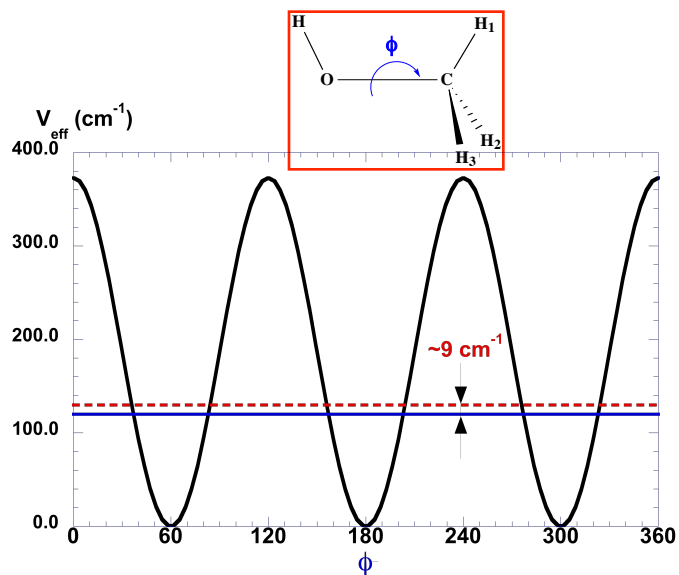


# Quantum dynamics with sparse grids: a combination of Smolyak scheme and cubature

## Application on methanol



This work is done with A. Nauts  
(Louvain-La-Neuve)

# Quantum Dynamics with full grid representation

- To calculate  $\hat{H}|\Psi\rangle$ , we need two representations:

on the grid

and

on a basis,  $|\chi_I\rangle$

Grid representation

$$\begin{bmatrix} \Psi(\mathbf{q}_1) \\ \Psi(\mathbf{q}_2) \\ \vdots \\ \Psi(\mathbf{q}_{Nq}) \end{bmatrix}$$

Basis representation

$$\begin{bmatrix} C_1 \\ C_2 \\ \vdots \\ C_{Nb} \end{bmatrix}$$



The transformations have to be done as fast as possible with a grid as small as possible

$$\begin{cases} C_I = \langle \chi_I | \Psi \rangle \\ \Psi(\mathbf{q}) = \sum_{I=1}^{Nb} C_I \chi_I(\mathbf{q}) \end{cases}$$

Two bottle-necks: **The basis set**

- For the basis, one needs some
- For the grid, one needs another

They are ways to overcome these difficulties:

- Use multimode representations as in most VSCF approaches
- Expand the operators as sums of products like in MCTDH

# Basis sets:

## 1) Primitive basis sets :

- Harmonic Oscillator (HO), Fourier, .....

## 2) Multidimensional basis-set:

- Calculation impossible with a direct-product basis.  
Ex: in 11D,  $\sim 10^{11}$  basis functions!!
- Selection in terms of excitations or the degree of the multidimensional polynomials  
Ex : the 11D-basis functions are kept when  $\text{Excitation}(B_i) \leq L_B$

Selection equivalent to the:  
-Pruned basis set of T. Carrington

# Basis sets:

## 1) Primitive basis sets :

- Harmonic Oscillator (HO), Fourier, .....

## 2) Multidimensional basis-set:

- Calculation impossible with a direct-product basis.

Ex: in 11D,  $\sim 10^{11}$  basis functions!!

- Select polyno
- In 11D, with  $L_B=9$  (equivalent to  $nb=10$ )**  
**The total number of basis functions is:**

**167 960**

the multidimensional

$$\text{Excitation}(B_i) \leq L_B$$

Selection equivalent to the:  
-Pruned basis set of T. Carrington

# Grids

## 1) Grid associated with a primitive basis:

- Gaussian quadrature (1D):

$$Q_{nq_i}^i$$

For the coordinates,  $i$ ,  
 $nq_i$  grid points

## 2) Grid associated with a multidimensional basis:



Direct-product grid (DPG):  
**impossible:  $\sim 10^{11}$  grid points!!**

$$Q_{NQ}^{nD} = Q_{nq_1}^1 \otimes Q_{nq_2}^2 \cdots \otimes Q_{nq_n}^n$$

**=> We need sparse grids**

# Smolyak multidimensionnal grid

$$Q_{L_{smol}}^{nD} = \sum_{L_{smol} - n + 1 \leq |\ell| \leq L_{smol}} (-1)^{L_{smol} - |\ell|} C_{n-1}^{L_{smol} - |\ell|} \cdot Q_{\ell_1}^1 \otimes Q_{\ell_2}^2 \cdots \otimes Q_{\ell_n}^n$$

$$|\ell| = \sum_{i=1}^n \ell_i$$

The Smolyak parameter,  $L_{smol}$ , enables to increase the size of the grid

$$Q_{\ell_i}^i = Q_{nq(\ell_i)}^i$$

1D quadrature

How to chose  $nq(\ell_i)$  in 1D?

1.  $nq = \ell + 1$
2.  $nq = 2\ell + 1$
3.  $nq = 2^\ell + 1$
4. Series  $\ell$

- $L_{smol} \geq L_B$  (excitations)

- $nq(\ell)$  has to be adapted to the selected basis functions

- **Nested grids:**

The grid points of the level  $\ell-1$  are included in the level  $\ell$ .

Ex: Clenshaw-Curtis or Kronrod-Patterson quadrature

S.A. Smolyak *Soviet Mathematics Doklady*, 1963, 4, 240.  
 Avila, G.; Carrington, T. *JCP*, 2009, 131, pp174103 (6D 12D)  
 V. Gradinaru, *SIAM J. Numer. Anal.*, 2008, 46, p103.  
 J. Burkardt : <http://people.sc.fsu.edu/~jburkardt/presentations>

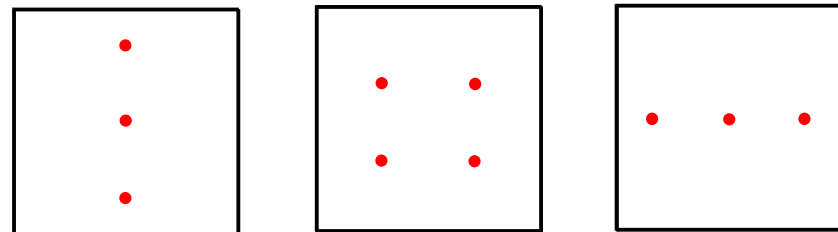
# Smolyak grid in 2D

$$Q_{L_{smol}}^{q_1, q_2} = \sum_{L_{smol} - 2 + 1 \leq |\ell| \leq L_{smol}} (-1)^{L_{smol} - |\ell|} C_{2-1}^{L_{smol} - |\ell|} \cdot Q_{\ell_1}^{q_1} \otimes Q_{\ell_2}^{q_2}$$

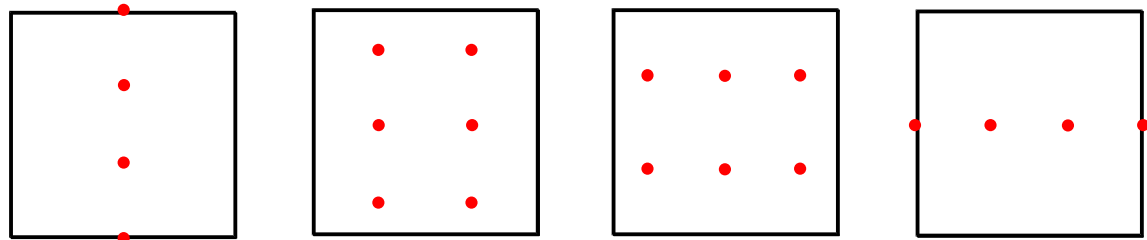
• In 2D (n=2) with  $L_{smol}=3$

$$|\ell| = \sum_{i=1}^2 \ell_i$$

$ \ell $	$\ell_1 \ell_2$	$n_{q_1} n_{q_2}$
2	0 2	1 3
	1 1	2 2
	2 0	3 1
3	0 3	1 4
	1 2	2 3
	2 1	3 2
	3 0	4 1



$$Q_1^{q_1} \otimes Q_3^{q_2} + Q_2^{q_1} \otimes Q_2^{q_2} + Q_3^{q_1} \otimes Q_1^{q_2}$$



$$Q_1^{q_1} \otimes Q_4^{q_2} + Q_2^{q_1} \otimes Q_3^{q_2} + Q_3^{q_1} \otimes Q_2^{q_2} + Q_4^{q_1} \otimes Q_1^{q_2}$$

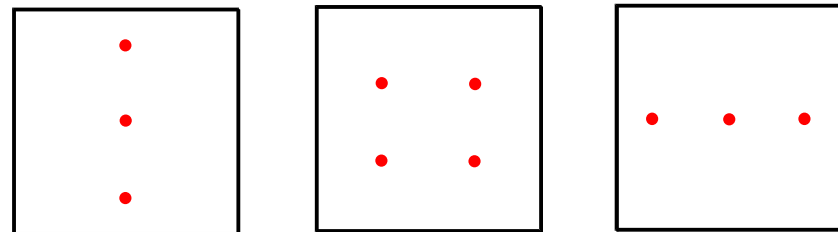
# Smolyak grid in 2D

$$Q_{L_{smol}}^{q_1, q_2} = \sum_{L_{smol} - 2 + 1 \leq |\ell| \leq L_{smol}} (-1)^{L_{smol} - |\ell|} C_{2-1}^{L_{smol} - |\ell|} \cdot Q_{\ell_1}^{q_1} \otimes Q_{\ell_2}^{q_2}$$

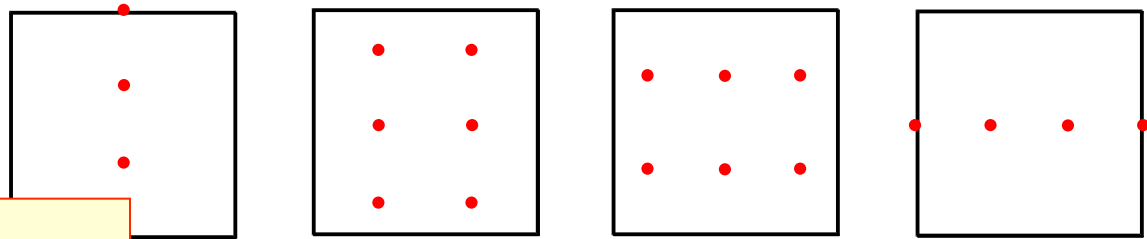
• In 2D (n=2) with  $L_{smol}=3$

$$|\ell| = \sum_{i=1}^2 \ell_i$$

$ \ell $	$\ell_1 \ell_2$	$n_{q_1} n_{q_2}$
2	0 2	1 3
	1 1	2 2
	2 0	3 1
3	0 3	1 4
	1 2	2 3
	2 1	3 2



$$Q_1^{q_1} \otimes Q_3^{q_2} + Q_2^{q_1} \otimes Q_2^{q_2} + Q_3^{q_1} \otimes Q_1^{q_2}$$



$$Q_4^{q_2} + Q_2^{q_1} \otimes Q_3^{q_2} + Q_3^{q_1} \otimes Q_2^{q_2} + Q_4^{q_1} \otimes Q_1^{q_2}$$



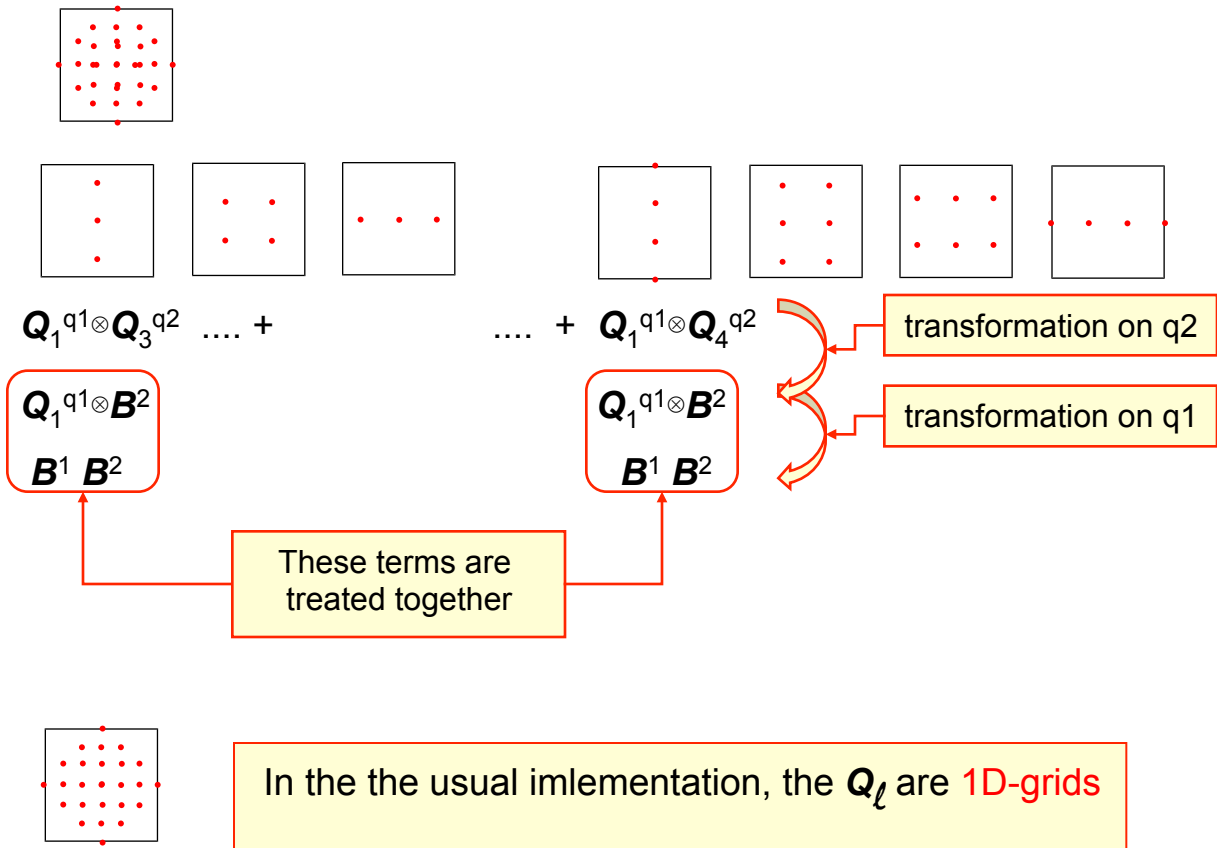
- Usual DP grid:  $NQ = 4^2 = 16$
- Smolyak grid:  $NQ = 30$



# Smolyak grid: implementation

$$Q_{L_{smol}}^{nD} = \sum_{L_{smol}-n+1 \leq |\ell| \leq L_{smol}} (-1)^{L_{smol}-|\ell|} C_{n-1}^{L_{smol}-|\ell|} \cdot Q_{\ell_1}^1 \otimes Q_{\ell_2}^2 \cdots \otimes Q_{\ell_n}^n$$

- As a full nD grid:  
Too many operations (NQ x NB)
- Each direct-product grid is treated separately with sequential transformations (one coordinate after the other)  
Some transformations are done several times
- Global sequential transformations  
Each equivalent partial transformations is done once
- Use of nested grids  
 $Q_{\ell_{i-1}} \subset Q_{\ell_i}$



In the the usual imlementation, the  $Q_{\ell}$  are 1D-grids  
We want to extend the use of Smolyak scheme with nD-grids (cubature....)

## Cubature rules

- Cubature rules are multidimensional extensions of the usual 1D-gaussian quadrature.

$$\int \dots \int F(\mathbf{q}) \rho(\mathbf{q}) d\mathbf{q} \approx \sum_K^{Nq} F(\mathbf{q}_K) w_K$$

- $\mathbf{q}_K$  are the multidimensional grid points and the  $w_K$  are the corresponding weights. They are associated with multivariate orthogonal polynomials (nD).
- A given cubature rule with  $Nq$  points, can integrate exactly all nD-polynomials with a degree  $\leq d=2L_B$
- No simple procedure to get the grid points and weights.
- Rules are tabulated: one rule for each  $n$  and  $L_B$ .

Not all rules are known!

For nD Hermite polynomials (HO)

$L_B$	$(d=2L_B)$	0	1	2	3	4	5	6
2D	DP	1	4	9	16	25	36	49
	cubature	1	3	8	/	/	/	/
3D	DP	1	8	27	64	125	216	343
	cubature	1	4	14	45	77	151	/

## Smolyak grid and cubature rules

$$Q_{L_{smol}}^{nD} = \sum_{L_{smol} - n + 1 \leq |\ell| \leq L_{smol}} (-1)^{L_{smol} - |\ell|} C_{n-1}^{L_{smol} - |\ell|} \cdot Q_{\ell_1}^1 \otimes Q_{\ell_2}^2 \cdots \otimes Q_{\ell_n}^n$$

The  $Q_\ell$  are **nD-grids**: Ideally, they are cubatures, but not all of them are available (tabulated)!!  
Therefore the  $Q_\ell$  are:

1. Cubature if available
2. Direct-product grids
3. Another Smolyak grids (with  $L=\ell$ )

### Example, in 11D

$L_{smol}$	NQ Smol. 11x1D	NQ Smol. (3D)3x2D	NQ PD
9 (nq=10)	20 160 075 167958 G	<b>6 067 148</b> 589 G	<b><math>10^{11}</math></b>

# Spectre de torsion du méthanol

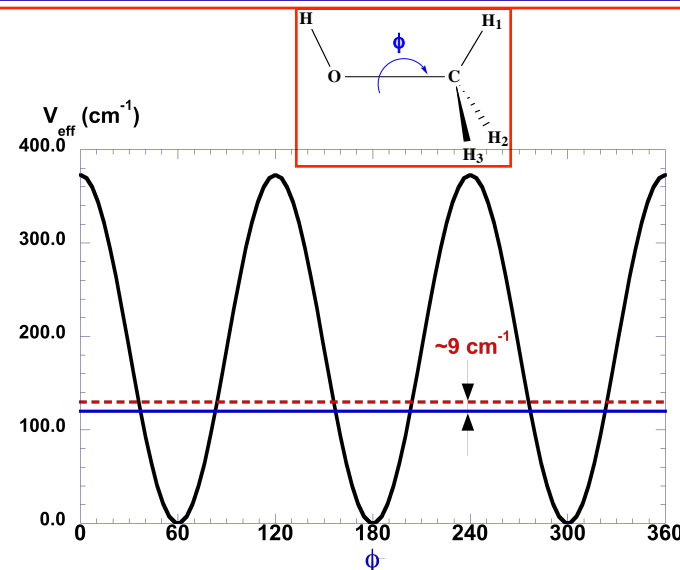
The 1D spectroscopic model works well:[<sup>1</sup>]

$$T(\phi) = B \partial^2 / \partial \phi^2$$

$$V(\phi) = \sum V_k \cos(k\phi) / 2$$

$$B \approx -27.6 \text{ cm}^{-1}$$

$$V_3 \approx 373\text{-}374 \text{ cm}^{-1} \text{ et } V_6 \approx -0.8 \text{ cm}^{-1}$$



[1] Lees... JCP 1968, 48, p5299 ; Wang... JCP 1998, 109 p 10795

[2] Stern ... JMS 67 (1977) 244

[3] Muñoz-Caro ... CPL 273 (1997) 135

[4] Fehrensén ... JCP 119 (2003) 5534

[5] Blasco ... CPL 373 (2003) 344

[6] Bowman ... J. Phys. Chem. A 2007, 111, 7317-7321

$\nu_{12}$	Sym. ( $\text{cm}^{-1}$ )	Exp. <sup>[2]</sup>	1D flexible <sup>[3]</sup>	1+11D RPH <sup>[4]</sup>	1+11D HADA <sup>[5]</sup>	RPH <sup>[6]</sup> Multimode
0	$a_1$	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
	$e$	<b>9.1</b>	<b>9.7</b>	<b>8.2</b>	<b>10.5</b>	<b>8.7</b>
1	$e$	<b>208.9</b>	199.2	<b>216.7</b>	<b>204.7</b>	<b>205.3</b>
	$a_2$	<b>294.7</b>	289.1	<b>297.8</b>	<b>298.6</b>	<b>267.0</b>
2	$a_1$	<b>353.0</b>	337.5	<b>363.5</b>	<b>350.9</b>	<b>388.2</b>
	$e$	<b>510.3</b>	498.3	<b>517.2</b>	<b>518.6</b>	<b>509.3</b>



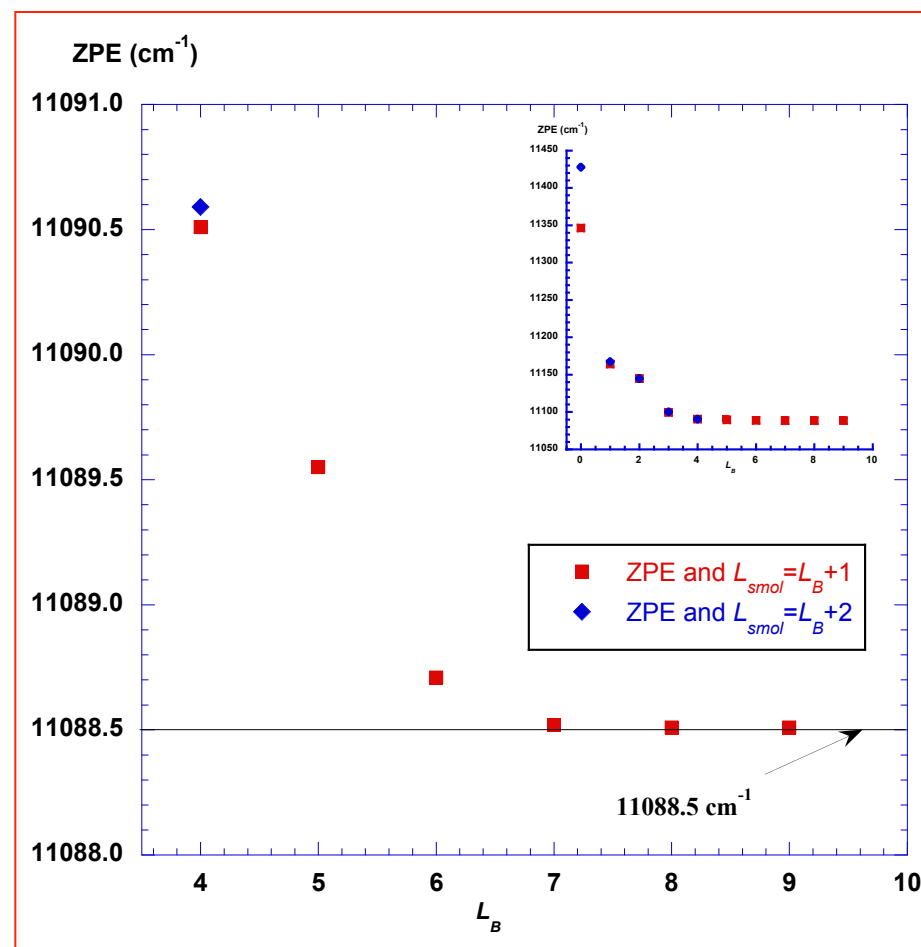
# Zero point energy

$L_B$	NB	$L_{smol}$	NQ
5	4 368	6	132 786
7	31 824	8	1 845 519
9	4 031 040	10	892 360 032



The Hamiltonian has **91** terms  
=> Huge memory **605 GB**

The calculations are long (**12 days**  
for  $L_B=9$ )!



# Torsion levels

$\nu_{12}$	sym	Exp.	12D-RPH MULTIMODE	12D ELVIBROT $L_B=5$ with $L_{smol}=7$	12D ELVIBROT $L_B=6$ with $L_{smol}=8$
0	a <sub>1</sub>	0.0	0.0	11089.55	11088.71
	e	9.1	8.7	9.15, 9.15	9.14, 9.15
1	e	208.9	205.3	205.34	205.34
	a <sub>2</sub>	294.7	267.0	290.70	290.70
2	a <sub>1</sub>	353.0	388.2	347.49	347.49
	e	510.3	509.3	503.56	503.54
3	e	751.0	762.3	741.83	741.80
	a <sub>2</sub>	1046.7	1017.8	1034.48	1034.47

# Conclusions et perspectives

✓ The current implementation (Smolyak + cubature) enables to perform 12D-calculations

✓ Larger calculations are doable:

15D,  $L_B = 7$ ,  $L_{smol} = 9$   $\Rightarrow$  NB=170 544 and NQ= $47 \cdot 10^6$   $\Rightarrow$  cpu(G $\leftrightarrow$ B)=8 min

18D,  $L_B = 7$ ,  $L_{smol} = 9$   $\Rightarrow$  NB=480 700 and NQ= $166 \cdot 10^6$   $\Rightarrow$  cpu(G $\leftrightarrow$ B)=? min

48D,  $L_B = 3$ ,  $L_{smol} = 4$   $\Rightarrow$  NB= 20 825 and NQ= 815 814

## Difficulties:

➤ Large grid and TNUM:

The number of terms of numerical KEO grows as  $n^2/2$

$\Rightarrow$  It requires a large amount of memory (disc)

$\Rightarrow$  Use of analytical expressions with TANA (less flexibility).

## Improvements:

➤ Obtain cubature rules more systematically (help!)

If possible nested ones

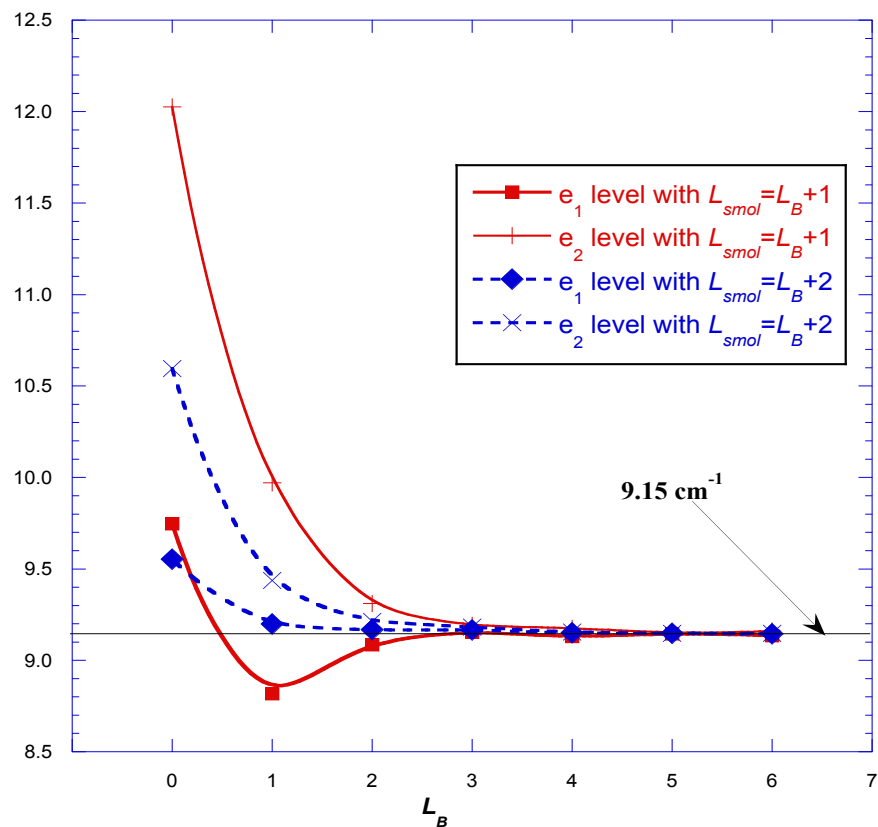
➤ Implementation of the globally sequential transformations

For a start, without a nested scheme

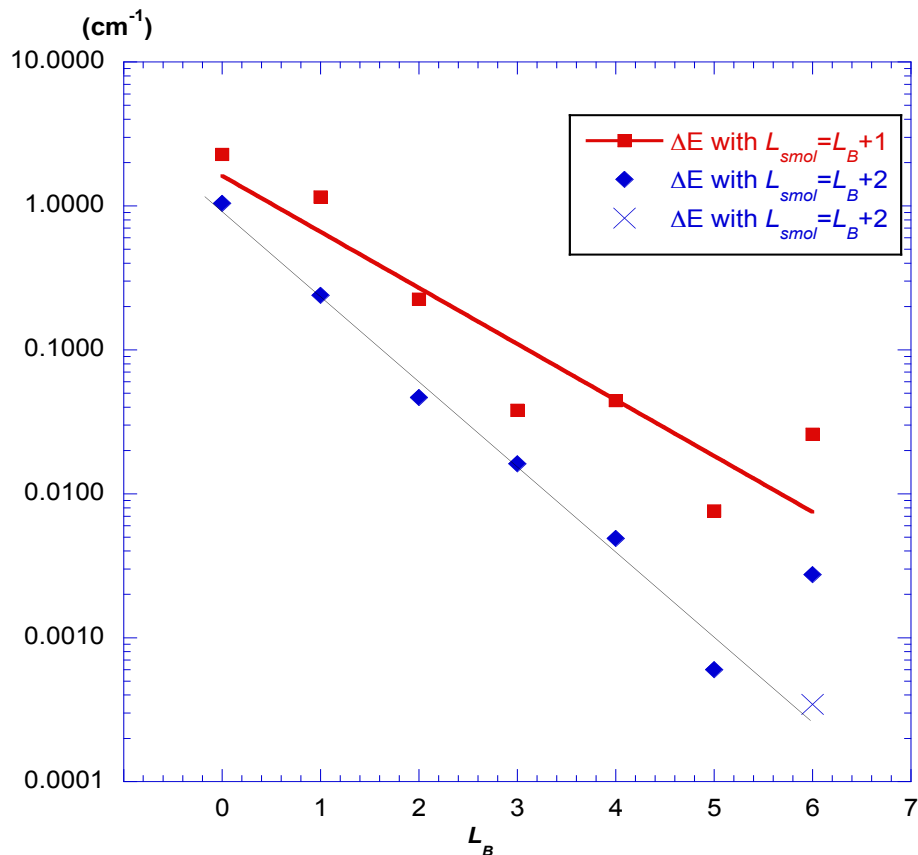


# Tunneling splitting

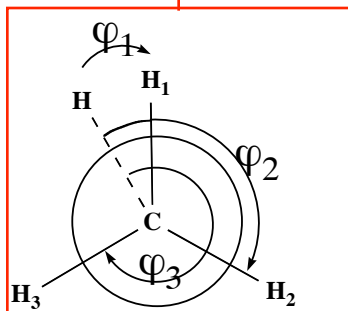
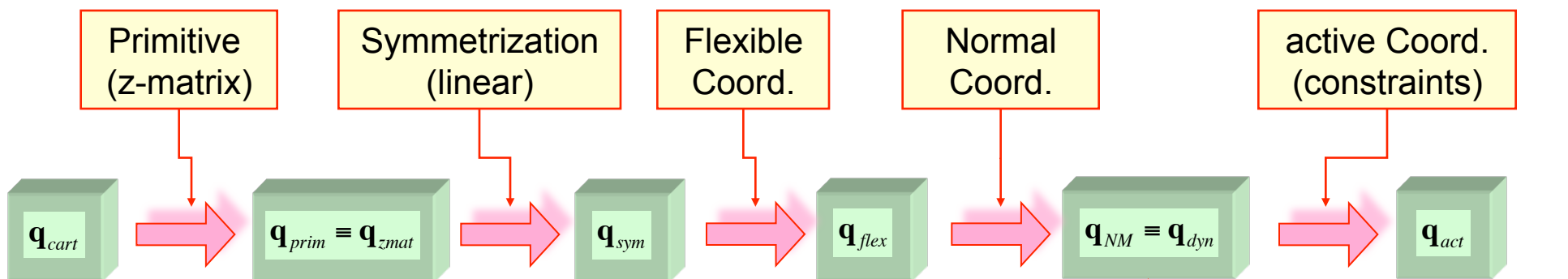
Splitting ( $\text{cm}^{-1}$ )



Splitting difference



# Coordinates: TNUM




$$\begin{cases} \phi_a = \frac{1}{3}(\varphi_1 + \varphi_2 + \varphi_3) \\ \phi_{e1} = \frac{1}{\sqrt{2}}(\varphi_2 - \varphi_3) \\ \phi_{e2} = \frac{1}{\sqrt{6}}(2\varphi_1 - \varphi_2 - \varphi_3) \end{cases}$$

$$Q_{flex}^i = Q^i - Q_{opt}^i(\phi_a)$$

Normal coordinates (11) with all coordinates except  $\phi_a$ .  
 Use of the average metric tensor,  $\mathbf{G}$ , and the hessian matrix, to preserve the symmetry.

Freq (cm<sup>-1</sup>) : 1061.2 1180.8 (x2) 1277.6  
 1484.0 1517.5 (x2) 3021.6 3093.0 (x2)  
 3845.7

**KEO with TNUM**  
**Number of terms : (n+1)(n+2)/2**

 **in 12D : 91 terms!**