

# Interaction energy between vortices of vector fields on Riemannian surfaces

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May 1 2017.

We consider 3 related problems for vector fields on 2-dimensional Riemannian manifolds:

### Problem 1: Intrinsic

Let  $(S, g)$  be a compact 2-dimensional Riemannian manifold. Consider tangent vector fields  $u$ , and minimize the *intrinsic* energy

$$I_\varepsilon^{\text{in}}(u) = \frac{1}{2} \int_S \left[ |Du|_g^2 + \frac{1}{\varepsilon^2} |1 - |u|_g|^2| \right] \text{vol}_g.$$

Here

$$|Du|_g^2(x) := |D_{\tau_1} u|_g^2(x) + |D_{\tau_2} u|_g^2(x)$$

where  $D_V$  denotes covariant differentiation and  $\{\tau_1, \tau_2\}$  are any orthonormal basis for  $T_x S$ .

## Problem 2: extrinsic, tangent vector fields

Let  $(S, g)$  be a compact, connected, oriented 2-dimensional Riemannian manifold isometrically embedded in  $\mathbb{R}^3$ . Consider sections  $m$  of the tangent bundle of  $S$ , and minimize the *extrinsic* energy

$$I_\varepsilon^{\text{ex}}(m) = \frac{1}{2} \int_S \left[ |\bar{D}m|^2 + \frac{1}{\varepsilon^2} |1 - |m|^2| \right] d\mathcal{H}^2$$

Here  $m \in H^1(S; \mathbb{R}^3)$ , with

$$m(x) \in T_x S \text{ for every } x \in S,$$

and  $|\bar{D}m|^2 := |\bar{D}_{\tau_1} \bar{m}|^2 + |\bar{D}_{\tau_2} \bar{m}|^2$ , where

- $\bar{m}$  is an extension of  $m$  to a neighborhood of  $S$ ,
- $\{\tau_1(x), \tau_2(x)\}$  form a basis for  $T_x S$ ,
- $\bar{D}_V$  denotes covariant derivative in  $\mathbb{R}^3$ .

**well known:**  $|\bar{D}m|^2$  is independent of the choice of extension  $\bar{m}$ .

### Problem 3: extrinsic, $S^2$ constraint

Let  $(S, g)$  be compact a 2-dimensional Riemannian manifold isometrically embedded in  $\mathbb{R}^3$ . Consider maps  $M : S \rightarrow S^2$ , and minimize

$$I_\varepsilon^{S^2}(M) = \frac{1}{2} \int_S \left[ |\nabla M|^2 + \frac{1}{\varepsilon^2} (M \cdot \nu)^2 \right] d\mathcal{H}^2$$

Here  $|\nabla M|^2 := |\tau_1 \cdot \nabla \bar{M}|^2 + |\tau_2 \cdot \nabla \bar{M}|^2$ , where  $\bar{M}$  is an extension of  $M$  to a neighborhood of  $S$  and  $\{\tau_1(x), \tau_2(x)\}$  form a basis for  $T_x S$ . As usual,  $|\nabla M|^2$  is independent of the choice of extension  $\bar{M}$

**Remark 1:** If  $M = 1$  and  $m$  denotes the tangential part of  $M$ , then

$$(M \cdot \nu)^2 = 1 - |m|^2 = \left| 1 - |m|^2 \right|.$$

## Remark 2 :

Let  $S \subset \mathbb{R}^3$  be a fixed smooth surface isometrically embedded in  $\mathbb{R}^3$ . A curved magnetic shell is considered occupying the domain

$$\Omega_h := \{x' + sN(x') : s \in (0, h), x' \in S\}.$$

The magnetization  $m : \Omega_h \rightarrow \mathbb{S}^2$  is a stable state of the energy functional

$$E^{3D}(m) = \varepsilon^2 \int_{\Omega_h} |\nabla m|^2 + \int_{\mathbb{R}^3} |\nabla U|^2 dx,$$

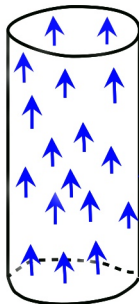
where  $U : \mathbb{R}^3 \rightarrow \mathbb{R}$  solves the static Maxwell equation

$$\Delta U = \nabla \cdot (m \mathbf{1}_\Omega) \quad \text{in } \mathbb{R}^3.$$

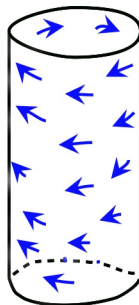
Carbou (2001) shows that  $I_\varepsilon^{\mathbb{S}^2}$  arises as the  $\Gamma$ -limit of  $E^{3D}$  with  $\varepsilon$  fixed and  $h \searrow 0$ .

This is the original motivation for our study.

# example



$$\begin{aligned}I_{\varepsilon}^{in}(U_{left}) &= 0, \\I_{\varepsilon}^{ex}(U_{left}) &= 0, \\I_{\varepsilon}^{S^2}(U_{left}) &= 0,\end{aligned}$$



$$\begin{aligned}I_{\varepsilon}^{in}(U_{right}) &= 0, \\I_{\varepsilon}^{ex}(U_{right}) &> 0, \\I_{\varepsilon}^{S^2}(U_{right}) &> 0.\end{aligned}$$

## simplified Ginzburg-Landau on a manifold

$(S, g)$  abstract manifold,  $\psi \in H^1(S; \mathbb{C})$ ,

$$I_\varepsilon(\psi) := \frac{1}{2} \int_S |\nabla \psi|^2 + \frac{1}{2\varepsilon^2} (1 - |\psi|^2)^2 d\text{vol}_g .$$

See Baraket (1996). (Compare Bethuel-Brezis-Hélein (1994) for Euclidean case)

## Ginzburg-Landau on a complex line bundle

$\psi$  a section of a complex line bundle  $E$  over a Riemann surface  $S$ .  
 $A$  a connection on  $E$ .

$$G_\varepsilon(\psi, A) := \frac{1}{2} \int_S |D_A \psi|^2 + |F_A|^2 + \frac{1}{2\varepsilon^2} (1 - |\psi|^2)^2 d\mathcal{H}^2 .$$

See Orlandi (1996), Qing (1997). (Compare Bethuel-Rivière (1994) for Euclidean case)

## Ginzburg-Landau on thin shells

$(S, g)$  isometrically embedded in  $\mathbb{R}^3$ ,  $\psi \in H^1(S; \mathbb{C})$ ,

$$I_\varepsilon(\psi) := \frac{1}{2} \int_S |(\nabla - i(A^e)^\tau)\psi|^2 + \frac{1}{2\varepsilon^2} (1 - |\psi|^2)^2 d\mathcal{H}^2.$$

See Contreras-Sternberg (2010), Contreras (2011). Related work  
Alama-Bronsard-Galvao-Sousa (2010, 2013) (Compare Sandier-Serfaty (late 90s) for Euclidean case)

## discrete-to-continuum limit

$(S, g)$  isometrically embedded in  $\mathbb{R}^3$ ,  $\mathcal{T}_\varepsilon := \varepsilon$ -triangulation of  $S$ ,

$$I_\varepsilon^{disc}(\psi) := \frac{1}{2} \sum_{i \neq j \in \mathcal{T}_\varepsilon} \kappa_\varepsilon^{ij} |\psi_\varepsilon(i) - \psi_\varepsilon(j)|^2,$$

where  $\psi_\varepsilon(i) \in T_i S$ ,  $|\psi_\varepsilon(i)| = 1$  for all  $i$ . Canevari-Segatti (2017)



## prior results:

- Euler characteristic nonzero  $\Rightarrow \liminf_{\varepsilon \searrow 0} I_{\varepsilon}^{\square} = +\infty$ .  
Canevari, Segatti, Veneroni (2015), Segatti, Snarski, Veneroni (2016)
- study of variational problem when Euler characteristic = 0. Segatti, Snarski, Veneroni (2016)

## New results (Ignat - J 2017)

- For Problems 1-3, *canonical unit vector fields* and *renormalized energy* for prescribed singularities *and fluxes*, as in Bethuel-Brezis-Hélein (1994).
- in every case, “second-order  $\Gamma$ -convergence”.
- Extrinsic Problem 2 (tangent constraint) and Problem 3 ( $S^2$  constraint with penalization) have essentially the same asymptotics.
- Problem 1 (intrinsic) admits a “lifting” to a linear problem (with topological considerations).
- Problems 2 and 3 seem to be inescapably nonlinear.
- *intrinsic canonical harmonic unit vector field* provides **Coulomb gauge** for the more nonlinear Problems 2,3.

# general set-up for intrinsic problem

- always assume  $S$  is oriented
- can then define  $i : TS \rightarrow TS$  such that
  - $i$  isometry of  $T_x S$  to itself for every  $x$ , and
  - $\{v, iv\}$  properly oriented orthonormal basis of  $T_x S$ , or every unit  $v \in T_x S$ .
- given any vector field  $u$ , define 1-form  $j(u)$  by

$$j(u)(v) = (D_v u, iv)_g$$

- define *vorticity* associated to  $u$  by

$$\omega(u) = dj(u) + \kappa \text{vol}_g, \quad \kappa = \text{Gaussian curvature.}$$

**Remark:** if  $u$  is a smooth unit vector field in an open set  $O$ , then  $dj(u) = -\kappa \text{vol}_g$  and thus  $\omega(u) = 0$  in  $O$ .

## Theorem

For any  $a_1, \dots, a_k \in S$  and  $d_1, \dots, d_k \in \mathbb{Z}$  such that  $\sum d_k = \chi(S)$ , there exists unit vector field  $u^*$  in  $W^{1,p}$  for all  $p < 2$ , such that

$$\omega(u^*) = 2\pi \sum d_i \delta_{a_i}, \quad d^*j(u^*) = 0.$$

- If  $g := \text{genus}(S) = 0$ , then  $u^*$  is unique up to a global phase.
- If  $g := \text{genus}(S) > 0$ , then  $u^*$  is unique up to a global phase, once  $2g$  "flux integrals"  $\Phi_\ell, \ell = 1, \dots, 2g$  are specified.
- Finally,  $\mathcal{L}(a, d) := \{\text{admissible values of } (\Phi_1, \dots, \Phi_{2g})\}$  are quantized and depend smoothly on  $\sum d_i \delta_{a_i}$

(All results: Ignat - J, 2017)

## outline of proof

Given  $a_i, d_i$  as above

- 1 Find 1-form  $j^*$  as described below
- 2 find unit vector field  $u^*$  such that  $j(u^*) = j^*$ . If genus  $> 0$  need to pay attention to topology.

**Construction of  $j^*$ .** if  $j(u^*) = j^*$ , then equations for  $u^*$  become

$$dj^* = -\kappa \operatorname{vol}_g + 2\pi \sum d_i \delta_{a_i}, \quad d^* j^* = 0.$$

In fact

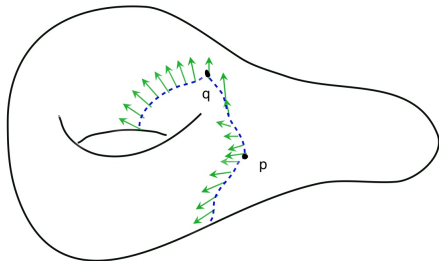
$$j^* = d^* \psi^* + \text{harmonic 1-form, if } g > 0$$

where

$$-\Delta \psi^* = -\kappa \operatorname{vol}_g + 2\pi \sum d_i \delta_{a_i}$$

The condition  $j^* = j(\text{unit vector field})$  implies constraints on the harmonic 1-form.

**Construction of  $u^*$ :** To solve find  $u^*$  such that  $j(u^*) = j^*$ :



- choose  $p \in S$  and  $v \in T_p S$ , and set  $u^*(p) := v$ .
- given  $q \in S$ , consider  $\gamma : [0, 1] \rightarrow S$  with  $\gamma(0) = p, \gamma(1) = q$ .
- Let  $U(s) \in T_{\gamma(s)} S$  solve

$$D_{\gamma'(s)} U(s) = j(\gamma'(s)) iU(s), \quad U(0) = v \in T_p S.$$

- Define  $u^*(q) = U(1)$ .
- **check that this is well-defined.** This determines  $\mathcal{L}(a, d)$ .

## Theorem

Given  $a_i, d_i$  as above, let  $u^*$  be the canonical harmonic unit vector field with flux integrals  $\{\Phi_k\}$ . Then

$$\lim_{r \rightarrow 0} \left[ \int_{S \setminus \cup B(r, a_i)} \frac{1}{2} |Du^*|_g^2 - \left( \sum d_i^2 \right) \pi \log \frac{1}{r} \right] = W^{in}(a, d, \Phi)$$

where

$$W^{in}(a, d, \Phi) = 4\pi^2 \sum_{l \neq k} d_l d_k G(a_l, a_k) + 2\pi \sum_{k=1}^n [\pi d_k^2 H(a_k, a_k) + d_k \psi_0(a_k)] \\ + \frac{1}{2} |\Phi|^2 + C_S,$$

where  $G(\cdot, \cdot)$  is the Green's function for the Laplacian with regular part  $H(\cdot, \cdot)$ , and

$$-\Delta \psi_0 = -\kappa + \bar{\kappa}$$

## Theorem

Suppose  $(S, g)$  is isometrically embedded in  $\mathbb{R}^3$ .

Let  $a_i, d_i$  be given such that  $\sum d_i = \chi(S)$ , and fix  $u^* = u^*(a, d, \Phi)$ .

Suppose that

$$u = e^{i\alpha} u^* \quad \text{for some } \alpha \in H^1(S; \mathbb{R}).$$

Then for the extrinsic Dirichlet energy,

$$\begin{aligned} W^{\text{ex}}(a, d, \Phi) &:= \lim_{r \rightarrow 0} \left[ \int_{S \setminus \cup B(r, a_i)} \frac{1}{2} |\bar{D}u|_g^2 - \left( \sum d_i^2 \right) \pi \log \frac{1}{r} \right] \\ &= W^{\text{in}}(a, d, \Phi) + \int_S \left( \frac{1}{2} |\nabla \alpha|_g^2 + Q_{u^*}(\cos \alpha, \sin \alpha) \right) \text{vol}_g \end{aligned}$$

Here

$$Q_{u^*}(\cos \alpha, \sin \alpha) = |A(e^{i\alpha} u^*)|^2, \quad A = \text{2nd fundamental form}$$

is an explicit quadratic function of  $\cos \alpha, \sin \alpha$ .

## Theorem ( $\Gamma$ -convergence)

1) (Compactness) Let  $(u_\varepsilon)_{\varepsilon \downarrow 0}$  be a family of vector fields on  $S$  satisfying

$$I_\varepsilon^\square(u_\varepsilon) \leq N\pi |\log \varepsilon| + C, \quad \square = in, ex, S^2.$$

Then there exists a sequence  $\varepsilon \downarrow 0$  such that

$$\omega(u_\varepsilon) \longrightarrow 2\pi \sum_{k=1}^n d_k \delta_{a_k} \quad \text{in } W^{-1,1}, \quad \text{as } \varepsilon \rightarrow 0,$$

where  $\{a_k\}_{k=1}^n$  are distinct points in  $S$  and  $\{d_k\}_{k=1}^n$  are nonzero integers satisfying  $\sum d_k = \chi(S)$  and  $\sum |d_k| \leq N$ .

Moreover, if  $\sum_{k=1}^n |d_k| = N$ , then  $n = N$ ,  $|d_k| = 1$  for every  $k = 1, \dots, n$  and for a subsequence, there exists  $\Phi \in \mathcal{L}(a, d)$  such that

$$\Phi(u_\varepsilon) := \left( \int_S (j(u_\varepsilon), \eta_1)_g \text{vol}_g, \dots, \int_S (j(u_\varepsilon), \eta_{2g})_g \text{vol}_g \right) \rightarrow \Phi$$

as  $\varepsilon \rightarrow 0$ . (in particular,  $n = \chi(S)$  modulo 2).



## Theorem ( $\Gamma$ -convergence, continued)

2) ( $\Gamma$ -liminf inequality) Assume that the vector fields  $u_\varepsilon \in \mathcal{X}^{1,2}(S)$  satisfy

$$\begin{aligned} \omega(u_\varepsilon) &\longrightarrow 2\pi \sum_{k=1}^n d_k \delta_{a_k} \quad \text{in } W^{-1,1}, \text{ as } \varepsilon \rightarrow 0, \\ \Phi(u_\varepsilon) &\rightarrow \Phi \in \mathcal{L}(a, d) \end{aligned} \tag{1}$$

for  $n$  distinct points  $\{a_k\}_{k=1}^n \in S^n$  with  $|d_k| = 1$ . Then

$$\liminf_{\varepsilon \rightarrow 0} \left[ I_\varepsilon^\square(u_\varepsilon) - n\pi |\log \varepsilon| \right] \geq W^\square(a, d, \Phi) + n\gamma_F.$$

3) ( $\Gamma$ -limsup inequality) For every  $n$  distinct points  $a_1, \dots, a_n \in S$  and  $d_1, \dots, d_n \in \{\pm 1\}$  satisfying  $\sum d_k = \chi(S)$  and every  $\Phi \in \mathcal{L}(a, d)$  there exists a sequence of vector fields  $u_\varepsilon$  on  $S$  such that (1) holds and

$$I_\varepsilon^\square(u_\varepsilon) - n\pi |\log \varepsilon| \longrightarrow W^\square(a, d, \Phi) + n\gamma_F \quad \text{as } \varepsilon \rightarrow 0.$$

## Proofs use

- vortex ball construction
- indirect method in the Calculus of Variations: optimality/lower bounds follow (essentially) from equations that characterize  $u^*$ :

$$\omega(u^*) = dj(u^*) + \kappa \operatorname{vol}_g = 2\pi \sum_{k=1}^n d_k \delta_{a_k}$$

$$d^*j(u) = 0.$$

- careful accounting involving flux integrals  $\Phi$ .

in Euclidean case, derivation of renormalized energy via 2nd-order  $\Gamma$  convergence: Colliander-J 1999, Lin-Xin 1999, Alicandro-Ponsiglione 2014.

# "the indirect method"

- From elementary algebra,

$$\int_{S_{r_\varepsilon}} e_\varepsilon^{jn}(u_\varepsilon) = \int_{S_{r_\varepsilon}} \frac{1}{2} |j_\varepsilon^*|^2_g + \frac{1}{2} \left| \frac{j(u_\varepsilon)}{|u_\varepsilon|_g} - j_\varepsilon^* \right|_g^2 + 2(j_\varepsilon^*, \frac{j(u_\varepsilon)}{|u_\varepsilon|_g} - j_\varepsilon^*)_g + e_\varepsilon^{jn}(|u_\varepsilon|_g)$$

Here  $S_{r_\varepsilon} = S \setminus \cup B(a_{k,\varepsilon}, r_\varepsilon)$ .

In fact the integrands are pointwise equal.

- In addition, as  $\varepsilon \rightarrow 0$ ,

$$\frac{1}{2} \int_{S_{r_\varepsilon}} |j_\varepsilon^*|^2 \text{vol} = \pi \left( \sum_k d_k^2 \right) \log \frac{1}{r_\varepsilon} + W(a^\varepsilon, d^\varepsilon, \Phi^\varepsilon) + O(\sqrt{r_\varepsilon}) + O(r_\varepsilon^2 |\Phi^\varepsilon|^2).$$

- So we only need to estimate  $\int_{S_{r_\varepsilon}} (j_\varepsilon^*, \frac{j(u)}{|u|_g} - j_\varepsilon^*)_g \text{vol}_g$ .
- Equations for  $j_\varepsilon^*$  (with vortex ball construction) imply

$$j_\varepsilon^* = d^* \psi_\varepsilon + \sum_{k=1}^{2g} \Phi_{\varepsilon,k} \eta_k$$

$d(j(u) - j_\varepsilon^*)$  is small

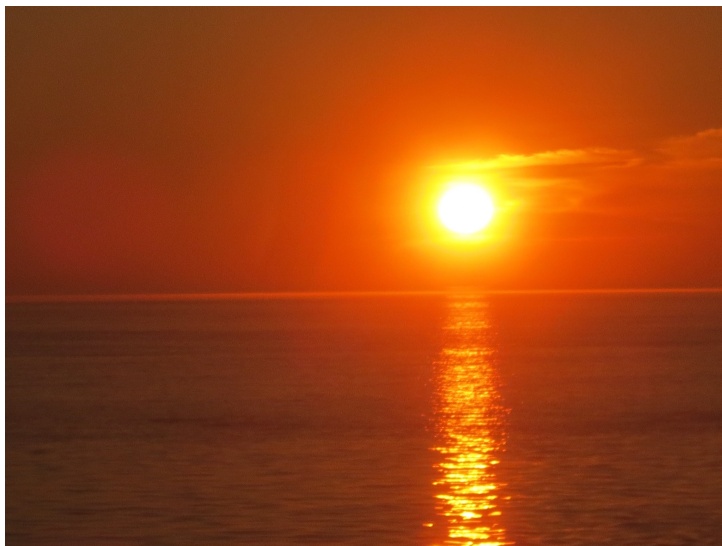
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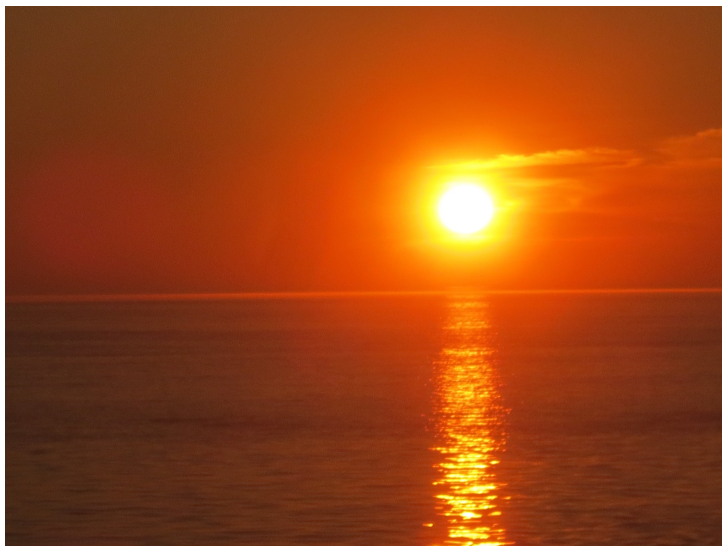
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