

Noncollision singularities in a simplified planar four-body problem

Jinxin Xue

Universities of Maryland
joint with D.Dolgopyat

New Perspectives in N-body problem, Banff

Outline

Main Result

The model

Main theorem

Motivations

Motivation 1, Noncollision singularities in N-body problem

Motivation 2, Poincaré's second species solution.

The proof

Gerver's model

Local and Global map

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The model

- ▶ Two fixed centers $Q_1 = (-\chi, 0)$, $Q_2 = (0, 0)$. $m_1 = m_2 = 1$.
- ▶ Two small particles Q_3 and Q_4 , $m_3 = m_4 = \mu \ll 1$
- ▶ Q_3 is captured by Q_2 and Q_4 is a messenger traveling between Q_1 and Q_2 .

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$$H = \frac{|P_3|^2}{2\mu} + \frac{|P_4|^2}{2\mu} - \frac{\mu}{|Q_3|} - \frac{\mu}{|Q_3 - (-\chi, 0)|} \\ - \frac{\mu}{|Q_4|} - \frac{\mu}{|Q_4 - (-\chi, 0)|} - \frac{\mu^2}{|Q_3 - Q_4|}.$$



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Singular solutions

Let $\omega = \{\omega_j\}_{j=1}^{\infty}$ be a sequence of 3s and 4s.

Definition

We say that $(Q_3(t), Q_4(t))$ is a **singular solution with symbolic sequence** ω if there exists a positive increasing sequence $\{t_j\}_{j=0}^{\infty}$ such that

- ▶ $t^* = \lim_{j \rightarrow \infty} t_j < \infty$.
- ▶ $|\dot{Q}_i(t)| \rightarrow \infty$ as $t \rightarrow t^*$.
- ▶ $|Q_3(t_j) - Q_2| \leq C, |Q_4(t_j) - Q_2| \leq C$.
- ▶ If $\omega_j = 4$ then for $t \in [t_{j-1}, t_j]$, $|Q_3(t) - Q_2| \leq C$ and $\{Q_4(t)\}_{t \in [t_{j-1}, t_j]}$ winds around Q_1 exactly once.
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Main theorem

We denote by Σ_ω the set of initial conditions of singular orbits with symbolic sequence ω .

Theorem (Dolgopyat, X.)

There exists $\mu_ \ll 1$ such that for $\mu < \mu_*$ the set $\Sigma_\omega \neq \emptyset$.
Moreover there is an open set U in the phase space and a foliation of U by two-dimensional surfaces such that for any leaf S of our foliation $\Sigma_\omega \cap S$ is a Cantor set.*

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Conjectures on noncollision singularities

- ▶ **Conjecture**

The set of non-collision singularities has zero measure for all $N > 3$.

- ▶ **Conjecture (Painlevé)**

The set of non-collision singularities is non-empty for all $N > 3$.

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Previous works

- ▶ 1979 Mather, McGehee: collinear 4-body problem.
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- ▶ 1994 Xia: the spacial 5-body problem
- ▶ 1991 Gerver: planar $3N$ body problem

OPEN : $N = 4?$

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Poincaré's second species solution

Second species solution:
periodic orbits converging to collision chains as $\mu \rightarrow 0$.

- ▶ Restricted three body problem:
Bolotin, MacKay.
Fontes, Nunes, Simo.
- ▶ Full three-body problem:
Bolotin

Our work:

- ▶ Positive masses,
- ▶ infinitely long collision chain,
- ▶ new mechanism of producing hyperbolicity.

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Gerver's model: the setting

- ▶ $\mu = 0, \chi = \infty$.
- ▶ Q_3 ellipse is always vertical.
- ▶ Q_4 hyperbola has always horizontal asymptotes.
- ▶ Interaction of Q_3 and Q_4 is elastic collision.

Gerver's model: the first collision

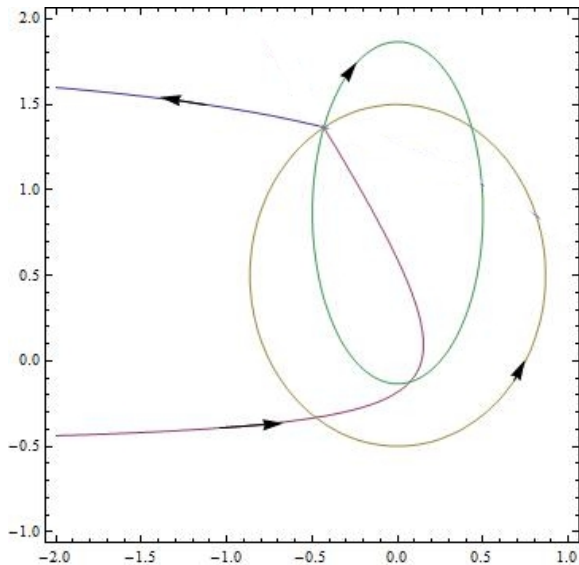


Figure: Angular momentum transfer collision

Gerver's model: the second collision

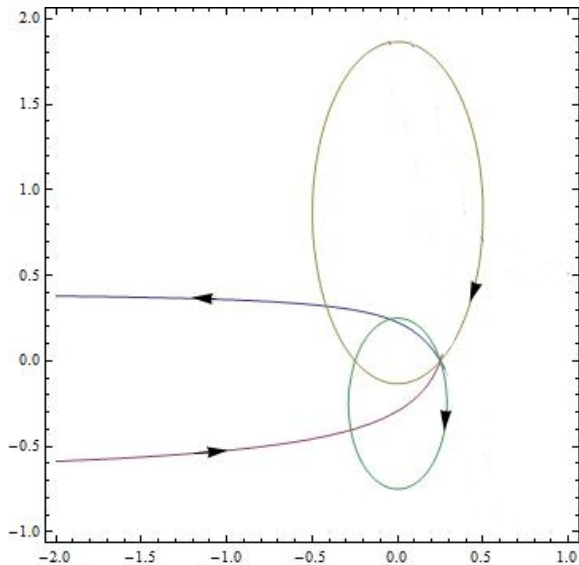


Figure: Energy transfer collision

Gerver's model: Main conclusion

- ▶ After two steps of collisions,

the same eccentricity

smaller semimajor

- ▶ For elliptic motion, $E = -\frac{1}{2a}$.

- ▶

$$E_3 \sim -\lambda^n, \quad E_4 \sim \lambda^n, \quad \lambda > 1.$$

$$v_4 \sim \lambda^{n/2}, \quad \Delta t \sim \lambda^{-n/2}.$$

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Poincaré Sections

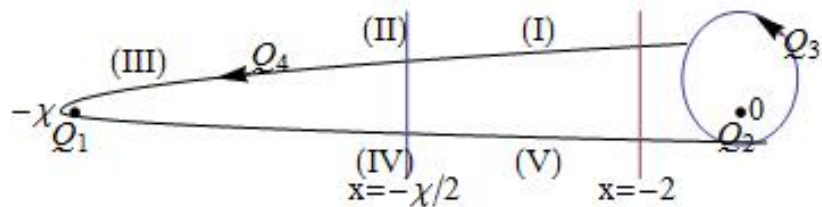


Figure: Poincaré sections

Local map

Lemma

If the y coordinates of the incoming and outgoing orbits of Q_4 are bounded, then there exist a linear functional $\hat{\mathbf{I}}_i$ and a vector $\hat{\mathbf{u}}_i$ such that

$$d\mathbb{L}(\mathbf{x}) = \frac{1}{\mu} u(\mathbf{x}) \otimes \mathbf{l}(\mathbf{x}) + B(\mathbf{x}) + o(1). \quad \mu \rightarrow 0, \chi \rightarrow \infty.$$

Global map

Lemma

Let \mathbf{x} and $\mathbf{y} = \mathbb{G}(\mathbf{x})$ be such that $|y(\mathbf{x})| \leq C$, $|y((\mathbf{y}))| \leq C$ and Q_4 passes within distance \tilde{C}/χ from Q_1 . Then there exist linear functionals $\bar{\mathbf{l}}(\mathbf{x})$ and $\bar{\bar{\mathbf{l}}}(\mathbf{x})$ and vectorfields $\bar{\mathbf{u}}(\mathbf{y})$ and $\bar{\bar{\mathbf{u}}}(\mathbf{y})$ such that

$$d\mathbb{G}(\mathbf{x}) = \chi^2 \bar{\mathbf{u}}(\mathbf{y}) \otimes \bar{\mathbf{l}}(\mathbf{x}) + \chi \bar{\bar{\mathbf{u}}}(\mathbf{y}) \otimes \bar{\bar{\mathbf{l}}}(\mathbf{x}) + O(\mu^2 \chi).$$

Nondegeneracy

Lemma

The following non degeneracy conditions are satisfied.



$$\text{span}(u, BY) \pitchfork (\text{Ker}(\bar{\mathbf{I}}) \cap \text{Ker}(\bar{\bar{\mathbf{I}}}))$$

where $Y = (\mathbf{I}\bar{u})\bar{\bar{u}} - (\bar{\mathbf{I}}\bar{u})\bar{u} \in \text{span}(\bar{u}, \bar{\bar{u}}) \cap \text{Ker} \mathbf{I}$.



$$\det \begin{pmatrix} \bar{\mathbf{I}}(u) & \bar{\mathbf{I}}BY \\ \bar{\bar{\mathbf{I}}}(u) & \bar{\bar{\mathbf{I}}}BY \end{pmatrix} \neq 0.$$

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Cone family, Hyperbolicity

Definition

$U(\delta)$: a δ neighbourhood of Gerver's collision point in the phase space.

Lemma

There are cone families \mathcal{K}_1 on $T_x(T^*\mathbb{T}^3)$, $x \in U_1(\delta)$ and \mathcal{K}_2 on $T_x(T^*\mathbb{T}^3)$, $x \in U_2(\delta)$, each of which contains a two dimensional plane, such that

- ▶ *Invariance:* $d\mathcal{P}(\mathcal{K}_1) \subset \mathcal{K}_2$, $d(\mathcal{R} \circ \mathcal{P})(\mathcal{K}_2) \subset \mathcal{K}_1$.
- ▶ *Expansion:* If $v \in \mathcal{K}_1$, then $\|d\mathcal{P}(v)\| \geq c\chi\|v\|$.
If $v \in \mathcal{K}_2$, then $\|d(\mathcal{R} \circ \mathcal{P})(v)\| \geq c\chi\|v\|$.

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Cones

Definition

We now take \mathcal{K} to be the set of vectors which make an angle less than a small constant η with $\text{span}(\bar{u}, \bar{\bar{u}})$.

Admissible surface and Cantor set construction

► Definition

We call a C^1 surface $S_1 \subset U_1(\delta)$ (respectively $S_2 \subset U_2(\delta)$) **admissible** if $TS_1 \subset \mathcal{K}_1$ (respectively $TS_2 \subset \mathcal{K}_2$).

► The Cantor set:

$$\lim_j (\mathcal{R}\mathcal{P}^2)^{-j} S_{2j}.$$

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Local map: Poincaré section

The Poincaré section

$$|Q_3 - Q_4| = \mu^\kappa, \quad 1/3 < \kappa < 1/2.$$

Local map, the C^0 estimate

Close to elastic collision.



$$\left\{ \begin{array}{l} v_3^+ = \frac{1}{2}R(\alpha)(v_3^- - v_4^-) + \frac{1}{2}(v_3^- + v_4^-) + O(\mu^{(1-2\kappa)/3}), \\ v_4^+ = -\frac{1}{2}R(\alpha)(v_3^- - v_4^-) + \frac{1}{2}(v_3^- + v_4^-) + O(\mu^{(1-2\kappa)/3}), \\ Q_3^+ = Q_3^- + O(\mu^\kappa), \\ Q_4^+ = Q_4^- + O(\mu^\kappa), \end{array} \right.$$

where $R(\alpha) = \begin{bmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{bmatrix}$,



$$\alpha = \arctan \frac{d|v_3^- - v_4^-|^2}{\mu}.$$



$$d = (Q_3^- - Q_4^-) \times \frac{v_3^- - v_4^-}{|v_3^- - v_4^-|} : \text{ impact parameter.}$$

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▶ Energy conservation & momentum conservation.

▶ $d = O(\mu)$ if α is bounded away from 0 and π .

▶ $\frac{\partial \alpha}{\partial d} = O(1/\mu)$.

▶ Lemma

The C^1 calculation is the same as taking derivatives of the C^0 expression directly.

▶ $\frac{\partial +}{\partial -} = \frac{c}{\mu} \frac{\partial +}{\partial \alpha} \otimes \frac{\partial d}{\partial -} + (\text{derivative involving no } d) + o(1)$.

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Coordinates: Delaunay coordinates

$$dP \wedge dQ = dL \wedge d\ell + dG \wedge dg.$$

The Hamiltonian

$$H = \frac{|P|^2}{2} - \frac{1}{|Q|},$$

$$\rightarrow H = -\frac{1}{2L^2}, \quad \text{elliptic motion,}$$

$$\rightarrow H = \frac{1}{2L^2}, \quad \text{hyperbolic motion.}$$

The Hamiltonian in Delaunay coordinates

- ▶ The LEFT

$$H_L = -\frac{1}{2L_3^2} + \frac{1}{2L_4^2} - \frac{1}{|Q_4|} - \frac{1}{|Q_3 - (-\chi, 0)|} - \frac{\mu}{|Q_3 - Q_4|}.$$

- ▶ The RIGHT

$$H_R = -\frac{1}{2L_3^2} + \frac{(1 + \mu)^2}{2L_4^2} - \frac{1}{|Q_3 + (\chi, 0)|} - \frac{1}{|Q_4 + (\chi, 0)|} \\ - \frac{\mu Q_4 \cdot Q_3}{|Q_4|^3} + o\left(\frac{\mu}{|Q_4|^3}\right).$$

Coordinates for the Poincaré map

- ▶ Eliminate L_4 by fixing an energy level.
- ▶ Treat ℓ_4 as the new time.
- ▶ Coordinates for the Poincaré map:

$$(L_3, \ell_3, G_3, g_3, G_4, g_4).$$

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The first expanding direction of the Global map: hyperbolicity from parabolicity

The Hamiltonian for elliptic motion

$$H_3 = -\frac{1}{2L_3^2}.$$

The Hamiltonian equations

$$\left\{ \begin{array}{l} \dot{L}_3 = 0, \\ \dot{l}_3 = \frac{1}{L_3^3}, \\ \dot{G}_3 = 0, \\ \dot{g}_3 = 0. \end{array} \right. \implies \left\{ \begin{array}{l} L_3(T) = L_3(0), \\ l_3(T) = l_3(0) + \frac{T}{L_3^3(0)}, \\ G_3(T) = G_3(0), \\ g_3(T) = g_3(0). \end{array} \right.$$

The derivative matrix

$$\frac{\partial(L, \ell, \mathbf{G}, \mathbf{g})_3(T)}{\partial(L, \ell, \mathbf{G}, \mathbf{g})_3(0)} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ -\frac{3T}{L_3^4(0)} & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$= -\frac{3T}{L_3^4(0)} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} \otimes [1, 0, 0, 0] + O(1).$$

We have estimate

$$-\frac{3T}{L_3^4(0)} = O(\chi).$$

Hyperbolicity created from parabolicity

- ▶ Parabolic matrix

$$\begin{bmatrix} 1 & 0 \\ \chi & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ \chi & \chi + 1 \end{bmatrix}$$

- ▶ Two eigenvalues, $O(\chi)$ and $O(1/\chi)$.

The second expanding direction of the Global map: hyperbolicity near collision

- ▶ Define the angle of asymptotes

$$f = g \pm \arctan \frac{G}{L} = O(1/\chi), \quad v_4 \simeq (1, f).$$

- ▶ Coordinates changes from the Right to the Left

$$(G, g)_R \xrightarrow{(i)} (G, f)_R \xrightarrow{(ii)} (G, f)_L \xrightarrow{(iii)} (G, g)_L.$$

- ▶ The maps (i), (ii), (iii):

$$(i) : G_R = G_R, \quad f_R = g_R - \arctan \frac{G_R}{L_R}.$$

$$(ii) : G_L = G_R + \chi f_R, \quad f_L = f_R.$$

$$(iii) : G_L = G_L, \quad g_L = f_L - \arctan \frac{G_L}{L_L}.$$

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- ▶ The derivatives for $(II) = (iii)(ii)(i)$

$$D[(iii)(ii)(i)] = \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \begin{bmatrix} 1 & \chi \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix}.$$

- ▶ For matrix (IV) going from the Left to the Right, we get

$$D[(iii')(ii')(i')] = \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \begin{bmatrix} 1 & -\chi \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix}.$$

$$\begin{aligned}
& \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \begin{bmatrix} 1 & -\chi \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \begin{bmatrix} 1 & \chi \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \\
&= \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \begin{bmatrix} 1 + \#\chi & -\#\chi^2 \\ \# & -\#\chi + 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ \# & 1 \end{bmatrix} \\
& \begin{bmatrix} 1 + \#\chi & -\#\chi^2 \\ \# & -\#\chi + 1 \end{bmatrix} = \#\chi^2 \begin{bmatrix} 1 \\ 1/\chi \end{bmatrix} \otimes [1/\chi, 1] + O(1).
\end{aligned}$$

Remaining issues

- ▶ Exclude collisions.
- ▶ Control the shape: Two phases ψ_1, ψ_2 . We need

$$\det \left(\frac{\partial(g_3, e_3)}{\partial(\psi_1, \psi_2)} \right) \neq 0.$$

- ▶ Check nondegeneracy: Essentially

$$\det \begin{pmatrix} \bar{I}(u) & \bar{I}BY \\ \bar{I}(u) & \bar{I}BY \end{pmatrix} \neq 0 \Leftrightarrow \frac{\partial L_3^+}{\partial \psi} \neq 0.$$

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Planar four-body problem, in progress.

Planar 4 body problem,

- ▶ 8 degrees of freedom = 16 dimensional phase space.
- ▶ Remove the translation invariance $16 - 4 = 12$.
- ▶ Remove the rotation invariance $12 - 2 = 10$.
- ▶ Pick an energy level and take a Poincaré section, $10 - 2 = 8$ dimensional Poincaré map.
- ▶ We expect that similarly to the problem at hand the Poincaré map have only two strongly expanding directions dominating all other directions.

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THANK YOU!