# Cohomological Hall algebras and affine quantum groups

## Yaping Yang

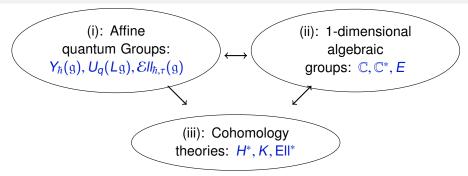
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Joint work with Gufang Zhao

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- Motivations
- The cohomological Hall algebras
- Representations
- Compactibility
- Shuffle description

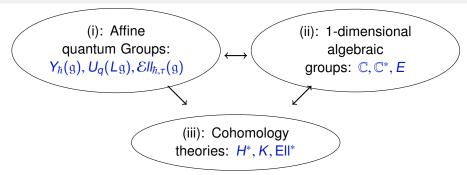




- $\{Y_{\hbar}(g), U_q(Lg), \mathcal{E}Il_{\hbar,\tau}(g)\} \leftrightarrow \{\mathbb{C}, \mathbb{C}^*, E\}$  is by QYBE.
- The correspondence (ii) ↔ (iii) is well-known.
- The direction  $(i) \rightarrow (iii)$ : [Nakajima, Varagnolo] Let  $\mathfrak{M}$  be a Nakajima quiver variety.

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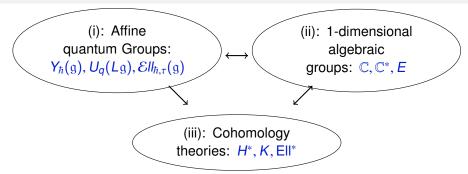




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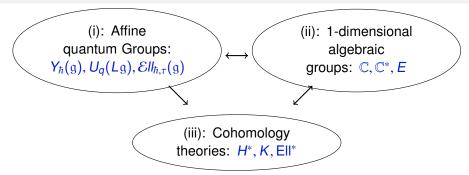




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- Give a geometric (=cohomological) construction of  $Y_{\hbar}(g)$ ,  $U_{q}(Lg)$ ,  $\mathcal{E}Il_{\hbar,\tau}(g)$ . (Not just of their representations.)
  - Give a canonical basis
  - Sheafified version of  $\mathcal{E}II_{\hbar,\tau}(\mathfrak{g})$ .
- Use this to define <u>new</u> affine quantum groups corresponding to arbitrary cohomology theories. (e.g. Cobordism theory.)

#### Remark

This is an affine analogue of Ringel Hall algebra. For any quiver  ${\sf Q}$ , Ringel defined the Hall algebra

$$\mathcal{H}(Q) = \mathbb{Z}\{[M] : \text{iso. class of repns. of } Q \text{ over } \mathbb{F}_q\},$$

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## Arbitrary cohomology theory

- Let A be any cohomology theory. A: {top. spaces} → {graded rings}.
   (E.g.: A = cohomology, K-theory, elliptic cohomology).
- For any  $f: X \to Y$ , we have smooth pullback

$$f^*: A(Y) \to A(X).$$

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- Let Q = (I, H) be a quiver. I: vertices, and H: arrows. We fix  $\{V_i\}_{i \in I}$  vector spaces of Q, with dim. vector  $\mathbf{v} = (v_i)_{i \in I}$ .
- Rep. Space  $\operatorname{Rep}(Q, v) = \bigoplus_{h \in H} \operatorname{Hom}(V_{\operatorname{tail}(h)}, V_{\operatorname{head}(h)}).$
- Then:  $G_v = \prod \operatorname{GL}_{v_i} \curvearrowright \operatorname{Rep}(Q, v)$ .
- $\Pi_Q$  the preprojective algebra: the path algebra of  $Q \cup Q^{op}$ , modulo the relations  $[x, x^*] = 0$ .
- $Rep(\Pi_Q, v) = \{(x, x^*) \mid [x, x^*] = 0\} \subset T^* Rep(Q, v)$
- The moment map  $\mu_{v}: T^{*}\operatorname{Rep}(Q, v) \to \operatorname{Lie} G_{v}^{*}$ .

$$G_{\nu} \times (\mathbb{C}^*)^2 \curvearrowright \mu_{\nu}^{-1}(0) = \operatorname{\mathsf{Rep}}(\Pi_Q, \nu).$$

Definition (Y-Zhao)

$$\mathcal{P}(A,Q) := \bigoplus_{v \in \mathbb{N}^I} A_{G_v \times (\mathbb{C}^*)^2}(\mu_v^{-1}(0))$$

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## Hall multiplication $m_{v_1,v_2}: \mathcal{P}_{v_1} \otimes \mathcal{P}_{v_2} \to \mathcal{P}_{v_1+v_2}$

#### The correspondence

$$\mathsf{Rep}(\Pi_Q, v_1) \times \mathsf{Rep}(\Pi_Q, v_2) \qquad \qquad \mathsf{Rep}(\Pi_Q, v_1 + v_2)$$

#### where

- $Ext_{v_1,v_2} = \{V_1 \rightarrow V \rightarrow V_2 \mid \dim V_i = v_i, \dim V = v_1 + v_2\}$
- $\phi: V \mapsto (V_1, V_2)$  and  $\psi: V \mapsto V$

The Hall multiplication is

$$m_{v_1,v_2} := \psi_* \circ \phi^*$$

Theorem (Schiffman-Vasserot: Q = Jordan, A = K; Y-Zhao: any Q, A)  $\mathcal{P}(A,Q) = \bigoplus_{v} A_{\mathbb{C}^{*2}}(\text{Rep}(\Pi_Q,v))$  is an associative algebra.

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Let 
$$\mathcal{P}^0(A) := \text{Sym}(\oplus_{i \in I} A_{G_{e_i}}(\text{pt}))$$
. E.g. When  $A = H^*$ ,  $\mathcal{P}^0 = U(\mathfrak{h}[z])$ . When  $A = K$ ,  $\mathcal{P}^0 = U(\mathfrak{h}[z^{\pm}])$ .

Proposition (Y-Zhao)

There is an action of  $\mathcal{P}^0$  on  $\mathcal{P}(A, Q)$ , compatible with the product structure on  $\mathcal{P}$ .

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The algebra  $\mathcal{P}^{\text{ext}} := \mathcal{P}^0 \ltimes \mathcal{P}$  is the (Borel part) of the affine quantum groups for any A.

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## Theorem (Y-Zhao)

For any Q without edges loops. We have the algebra isomorphism

$$\mathcal{P}^{\text{ext}}\mid_{t_1=t_2=\frac{\hbar}{2}} \cong Y_{\hbar}^{\geq 0}(\mathfrak{g})$$

- There exists a coproduct ∇ on P<sup>ext</sup>.
- For A = K,  $\mathcal{P}^{\text{ext}}$  is expected to be  $U_q^{\geq 0}(L\mathfrak{g})$ .
- For  $A = Ell^*$  of [Lurie, Ando, Chen, Gepner, Goerss-Hopkins,  $\cdots$ ], we get a sheafified elliptic quantum group  $\mathcal{E}ll_{\tau,\hbar}^+(\mathfrak{g})$ . It is an algebra object in a certain monoidal category of sheaves on the  $\{E^{(v)}\}_{v\in N'}$ .
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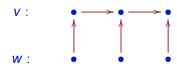
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## Nakajima quiver varieties

Let Q<sup>o</sup> be the framed quiver corresponding to Q. For example:



• Let  $\mu_{v,w}: T^* \operatorname{Rep}(Q^{\heartsuit}, v, w) \to \operatorname{Lie} G_v^*$  be the moment map. For stability condition  $\theta$ , the Nakajima quiver variety is

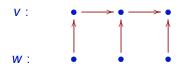
$$\mathfrak{M}(v, w); = \mu_{v,w}^{-1}(0)//_{\theta}G_{v}.$$

#### Example

- When  $Q = \bullet$ , then  $\mathfrak{M}(r, n) = T^* \operatorname{Gr}(r, n)$  is the cotangent bundle of the Grassmannian.
- When Q is the Jordan quiver,  $\mathfrak{M}(n, 1) \cong \operatorname{Hilb}^n(\mathbb{C}^2)$ .

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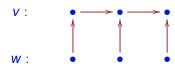
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## The action

## Theorem (Y-Zhao)

 $\forall w \in \mathbb{N}^{I}$ , there is an action

$$\mathscr{P}^{\mathsf{ext}} \curvearrowright \bigoplus_{v \in \mathbb{N}^I} A_{G_{\mathsf{w}} \times \mathbb{C}^{*2}}(\mathfrak{M}(v, w))$$

Action uses the correspondence

$$\mu_{v_1}^{-1}(0) \times \mu_{v_2,w}^{-1}(0)^{ss} \longleftrightarrow \widetilde{Ext}_{v_1,v_2} \longrightarrow \mu_{v_1+v_2,w}^{-1}(0)^{ss}$$

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## Yangians and quiver varieties

Let Q be the quiver without edge-loop.

Theorem (Nakajima 1999, Varagnolo 2000)

The Yangian  $Y_{\hbar}(\mathfrak{g})$  acts on  $H^*_{G_w \times \mathbb{C}^*}(\mathfrak{M}(w))$ , for  $\mathfrak{M}(w) = \sqcup_{v \in N^l} \mathfrak{M}(v, w)$ .

Let Q be any quiver.
Maulik-Okounkov constructed another Yangian Y<sub>MO</sub>, based on the BTT-presentation.

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Theorem (Maulik-Okounkov, 2012)

The Yangian  $Y_{MO}$  acts on  $H_{G_{WX}\mathbb{C}^*}^*(\mathfrak{M}(w))$ .

# Relation between $Y_{\hbar}(g)$ and $Y_{MO}$

Theorem (McBreen: Q = ADE; Y-Zhao: any Q)

- Q without edge loops. There is an embedding  $Y_{\hbar}(g) \hookrightarrow Y_{MO}$ .
- This embedding is compatible with the actions on  $H^*_{G_w \times \mathbb{C}^*}(\mathfrak{M}(w))$ .

# The compatibility

### Theorem (Y-Zhao)

Assume  $A = H^*$ , Q has no edges loops.

• There is an embedding  $i: \mathcal{P}^{\text{ext}} \hookrightarrow Y^{\geq 0}_{MO}$ , which is compatible with the two actions:

Y-Zhao : 
$$\mathcal{P}^{\text{ext}} \curvearrowright H_{G_w \times \mathbb{C}^*}(\mathfrak{M}(w))$$
  
Maulik-Okounkov :  $Y_{\text{MO}}^{\geq 0} \curvearrowright H_{G_w \times \mathbb{C}^*}(\mathfrak{M}(w))$ 

② i induces an isomorphism  $i: \mathcal{P}^{\text{ext}} \cong Y_{\hbar}^{\geq 0}(\mathfrak{g}) \subset Y_{\text{MO}}^{\geq 0}$ . This isomorphism is compatible with the two actions:

Y-Zhao : 
$$\mathcal{P}^{\mathrm{ext}} \curvearrowright H_{\mathrm{G}_{\mathrm{w}} \times \mathbb{C}^*}(\mathfrak{M}(w))$$
  
Nakajima, Varagnolo :  $Y_{\hbar}^{\geq 0}(\mathfrak{g}) \curvearrowright H_{\mathrm{G}_{\mathrm{w}} \times \mathbb{C}^*}(\mathfrak{M}(w))$ 

# The compatibility

### Theorem (Y-Zhao)

Assume  $A = H^*$ , Q has no edges loops.

• There is an embedding  $i: \mathcal{P}^{\text{ext}} \hookrightarrow Y^{\geq 0}_{MO}$ , which is compatible with the two actions:

Y-Zhao : 
$$\mathcal{P}^{\text{ext}} \curvearrowright H_{G_w \times \mathbb{C}^*}(\mathfrak{M}(w))$$
  
Maulik-Okounkov :  $Y_{\text{MO}}^{\geq 0} \curvearrowright H_{G_w \times \mathbb{C}^*}(\mathfrak{M}(w))$ 

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### **Table of Contents**

- Motivations
- The cohomological Hall algebras
- Representations
- Compactibility
- Shuffle description

### Cohomology theories and formal groups

For  $\pi: L \to X$  a line bundle with zero section s, the first Chern class:

$$c_1(L) := s^* s_*(1).$$

For any two line bundles L, M on X. If  $A = H^*$ ,  $c_1(L \otimes M) = c_1(L) + c_1(M)$ .

### Theorem (Quillen)

There is a unique formal power series  $F(u, v) \in A(pt)[u, v]$ , such that:

$$c_1(L \otimes M) = F(c_1(L), c_1(M)) \in A^*(X).$$

### The series F(u, v) is a formal group law:

- $F(u, v) = u + v + \cdots$
- $\bullet \ F(u,v) = F(v,u)$
- F(F(u, v), w) = F(u, F(v, w)).

### Example

- ① Let  $A = H^*$ , then  $F_a(u, v) = u + v$ .
- 2 Let A = K, then  $F_m(u, v) = u + v uv$ .
- 3 Let A = cobordism theory, F(u, v) is the universal formal group law.

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- 3 Let A = cobordism theory, F(u, v) is the universal formal group law.

#### Now, let's go back to general A:

- Shuffle algebra S(A, Q).
- Example: Let Q be the Jordan quiver. Let F be the formal group law.
  - ullet  $\mathbb{S}=igoplus_{n\in\mathbb{N}}\mathbb{S}_n$  with  $\mathbb{S}_n=\mathbb{Q}[\![t_1,t_2]\!][\![x_1,\ldots,x_n]\!]^{\mathfrak{S}_n}$
  - The multiplication  $\mathbb{S}_n \otimes_{\mathbb{Q}[\![t_1^+,t_2^+]\!]} \mathbb{S}_m \to \mathbb{S}_{n+m}$

$$f(x_1,\ldots,x_n)\star g(x_1,\ldots,x_m)=\sum_{\sigma\in\mathrm{Sh}(n,m)}\sigma\Big(f(x_1,\ldots,x_n)g(x_{n+1},\ldots,x_{n+m})\cdot h\Big)$$

where

$$h = h(Q, F) = \prod_{i \in [1, n], j \in [n+1, n+m]} \frac{(x_{j-F}x_{j} + Ft_{1} + Ft_{2})(x_{j} - Fx_{i} + Ft_{1})(x_{j} - Fx_{i} + Ft_{2})}{x_{j} - Fx_{i}}$$

#### Theorem (Y-Zhao)

There is an embedding  $\mathcal{P}(A,Q) \hookrightarrow \mathbb{S}(A,Q)$ .

#### Corollary

- Canonical basis of  $\mathcal{P}(A, Q)$
- For  $A = H^*$ , shuffle formulas for the Yangian  $Y_{\mathbb{R}}^+(\mathfrak{g})$

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There is an embedding  $\mathcal{P}(A, Q) \hookrightarrow \mathbb{S}(A, Q)$ .

#### Corollary:

- Canonical basis of  $\mathcal{P}(A, Q)$ .
- For  $A = H^*$ , shuffle formulas for the Yangian  $Y_{h}^+(g)$

# Thank You!!!

