

Deformations and Stability of Dufour Foliations

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Why Dufour foliations?

Morse foliations = singular foliations of dimension 1 which are locally given by the level sets of Morse functions.

Generalization to Morse-Bott foliations: locally given by Morse-Bott functions (still of codimension 1).

What about higher codimension analogs of Morse foliations? They do exist, and their local study has been initiated by Jean-Paul Dufour some 20 years ago. (Dufour & Z: Linearization of Nambu structures, Compositio, 1999; and Chapter 6 of book "Poisson structures and their normal forms", Birkhäuser, 2005)

This talk: deformations and stability of singular foliations in general, and of Dufour foliations in particular.

1 Singular foliations

- Definition and examples of singular foliations
- Frobenius–Clebsch–Deahna and Stefan–Sussmann
- Algebraization/tensorization of foliations

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- Definition of deformation cohomology
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4 Dufour foliations

- Dufour foliations: Definitions, examples, problems
- Local linearization of Dufour foliations
- Deformation cohomology and stability of Dufour foliations

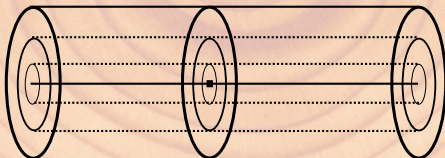
1. Singular foliations à la Stefan–Sussmann

Definition of singular foliations (Stefan-Sussmann)

A partition $M = \bigsqcup \mathcal{F}_i$ (\mathcal{F}_i are leaves) satisfies :

$\forall x \in M$, \mathcal{F}_x : the leaf contains x , \exists local coordinates x_1, \dots, x_n such that

- $\mathcal{F}_x = \{x_{d+1} = \dots = x_n = 0\}$
- each disk $\{x_{d+1} = c_{d+1}, \dots, x_n = c_n\}$ is contained in some leaf



Regular case: all the leaves have the same dimension (each disk $\{x_{d+1} = c_{d+1}, \dots, x_n = c_n\}$ in the above definition is an open subset of some other leaf)

The local splitting condition

The Stefan–Sussmann definition may be rephrased as the following inductive local splitting condition:

Local splitting condition

Near each point x of rank d , the foliation is locally isomorphic (via a local diffeomorphism) to a direct product of \mathbb{R}^d with a foliation on \mathbb{R}^{n-d} such that the origin of \mathbb{R}^{n-d} has rank 0.

Here, by definition, the rank of a point is the dimension of the leaf through it.

Compare with splitting/slice theorems for Poisson structures (Weinstein), Lie algebroids (Fernandes – Dufour), Dirac manifolds (Dufour – Wade), slice theorems for proper group actions (Palais), proper Lie groupoids (Weinstein – Zung)

Where do singular foliations come from?

- *Nature*: Earth layers; graphite; liquid crystals, composite materials, Saturn rings, trees, parallel worlds (?), etc. *Generators* and *cloning*.
- *Actions*: of Lie groups and algebras, Lie groupoids and algebroids, vector fields, etc.
- *Constraints*: level sets of functions and maps, singular fibrations, restrictions to submanifolds, (non)holonomic constraints, etc.

Proposition

Restriction (pull-back) of a singular foliation to a submanifold is again a singular foliation

- *Geometry and dynamical systems*: Riemannian foliations, stable and unstable manifolds, representatives of noncommutative spaces, etc.

Frobenius–Clebsch–Deahna theorem

Definition: Smooth singular distributions

\mathcal{D} is a field of vector subspaces of tangent spaces, which is generated by a family $\{X_\alpha, \alpha \in I\}$ of smooth v.f.: $\mathcal{D}_x = \text{Vect}(X_\alpha(x), \alpha \in I) \quad \forall x \in M$. \mathcal{D} is called **involutive** if for any two vector fields X, Y tangent to \mathcal{D} , their Lie bracket $[X, Y]$ is also tangent to \mathcal{D} . \mathcal{D} is **integrable** if it is the *tangent distribution* to a smooth singular foliation \mathcal{F} :

$\mathcal{D}_x = T_x S(x) \quad \forall x \in M$, where $S(x)$ denotes the leaf through x .

If $\dim \mathcal{D}_x$ is constant then \mathcal{D} is a **regular distribution** (subbundle of TM). It is clear that if $\mathcal{D} = \mathcal{D}_{\mathcal{F}}$ then \mathcal{D} is involutive. (Being tangent to \mathcal{D} means tangent to each leaf of \mathcal{F} in this case, and the Lie bracket can be taken leaf by leaf). The converse is also true in the regular case:

Theorem (Frobenius 1877 – Clebsch 1860 – Deahna 1840)

If \mathcal{D} is regular then it's integrable if and only if it's involutive.

Stefan–Sussmann theorem

Attention: The above theorem is FALSE in the smooth singular case.

Example: \mathcal{D} on \mathbb{R}^2 given by $\mathcal{D}_{(x,y)} = \text{Vect}(\partial_x)$ if $x \leq 0$ and $\mathcal{D}_{(x,y)} = \text{Vect}(\partial_x, \partial_y)$ if $x > 0$. Then \mathcal{D} is involutive but not integrable.

Solution: Impose some additional conditions to avoid pathologies such as above, e.g. \mathcal{D} is generated by locally finitely-generated involutive modules of vector fields (Hermann's theorem, 1963), or the following invariance condition (stronger than involutivity):

Theorem (Stefan–Sussmann 1973-74)

The following conditions are equivalent:

- $\mathcal{D} = D_{\mathcal{F}}$ for some singular foliation \mathcal{F}
- \mathcal{D} is generated by a family C of vector fields and is invariant with respect to the elements of C

Example: Stefan–Sussmann condition is obviously satisfied for the family of Hamiltonian vector fields on Poisson manifolds, and for Lie algebroids.

Algebraization/tensorization of foliations

Idea: Represent foliations by elements of some vector space or algebra, in order to do deformation theory using analytico-algebraic machinery.

Possible approaches:

- Heafliker's *Gamma-structures*: in terms of cocycles with values in $\text{Diff}(\mathbb{K}^q)$ where q is the codimension. Gives holonomy and universal classifying space. But not every singular foliation can be given by a Haefliger structure ?!
- Skandalis (+ Androulidakis, Zambon): Via Lie algebroids and groupoids. Use *locally finitely-generated modules of tangent vector fields*. OK for holonomy. But what about normalization and deformation theory ?!
- Our approach: *Integrable differential forms* and *Nambu structures*. We claim that they are the right objects for studying general singular foliations.

2. Integrable differential forms and Nambu structures

Definition (Integrable 1-forms (codimension 1 foliations))

A differential 1-form α is called **integrable** if $\alpha \wedge d\alpha = 0$

At points where $\alpha \neq 0$, the involutivity of $\ker \alpha$ is equivalent to the above condition, hence we get a (singular) codimension 1 foliation. Cartan, Nemytskii (1940s), Kupka, Thom (1960s), etc.

Definition (Integrable q -forms / codimension q foliations)

A differential q -form ω is called **integrable** if:

$$\omega \wedge i_A \omega = 0 \quad \& \quad d\omega \wedge i_A \omega = 0 \quad \forall (q-1)\text{-vector } A$$

The kernel of an integrable q -form ω near a point x where $\omega(x) \neq 0$ is an involutive distribution of corank $q \rightarrow$ codimension q foliation.

Integrable q -forms are in use since 1970-80s only (?) Malgrange (wedge product of 1-forms), Camacho, Lins-Neto, Medeiros, etc.

Nambu structures via integrable differential forms

Fix a volume form Ω . Then for each q -form ω there is a unique p -vector field Λ (where $p + q = n$ is the dimension of the manifold) such that

$$\omega = \Lambda \lrcorner \Omega$$

Λ is called an **integrable p -vector field**, or a **Nambu structure** of order p , if its dual differential form $\omega = \Lambda \lrcorner \Omega$ is integrable. Equivalent definition:

Definition

A p -vector field Λ is called a Nambu structure iff near every point x such that $\Lambda(x) \neq 0$ there is a local coordinate system (x_1, \dots, x_n) such that

$$\Lambda = f \partial_{x_1} \wedge \dots \wedge \partial_{x_p}$$

(One can put $f = 1$). One may view a Nambu structure as a singular foliation + **leafwise contravariant volume element**.

A bit of history of Nambu structures

Nambu (1973): generalization of Hamiltonian formalism from a binary bracket (the Poisson bracket) to a p -ary bracket (for $p = 3$). Takhtajan (1994) gave a definition of Nambu-Poisson structures in the general case using p -ary brackets in a way similar to Poisson structures.

When $p = 2$ a Nambu-Poisson structure is nothing but a Poisson structure, while a Nambu structure in our definition is a Poisson structure of rank 2. When $p \neq 2$ the definition of Takhtajan and our new definition coincide (theorem of Alekseevsky – Guha, Gautheron, Nakanishi).

Nambu p -ary bracket: $\{f_1, \dots, f_p\} = \langle df_1 \wedge \dots \wedge df_p, \Lambda \rangle$

Hamiltonian vector fields: $X_{f_1, \dots, f_{p-1}} = (df_1 \wedge \dots \wedge df_{p-1}) \lrcorner \Lambda$

Generalized Leibniz identity \Leftrightarrow Hamiltonian vector fields preserve Λ

Some remarks on Nambu structures

- In general, associated Nambu structures do not lose singularities of foliations. Nor do they create new artificial singular points.
- The sheaf of local tangent Nambu structures = the sheaf of local sections of a line bundle (the anti-canonical bundle of the foliations). If this line bundle is not globally trivial then a global Nambu structure doesn't exist, but it's no big deal: one can talk about twisted associated Nambu structures by taking tensor product with the dual (canonical) line bundle, so the theory still works.
- Nambu are good not only for foliations, but also for analysis (singularity theory). Example: manifold with boundary and corners can also be represented by Nambu. Near a corner, Λ is monomial:

$$\Lambda = x_1 \dots x_k \partial x_1 \wedge \dots \wedge \partial x_k \wedge \dots \wedge \partial x_n$$

One recovers the boundary strata as singular leaves of the associated foliation. (One can often view stratifications as singular foliations)

Associated Nambu structures to a foliation

Question: Given a singular foliation \mathcal{F} , how to associate to it a Nambu structure Λ , so that one can essentially recover \mathcal{F} from Λ ?

Intuitively, Λ would be tangent to \mathcal{F} in the sense that at every regular point x of Λ (i.e. $\Lambda(x) \neq 0$) we can write $\Lambda = \partial x_1 \wedge \dots \wedge \partial x_p$ in a local coordinate system such that $\partial x_1, \dots, \partial x_p$ generate \mathcal{F} near x . In particular, Λ vanishes at every singular point of \mathcal{F} .

However, in some special situations the above intuitive tangency condition would imply that the singular set of Λ is too big compared to the singular set of \mathcal{F} .

Example: \mathcal{F} in \mathbb{C}^2 with leaves $\{x = \text{const} \neq 0\}$, $\{x = 0, y \neq 0\}$ and $\{x = y = 0\}$. Then $\Lambda = f \partial y$. If Λ is analytic and vanishes at the singular point of \mathcal{F} then the singular set of Λ (i.e. the level set $\{f = 0\}$) is of dimension (at least) 1 while the singular set of \mathcal{F} is of dimension 0.

The tangency condition

Need a compromise between “being tangent everywhere” and “without unwanted singular points”. The following definitions work well in the analytic case: Denote by $S(\Lambda)$ (resp. $S(\mathcal{F})$) the singular set of a Nambu structure Λ of order p (resp. of a p -dimensional foliation \mathcal{F}).

- Λ a **tangent Nambu structure** to \mathcal{F} if $\text{codim}(S(\mathcal{F}) \setminus S(\Lambda)) \geq 2$ and near each point $x \notin S(\Lambda) \cup S(\mathcal{F})$ there is a local coordinate system in which $\Lambda = \partial x_1 \wedge \dots \wedge \partial x_p$ and \mathcal{F} is generated by $\partial x_1, \dots, \partial x_p$
- Moreover, if $\text{codim}(S(\mathcal{F}) \setminus S(\Lambda)) \geq 2$, and is without multiplicity in the sense that Λ can't be written as $\Lambda = f^2 \Lambda'$, where f is a function which vanishes somewhere, then we say that Λ is an **associated Nambu structure** to \mathcal{F} .

Existence and Uniqueness Theorem

In the holomorphic category, there always exists a local associated Nambu structure which is unique up to multiplication by an invertible function.

Construction of associated Nambu structures

- Take p local vector fields X_1, \dots, X_p tangent to \mathcal{F} and linearly independent almost everywhere, and put $\Pi = X_1 \wedge \dots \wedge X_p$.
- Decompose $\Pi = h\Lambda$, where $\text{codim}S(\Lambda) \geq 2$.
- If $\text{codim}S(\mathcal{F}) \geq 2$ then Λ is an associated Nambu structure of \mathcal{F} .
- If $\text{codim}S(\mathcal{F}) = 1$, we find a reduced function s such that $S(\mathcal{F}) = \{s = 0\}$ then $s\Lambda$ is an associated Nambu structure of \mathcal{F} .

Example: \mathcal{F} on \mathbb{R}^3 or \mathbb{C}^3 with leaves $\{x^2 + y^2 + z^2 = \text{const}\}$. Take two tangent vector fields $X = y\frac{\partial}{\partial z} - z\frac{\partial}{\partial y}$, $Y = z\frac{\partial}{\partial x} - x\frac{\partial}{\partial z}$, and put

$$\Pi = X \wedge Y = z \left(x\frac{\partial}{\partial y} \wedge \frac{\partial}{\partial z} + y\frac{\partial}{\partial z} \wedge \frac{\partial}{\partial x} + z\frac{\partial}{\partial x} \wedge \frac{\partial}{\partial y} \right)$$

then $\Lambda = \frac{\Pi}{z}$ is an associated Nambu structure of \mathcal{F} .

Global situation: Sheaf of tangent Nambu structures = sheaf of sections of the **anti-canonical line bundle** of the foliation. Associated Nambu structure = section which doesn't vanish anywhere.

Associated foliations

An “obvious” foliation generated by Λ consists of 2 kinds of leaves: regular leaves, and 0-dimensional leaves (singular points of Λ). But this foliation is “stupid”. Need more sophisticated constructions.

Definition

We say that a vector field X is **tangent** to Λ if $X \wedge \Lambda = 0$

The set of tangent vector fields forms an integrable distribution. However, the foliation defined in by it may lose many singularities of Λ .

Example: $\Lambda = x \frac{\partial}{\partial x} \wedge \frac{\partial}{\partial y}$. Then $\text{codim}S(\Lambda) = \text{codim}\{x = 0\} = 1$, but the foliation \mathcal{F} defined by the tangent vector fields of Λ consists of just one leaf, which is \mathbb{C}^2 . Put an additional condition to avoid this situation:

Definition

A vector field X is called a **conformally invariant tangent** (CIT) vector field of a Nambu structure Λ if X is tangent to Λ and X conformally preserves Λ , i.e. $\mathcal{L}_X \Lambda = f \Lambda$ for some function f . The set of CIT vector fields of Λ will be denoted by $CIT(\Lambda)$.

Theorem and Definition

The set of CIT vector fields of a Nambu structure Λ of order p generates an integrable singular distribution and hence defines a singular foliation \mathcal{F}_Λ which will be called the **associated foliation** of Λ .

For previous example, $\Lambda = x \frac{\partial}{\partial x} \wedge \frac{\partial}{\partial y}$

- $\frac{\partial}{\partial x}$ is a tangent but not an associated vector field of Λ .
- \mathcal{F}_Λ is generated by $\{x \frac{\partial}{\partial x}, \frac{\partial}{\partial y}\}$ and consists two leaves $\{x = 0\}$ and $\{x \neq 0\}$.

Proposition

If Λ is a holomorphic Nambu structure and $\text{codim}(\Lambda) \geq 2$, then every tangent vector of Λ is also CIT vector field of Λ .

$$\Lambda \rightarrow \mathcal{F}_\Lambda \rightarrow \Lambda_{\mathcal{F}_\Lambda}$$

We will say Λ **conformally preserves** a function f if there is Σ such that

$$[f, \Lambda] = f\Sigma,$$

where the bracket means the Schouten bracket. Denoted by $\mu(\Lambda)$ the set of functions which are conformally preserved by Λ .

Proposition

- If $\text{codim}S(\Lambda) \geq 2$, then $\Lambda_{\mathcal{F}_\Lambda} = u\Lambda$ for some invertible function u .
- If $\Lambda = \prod f_i^{m_i} \prod g_j^{m_j} \Lambda_1$, where $\text{codim}S(\Lambda_1) \geq 2$, f_i, g_j are irreducible, $f_i \in \mu(\Lambda_1)$, $g_j \notin \mu(\Lambda_1)$, then $\Lambda_{\mathcal{F}_\Lambda} = u \prod g_j \Lambda_1$ for some invertible function u .

From Nambu structures to singular foliations and back

Example: Let $f, g \in \mathcal{O}_2$ be irreducible and $(f, g) = 1$. Consider $\Lambda = fgX_f$ where $X_f = \frac{\partial f}{\partial y}\partial x - \frac{\partial f}{\partial x}\partial y$. Then $f \in \mu(X_f)$, $g \notin \mu(X_f)$ and $\Lambda_{\mathcal{F}_\Lambda} = gX_f$

From foliations to Nambu structures and back: $\mathcal{F} \rightarrow \Lambda_{\mathcal{F}} \rightarrow \mathcal{F}_{\Lambda_{\mathcal{F}}}$

Theorem

Let \mathcal{F} be a holomorphic singular foliation and $\Lambda_{\mathcal{F}}$ be its associated Nambu structure. Suppose that $\mathcal{F}_{\Lambda_{\mathcal{F}}}$ is an associated foliation of $\Lambda_{\mathcal{F}}$ then $\mathcal{F}_{\Lambda_{\mathcal{F}}}$ is a saturation of \mathcal{F} . Moreover, if $\text{codim}S(\mathcal{F}) \geq 2$ then $\text{codim}S(\mathcal{F}_{\Lambda_{\mathcal{F}}}) \geq 2$.

Saturation means that each leaf of the latter foliation is saturated by the leaves of the former one.

Reference for Nambu \leftrightarrow foliation correspondence: Minh & Zung, "Commuting Foliations", Regular and Chaotic Dynamics 2013.

Morphisms, pull-backs, stratification, etc.

- The pull-back of a singular foliation by a map is again a singular foliation (via the pull-back of local associated integrable differential forms)
- Morphisms? Many kinds: isomorphisms (no problems); sending Nambu to Nambu (preserve the dimension), sending distribution to distribution,
- Foliation vs stratification of singular fibers of maps/fibrations: singular leaves of the associated singular foliations are often strata of the (Whitney – Thom – Mather) stratification. It's true, for example, for nondegenerate singularities of integrable Hamiltonian systems.
- Counter-example (suggested by Mattei): Level sets of the function $f(x, y, z) = x(x - y)(x - 2y)(x - zy)$ in \mathbb{C}^3 . An 1-dimensional stratum of the singular level set is not a leaf, but the leaves in it are just points (due to changing biratios). Nothing wrong with singular foliations or Nambu structures, it's just that sometimes the stratification of a singular fiber can't be made foliated)

What are linear foliations?

There are two different non-equivalent notions of linear singular foliations:

- **Lie-linear:** foliations generated by linear vector fields = generated by linear representations of Lie algebras = generated by linear actions of Lie groups. (Big theory of linear representations)
- **Nambu-linear:** associated to a linear Nambu structure, i.e. whose coefficients in a coordinate systems are linear.

Nambu-linear are also Lie-linear though the converse is not true (there are few Nambu-linear foliations): contraction of a linear Nambu p -vector field with constant $(p - 1)$ -forms give rise to generating linear vector fields.

Classification of Nambu-linear: Dufour–Z (Compositio Math. 1999). Some other people (Grabowski, ...) arrived at similar results.

Classification of linear Nambu structures: 2 types

Type I (piles of cabbages / parallel worlds):

$$\Lambda = \omega \lrcorner (\partial x_1 \wedge \cdots \wedge \partial x_n)$$

where

$$\omega = dx_1 \wedge \cdots \wedge dx_{p-1} \wedge dQ$$

where Q is a quadratic function

x_1, \dots, x_{p-1} are regular linear first integrals

Q is a quadratic first integral

In the nongenerate case, Q depends only on x_p, \dots, x_n

If Q is positive definite then the leaves are p -dimensional spheres

The foliation looks like **a pile of cabbages** or **parallel worlds**

Classification of linear Nambu structures: 2 types

Type II (open books):

$$\Lambda = \partial x_1 \wedge \cdots \wedge \partial x_{p-1} \wedge X$$

where

$$X = \sum_{i,j \geq p} a_{ij} x_i \partial x_j$$

is a linear vector field in the variables x_p, \dots, x_n

This foliation can be splitted into direct product of a linear vector field with \mathbb{R}^{p-1} .

Looks like **open books** (especially if X is hyperbolic).

In a sense, the two types are dual to each other: in Type 2 the Nambu structure is decomposable, in Type 1 the integrable differential form is decomposable. Very often, singular foliations are locally of these two types, because of the linearization theorems (see Lecture 2).

(Quasi-)homogeneous foliations

What is a homogeneous singular foliation of degree k ? Generated by homogeneous vector fields of degree k ?

The problem is that, the Lie bracket of two vector fields of degree k is a vector field of degree $2k - 1 \neq k$ unless $k = 1$. So a family of vector fields of degree k can't be involutive in general, and if one generates an involutive family from some vector fields of degree k by taking Lie brackets, we will get vector fields of different degrees.

To avoid this problem: replace vector fields by **(quasi-)homogeneous Nambu structures** or integrable differential forms.

Example: $\omega = dF_1 \wedge \cdots \wedge dF_q$, where F_1, \dots, F_q are homogeneous polynomial functions.

Example: Any Lie-linear foliation is a (Nambu-)homogeneous foliation.

Example: Direct product of homogeneous foliations is again homogeneous.

H-degree = degree of the associated homogeneous Nambu structure. (A discrete invariant for linear representations)

Example: Let \mathfrak{g} be a semisimple Lie algebra, and consider the associated coadjoint foliation on \mathfrak{g}^* . Leaves = coadjoint orbits. The singular set of codimension 3. First integrals = Casimir functions. The associated Nambu structure is

$$\Lambda = \wedge^m \Pi$$

where m is half the dimension of coadjoint orbits. The H-degree is also m , because Π is linear. Up to a multiplicative constant, the dual integrable differential form is

$$dF_1 \wedge \cdots \wedge dF_d$$

where F_1, \dots, F_d are generators of the algebra of Casimir functions. (d is the dimension of the Cartan subalgebra).

Example: $\mathfrak{g} = \mathfrak{gl}(n, \mathbb{K})$

The coordinates are $x_{ij}, 1 \leq i, j \leq n$. The tangent vector fields are (for $i \neq j$)

$$X_{ij} = x_{ij}(\partial x_{jj} - \partial x_{ii}) + (x_{ii} - x_{jj})\partial x_{ji} + \sum_{k \neq i, j} (x_{ik}\partial x_{jk} - x_{kj}\partial x_{ki})$$

The tangent Nambu structure $\wedge_{i \neq j} X_{ij}$ is divisible by $\prod_{i < j} (x_{ii} - x_{jj})$ and

$$\Lambda = \wedge_{i \neq j} X_{ij} / \prod_{i < j} (x_{ii} - x_{jj})$$

is the associated Nambu structure of order $n(n-1)$ (equal to the dimension of the generic orbits), which is homogeneous of degree $n(n-1)/2$ (half of the order). It is proportional to $\wedge^{n(n-1)/2} \Pi$ where Π is the associated linear Poisson structure on the dual of the Lie algebra.

3. Deformation cohomology of singular foliations

We want to develop a general deformation theory for singular foliations.

Fundamental tool at the infinitesimal level: *deformation cohomology*.

General idea: Given a certain structure S

- Infinitesimal deformations: D such that the formal deformation $S + \epsilon D$ of S also satisfies structural equations modulo ϵ^2
- Trivial deformations: terms of the type $(1 + \epsilon X)_* S - S$ modulo ϵ^2
- **Deformation cohomology**:

$$H_{def}(S) = \frac{\{\text{infinitesimal deformations}\}}{\{\text{trivial deformations}\}}$$

may be interpreted as the formal tangent space to the moduli space of deformations.

- If $H_{def}(S) = 0$ then S is called **infinitesimally rigid**. In many situations, infinitesimal rigidity implies rigidity (Richardson–Nijenhuis, Mather, etc.).

Infinitesimal deformations of Nambu structures

Let Λ be a Nambu structure of order p .

- A multi-vector field Π of order p is called an **infinitesimal deformation** of Λ if $\Lambda + \epsilon\Pi$ is a Nambu structure modulo ϵ^2 . The condition “ $\Lambda + \epsilon\Pi$ is Nambu modulo ϵ^2 ” is a linear system of equations on Π (linear first order PDEs + linear algebraic equations), so the set of infinitesimal deformations is a vector space.
- If $\Pi = \mathcal{L}_X\Lambda$ for some vector field X , then Π is called a trivial deformation of Λ . The set of all trivial deformations is also a vector space.
- If $\Pi = \mathcal{L}_X\Lambda + f\Lambda$ for some vector field X and some function f , then Π is called a trivial deformation of the associated foliation \mathcal{F}_Λ . The set of all trivial deformations of the foliation is also a vector space.

Deformation cohomology of Λ and \mathcal{F}_Λ

Definition: Deformation cohomology of Λ and \mathcal{F}_Λ

$$H_{def}(\Lambda) = \frac{\{\text{Infinitesimal deformations of } \Lambda\}}{\{\mathcal{L}_X \Lambda\}},$$

$$H_{def}(\mathcal{F}_\Lambda) = \frac{\{\text{Infinitesimal deformations of } \Lambda\}}{\{\mathcal{L}_X \Lambda + f\Lambda\}}.$$

Remark: If a local associated Nambu structure doesn't exist globally (because the anti-canonical line bundle is non-trivial, use a "twisted associated Nambu structure" (twisted by the canonical bundle).

Problems: Computations of deformation cohomologies, relations to problems of rigidity and (true) deformations, comparison with other cohomology theories, characteristic classes and indices, etc.

If the deformation cohomology is finite-dimensional, one expects that the moduli space of deformations/normal forms of perturbations is also locally finite-dimensional.

Examples of deformation cohomology

(Computations worked out with **Ph. Monnier** and **Truong Hong Minh**)

- The regular case: J. L. **Heitsch** (1973-75) defined a differential complex whose first cohomology class is the deformation cohomology space of a *regular* foliation \mathcal{F} : It is nothing else but the *algebroid cohomology* of the natural linear action of the tangent Lie algebroid $T\mathcal{F}$ on the normal bundle $N_{\mathcal{F}} = TM/T\mathcal{F}$ of \mathcal{F} .

Assume that there is a global Nambu structure Λ associated to \mathcal{F} (i.e. regular leafwise volume contravariant form). Then $\mathcal{F} = \mathcal{F}_{\Lambda}$ and our deformation cohomology for the foliation coincides with Heitsch's:

Theorem

$$H_{def}(\mathcal{F}) = H^1(T\mathcal{F}, N_{\mathcal{F}})$$

The differential complex here is $\Omega^*(T\mathcal{F}, N_{\mathcal{F}})$ of leafwise differential forms with values in the normal bundle: it's very similar to the usual De Rham complex of differential forms with values in \mathbb{R}

Examples of deformation cohomology

The above theorem is still valid when a global associated Nambu structure doesn't exist: replace Nambu by a twisted Nambu in this case.

$H_{def}(\Lambda)$ can be much larger than $H_{def}(\mathcal{F}_\Lambda)$, also in the regular case.

For example, let $M = P \times Q$ compact, where Q is simply-connected, and the foliation \mathcal{F} is given by the projection to P , i.e. the leaves are $\{pt\} \times Q$. Then the deformation cohomology of the foliation is trivial (it follows from the above theorem, and agrees with Reeb stability theorem). On the other hand, $H_{def}(\Lambda)$ becomes the deformation cohomology of a function f on P (the value of f at a point x in P equals the volume of $\{x\} \times Q$ with respect to the contravariant volume form Λ), and we have:

Theorem

Let $f : P \rightarrow \mathbb{R}$ be a smooth simple Morse function on a compact manifold P . Then $\dim H_{def}(f)$ is the number of singular points of f .

Examples of deformation cohomology

- The regular case of top order:

If Λ is regular of top order, then only 1 leaf (the manifold itself), the foliation is trivial (no deformation), but Λ can be deformed (by changing the total volume)

Theorem

If Λ is a regular Nambu structure of top degree on a compact manifold then $H_{def}(\Lambda) = \mathbb{R}$ and $H_{def}(\mathcal{F}_\Lambda) = 0$

Consistent with Moser [1964]: Two volume forms ω_1 and ω_2 on a compact manifold M are diffeomorphic \Leftrightarrow they have the same global volume:

$$\int_M \omega_1 = \int_M \omega_2$$

Examples of deformation cohomology

- The case of top order with nondegenerate singularities:

Locally $\Lambda = x_1 \partial x_1 \wedge \partial x_2 \wedge \cdots \wedge \partial x_n$ near singular points (Type II). Two kinds of leaves: regular n -dimensional domains, and singular $(n - 1)$ -submanifolds.

Classification of these structures is done by Olga Radko (2002, for $n = 2$: Poisson surfaces) and David Martinez Torres (2004, for n arbitrary).

Numerical invariants of the classification (besides topological ones):

- Regularized Liouville volume of the manifold
- $(n - 1)$ -dimensional volume of each singular leaf (induced from Λ)

Theorem

Let Λ be a Nambu structure of top order with nondegenerate singularities on a compact manifold M . Then $\dim H_{\text{def}}(\mathcal{F}_\Lambda) = 0$ and $\dim H_{\text{def}}(\Lambda) = k + 1$, where k is the number of $(n - 1)$ -dimensional leaves.

Examples of deformation cohomology

- The case of top order, local cohomology.

Assume that

$$\Lambda = f \frac{\partial}{\partial x_1} \wedge \dots \wedge \frac{\partial}{\partial x_n}$$

where $f(0) = 0$ and moreover 0 is a singular point of f , i.e. $df(0) = 0$. We will work here in the local holomorphic category, with germs of functions. In this case we have:

- $$H_{def}(\mathcal{F}_\Lambda) \cong \frac{\mathcal{O}_n}{\left\langle f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle}$$

and $\dim H_{def}(\mathcal{F}_\Lambda) = \tau(f)$ is the **Tjurina number** of f at 0 .

- $$H_{def}(\Lambda) \cong \frac{\mathcal{O}_n}{\{X(f) - (\operatorname{div} X)f \mid X \in \mathfrak{X}\}}$$

and $\dim H_{def}(\Lambda) =$ **some number** of f (?! Don't know the name)

Examples of deformation cohomology

- The case of order 0 (i.e, functions). $\Lambda = f$ is a 0-vector field. Locally:

$$H_{def}(f) = \frac{\mathcal{O}_n}{\{X(f) | X \in \mathfrak{X}\}} = \frac{\mathcal{O}_n}{\left\langle \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle},$$

$$H_{def}(\mathcal{F}_f) = \frac{\mathcal{O}_n}{\{X(f) + cf | X \in \mathfrak{X}, c \in \mathcal{O}_n\}} = \frac{\mathcal{O}_n}{\left\langle f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right\rangle}.$$

$\dim H_{def}(f) = \mu(f)$, $\dim H_{def}(\mathcal{F}_f) = \tau(f)$ (**Milnor** and **Tjurina** number).

- The case of vector fields (order 1) If $\Lambda = X$ is a vector field, the leaves of the foliation are integral curves of X . Normalization of the foliation = Orbital normalization of X .

Local deformation cohomology (for germs of vector fields) is given by resonant terms, and can be infinite-dimensional. If the vector field has a non-resonant linear part, then the local deformation cohomology is trivial. Global deformation = complicated dynamical problem.

Decomposable Nambu structures with small singularities

- Λ is a Nambu structure and $\omega = i_{\Lambda}\Omega$, Ω is a volume form.
- If ω is decomposable (i.e. $\omega = \omega_1 \wedge \dots \wedge \omega_q$) and $\text{codim}(\omega) \geq 3$ then by Malgrange (1977): $\omega = udf_1 \wedge \dots \wedge df_q$

Proposition

Let $\omega = udf_1 \wedge \dots \wedge df_q$ be an integrable q -form and η is an infinitesimal deformation ω . If $\text{codim}S(\omega) \geq q + 2$ then

$$\eta = a_0 df_1 \wedge \dots \wedge df_q + u \sum_{i=1}^q df_1 \wedge \dots \wedge df_{i-1} \wedge da_i \wedge df_{i+1} \wedge \dots \wedge df_q.$$

It means that $\omega + \epsilon\eta$ is also decomposable and admits first integrals modulo ϵ^2 .

Decomposable Nambu structures with small singularities

Corollary

If $\omega = df$ (Nambu structure of order $n - 1$) and $\text{codim}S(df) \geq 3$ then

$$H_{\text{def}}(\mathcal{F}_{df}) = \frac{\mathcal{O}_n}{\left\{ a_i \frac{\partial f}{\partial x_i} + \dots + a_n \frac{\partial f}{\partial x_n} + h \circ f \mid a_i \in \mathcal{O}_n, h \in \mathcal{O} \right\}}$$

In particular, $\mu(f) \geq \dim H_{\text{def}}(\mathcal{F}_{df}) \geq \tau(f) - 1$.

Corollary

If O is an isolated singular point of $\omega = udf_1 \wedge \dots \wedge df_q$ then

$$\dim H_{\text{def}}(\mathcal{F}_\omega) < \infty$$

Some open problems concerning deformation

- Interpret deformation cohomology as part of a bigger cohomology theory (with a differential complex) in the singular case ?
- Computation of deformation cohomology for linear actions of Lie algebras, and for other situations?
- Rigidity of singular foliations given by semisimple compact group actions: The group actions are rigid, but if we forget the group, is the foliation still rigid ?
- Singular Reeb stability (for singular points and leaves) ? Linearized models along a leaf ?
- Local and global (Infinitesimal) rigidity of orbit-like foliations with simply-connected leaves ?
- Etc.

4. Dufour foliations

A **Dufour foliation** of dimension p is a foliation which can be locally given near every singular point by a Nambu structure of order p which has a nondegenerate linear part. If every singular point of a Dufour foliation is of type 1 (resp., type 2), then we say that it is a Dufour foliation of **type 1** (resp., **type 2**). If there are singular points of both types then we say that it is a Dufour foliation of **mixed type**.

Examples:

- 1) Morse functions and Morse foliations are Dufour foliations of Type 1 and codimension 1. One can also define Dufour-Bott foliations. Topology and stability of Morse and Morse-Bott foliations are studied by many people, also quite recently (**Reeb** stability, Thurston, Wagner, Camacho, Scardua, Seade, Mafra, Fukui, Rosati, ...)
- 2) Contravariant fields of top order and nondegenerate singularities (studied by O. Radko, D. Martinez, ...) are Dufour foliations of Type 2 and codimension 0.

Some questions on Dufour foliations

- Local deformations/stability at singular points? Done (local linearization problem).
- Deformation cohomology and global structural stability of Dufour foliations? (Extension of results from the Morse case)
- Realization via Lie algebroids? Not clear. (When order = 2 then realized via Poisson structures and their cotangent Lie algebroids. In general how can one use surgery to *glue Lie algebroids* together? Use categorical approach to Lie algebroids?)
- Existence of Dufour foliation of a given codimension on arbitrary manifolds?
- Topology and Morse theory? Restriction to Dufour foliations of Type 1 with compact leaves already gives a large family of foliations to study topology.
- Extensions to Dufour-Bott foliations

Linearization of singular points of Type 2

Splitting proposition

If the linear part Λ_1 of a Nambu structure Λ which vanishes at O is of Type 2, $\Lambda_1 = \partial x_1 \wedge \cdots \wedge \partial x_{p-1} \wedge \sum_{i,j \geq p} a_{ij} x_i \partial x_j$, with non-vanishing trace $\sum_{i \geq p} a_{ii} \neq 0$, then Λ is $(p-1)$ -splittable, i.e. it can be written locally, in some coordinate system, as

$$\Lambda = \partial x_1 \wedge \cdots \wedge \partial x_{p-1} \wedge X$$

The above proposition is a particular case of the so-called **generalized Kupka's phenomenon**. After the splitting, the linearization problem for Λ becomes the linearization problem for a vector field X , so we get:

Theorem (Dufour–Z 1999)

If $\sum_{i,j \geq p} a_{ij} x_i \partial x_j$ is non-resonant then Λ is smoothly linearizable. If moreover it satisfies a Diophantine condition and Λ is analytic then analytically linearizable.

Linearization of singular points of Type 1

$\Lambda = \Lambda_1 + h.o.t$ is of Type 1, i.e.

$$\Lambda_1 \lrcorner (dx_1 \wedge \cdots \wedge dx_n) = dQ \wedge dx_{p+2} \wedge \cdots \wedge dx_n \quad t = t_0$$

where Q is a quadratic function. We will consider only the nondegenerate case, i.e. Q is nondegenerate quadratic in variables x_1, \dots, x_{p+1}

Theorem (Dufour–Z 1999, Z 2013)

- a) If Λ is formal then it's formally linearizable
- b) If Λ is analytic then it's analytically linearizable
- c) If Λ is smooth and the signature of Q is different from $(2, *)$ then Λ is smoothly linearizable. If the signature is $(2, *)$ then there are counter-examples.

Of course, under the assumptions of the above theorems, the deformation cohomology is trivial. From that infinitesimal rigidity to linearizability, we need more work.

Tools used in the proof of local linearization


- Division theorems (De Rham, Saito)
- Decomposition of the dual integrable differential form
- Godbillon–Vey algorithm (to formally linearize the foliation)
- Malgrange’s “Frobenius with singularity” theorem (for the existence of analytic first integrals)
- Blowing up (in the compact case, when Q is positive definite)
- Equivariant smooth linearization of vector fields (Sternberg–Belitskii–Kopanskii)
- **Levi decomposition** (for the existence of $SO(p + 1)$ symmetry group, similar to the one used in the linearization of Lie algebroids.)
Cerveau was first to use it for singular foliations.
- **Slicing method** (for dealing with the smooth noncompact case: turning non-compact leaves into compact leaves by slicing).

Stability of Dufour foliations: the elliptic case

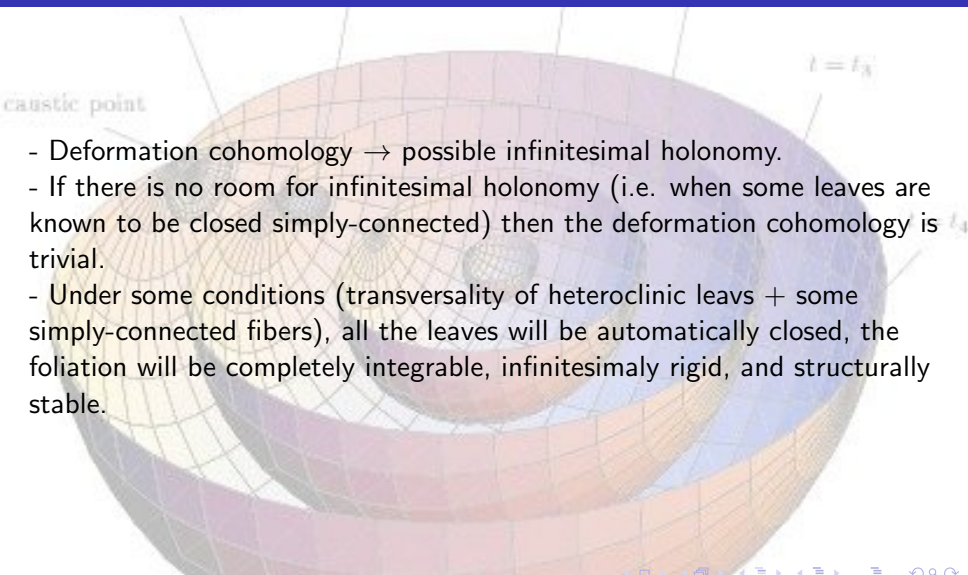
Theorem

Consider a Dufour foliation of type 1 of dimension $p \geq 2$ with only elliptic singular points. Then:

- i) All regular fibers are diffeomorphic to S^p*
- ii) The foliation is completely integrable (i.e., it admits a complete set of first integrals)*
- iii) The deformation cohomology is trivial*
- iv) The foliation is structurally stable (any nearby singular foliation will be diffeomorphic to it)*
- v) The foliation can be blown up to a regular S^p fibration over a base space = manifold with boundary: the boundary corresponds to singular points of the foliation.*
- iv) The classification of such foliations is equivalent to the classification of S^p fibrations over manifolds with boundary.*

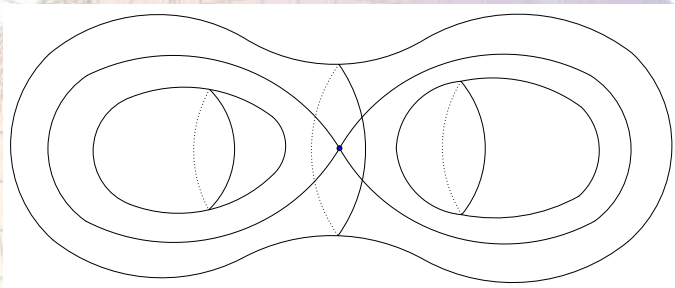
Remark: The dimension of the base space is the codimension of the foliation. The Reeb case is when this base space is a closed interval. 

Deformations and stability of Dufour foliations: the general case

- 
- Deformation cohomology \rightarrow possible infinitesimal holonomy.
 - If there is no room for infinitesimal holonomy (i.e. when some leaves are known to be closed simply-connected) then the deformation cohomology is trivial.
 - Under some conditions (transversality of heteroclinic leaves + some simply-connected fibers), all the leaves will be automatically closed, the foliation will be completely integrable, infinitesimally rigid, and structurally stable.

A simple example

There are Dufour foliations which are locally non-structurally-stable but globally structurally stable





Crust

Mantle

Outer Core

Inner Core

THANK YOU!

