually compatible system $\bar{\rho}_\bullet$ over \mathcal{P} is

1. a cont. homomorphism $\bar{\rho}_\lambda: \pi_1(X) \rightarrow GL_n(k_\lambda)$ for all $\lambda \in \mathcal{P}$.
2. a Polynomial $\bar{P}_x \in \mathcal{O}_E[\frac{1}{p}][T]$ monic of degree n for all $x \in |X|$

such that

$$\text{charpol}_{\bar{\rho}_\lambda(\text{Frob}_x)} \equiv \bar{P}_x \pmod{\mathfrak{p}_\lambda}, \quad \forall x \in |X|, \lambda \in \mathcal{P}$$

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Lemma 1

For any residually compatible system $\bar{\rho}_\bullet$ of bounded conductor there exists a unique semisimple compatible system ρ_\bullet over some $E' \supset E$ such that $\bar{P}_x = P_x \quad \forall x \in |X|$. Moreover if all $\bar{\rho}_\lambda$ are reducible, then so is ρ_\bullet .

Proof of Lemma 1

Over some finite extension k'_λ of k_λ have

$$\bar{\rho}_\lambda^{ss} = \bar{\rho}_{\lambda,1} \oplus \dots \oplus \bar{\rho}_{\lambda,n_\lambda}$$

with $\bar{\rho}_{\lambda,i}$ absolutely irreducible.

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Use knowledge of eigenvalues of $Frob_x$ via \bar{P}_x for one x to ensure: there is a finite set of lists (τ_1, \dots, τ_s) of finite order characters such that each $(\det \bar{\rho}_{\lambda,1}, \dots, \det \bar{\rho}_{\lambda,n_\lambda})$ is one list mod \mathfrak{p}_λ

Use Theorem 2 to obtain a lift $\rho_{\lambda,1} \oplus \dots \oplus \rho_{\lambda,n_\lambda}$ with $\det \rho_{\lambda,i} = \tau_i$.

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there exist automorphic representations Π_1, \dots, Π_s (for GL_{n_i, \mathbb{A}_F}) such that $\bigoplus_j \rho_{\Pi_j, \lambda} \equiv \bar{\rho}_\lambda \pmod{\mathfrak{p}_\lambda}$ for infinitely many $\lambda \in \mathcal{P}$.

Absolute irreducibility

Corollary (Drinfeld)

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Proof.

Suppose infinitely many $\bar{\rho}_\lambda$ are reducible. They form a residually compatible reducible system. By Lemma 1 the latter arises from a reducible compatible system ρ'_\bullet .

Now $P'_x = P_x$ for all $x \in |X|$ gives a contradiction. □

Recall: Main Theorem in the absolutely irreducible case

Suppose ρ_\bullet is absolutely irreducible and the G_λ are connected semisimple. Need to show:

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After passing to a finite cover of X , for all but finitely many $\lambda \in \mathcal{P}'_E$ the following hold:

1. $\mathfrak{G}_{k_\lambda} \subset GL_{n, k_\lambda}$ is saturated.
2. $\bar{\rho}_\lambda$ is absolutely irreducible. (Drinfeld).
3. $\mathcal{H}_{k_\lambda} := \bar{\rho}_\lambda(\pi_1(X))^{sat}$ is semisimple and defined over k_λ .
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Part 2 was just shown. Part 1 I will not discuss.

Part 3 follows from part 2 and an earlier quoted result of Serre.

The inclusion in 4 follows from 1 and the definitions.

Saturated image and the Chin group

Recall $\mathcal{H}_{k_\lambda} = \bar{\rho}_\lambda(\pi_1(X))^{\text{sat}}$.

Lemma 2

Suppose ρ_\bullet is E -rational absolutely irreducible with $\det \rho_\bullet = 1$.

Assume $\bar{\rho}_\lambda(\pi_1(X))$ is ℓ_λ -generated ($\ell_\lambda = \text{Char } k_\lambda$) for almost all λ .

Then for almost all $\lambda \in \mathcal{P}$ there exists a semisimple group $\mathfrak{H}_\lambda/W(k_\lambda)$ with generic fiber G_λ and special fiber \mathcal{H}_{k_λ} .

Proof of Lemma 2

For $\ell_\lambda \gg 0$ we have:

- ▶ \mathcal{H}_{k_λ} is semisimple by Theorem 1'(iii).
- ▶ $\dim \mathcal{H}_{k_\lambda} \leq \dim \mathfrak{G}_{k_\lambda} = \dim G_\lambda$.
- ▶ \mathcal{H}_{k_λ} is connected because $\bar{\rho}_\lambda(\pi_1(X))$ is ℓ -generated.
- ▶ The irreducible representation $\bar{r}: \mathcal{H}_{k_\lambda} \hookrightarrow GL_n$ over k_λ is of low weight (ℓ_λ -restricted) because \mathcal{H}_{k_λ} is saturated.

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Using results of Jantzen (and Serre):

There is a lift $r: \mathfrak{H}_\lambda \hookrightarrow GL_n$ of \bar{r} to $W(k_\lambda)$ with \mathfrak{H}_λ semisimple.

By Theorem 2 there is a lift

$\rho'_\lambda: \pi_1(X) \rightarrow \mathfrak{H}_\lambda(W(k_\lambda)) \hookrightarrow GL_n(W(k_\lambda))$ of $\bar{\rho}_\lambda$.

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$\Rightarrow \rho_{\Pi, \lambda} = \rho'_\lambda$ for one Π and almost all λ .

Also have $\bar{\rho}_\bullet = \bar{\rho}_{\Pi, \bullet}$ (and thus $P_x = P'_x \forall x \in |X|$) $\Rightarrow \rho_\bullet \cong \rho_{\Pi, \bullet}$.

Conclusion

Corollary

$\mathcal{H}_{k_\lambda} = \mathfrak{G}_{k_\lambda}$ for almost all λ .

Proof.

We know already that $\mathcal{H}_{k_\lambda} \subseteq \mathfrak{G}_{k_\lambda}$.

By passing to a finite cover $X' \rightarrow X$ one can achieve that all groups $\bar{\rho}_\lambda(\pi_1(X))$ are ℓ_λ -generated. This does not change \mathfrak{G}_{k_λ} .

By Lemma 2 we have $\dim \mathcal{H}_{k_\lambda} = \dim G_\lambda = \dim \mathfrak{G}_{k_\lambda}$. □

An M -compatible system

To end, let me explain the idea of the reduction step:
Theorem 1' (ρ_{\bullet} absolutely irreducible) implies Theorem 1.

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Theorem (B.-Harris-Khare-Thorne, building on Chin)

Suppose ρ_\bullet is semisimple, say with Chin group M over E .

After enlarging E there is an M -compatible system

$$\rho_\lambda^M : \pi_1(X) \rightarrow M(E_\lambda), \lambda \in \mathcal{P}'_E,$$

and a representation $\alpha : M \rightarrow GL_n$, defined over E , such that

$$\alpha \circ \rho_\lambda^M = \rho_\lambda \text{ for all } \lambda \in \mathcal{P}'_E$$

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Note M -compatible means that for all λ and x the conjugacy class of $\rho_\lambda^M(\text{Frob}_x)$ lies in $M(\overline{\mathbb{Q}})$ and is independent of λ .

Also, ρ_\bullet^M is unique up to conjugacy, because $\rho_\lambda^M(\pi_1(X))^{\text{Zar}} = M$

Changing the given representation

Say $\rho_\bullet = \bigoplus_i \rho_{i,\bullet}$ with $\rho_{i,\bullet}$ absolutely irreducible of weight zero.
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Apply Theorem 1' to $\rho'_\bullet \Rightarrow$ almost all $\mathfrak{G}'_\lambda / \mathcal{O}_\lambda$ are semisimple

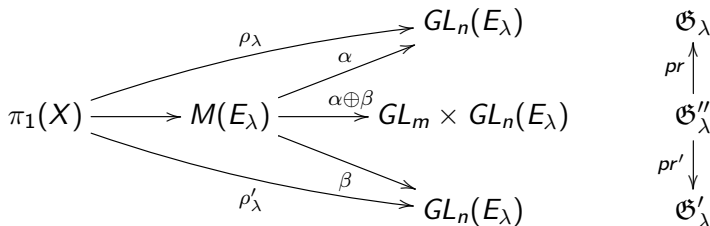
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To finish off: compare \mathfrak{G}_λ to \mathfrak{G}'_λ via the three representations



Thank you!