

Asymptotic formation of self-organized states

Cucker-Smale and Kuramoto models

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Outline

Flocking and Synchronization

Kuramoto and Cucker-Smale models

Topics to discuss

The complete synchronization problem

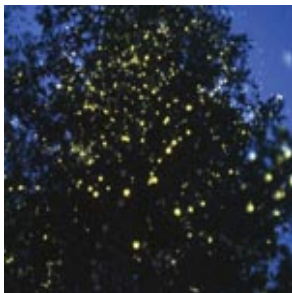
The flocking problem

Conclusion

Biological complex systems

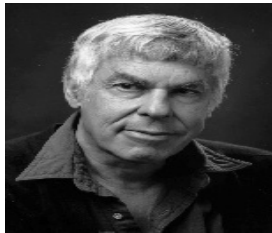


"<http://cognition.ups-tlse.fr/dynactom/images>, blogs.discovermagazine.com"



from "google-image"

The Cucker-Smale model



- **The Cucker-Smale model:** IEEE Trans. Automat. Control (2007):

$$\frac{dx_i}{dt} = v_i, \quad \frac{dv_i}{dt} = \frac{K}{N} \underbrace{\sum_{j=1}^N \psi(|x_j - x_i|)}_{\text{relaxation}} (v_j - v_i).$$

where ψ is a communication weight (modeling issue):

$$\text{C-S communication rate : } \psi(|x_i - x_j|) = \frac{1}{(1 + |x_i - x_j|^2)^\beta}, \quad \beta \geq 0.$$

$$\Gamma_0 := \frac{1}{2} \sum_{1 \leq i, j \leq N} |x_{i0} - x_{j0}|^2, \quad \Lambda_0 := \frac{1}{2} \sum_{1 \leq i, j \leq N} |v_{i0} - v_{j0}|^2,$$
$$\psi(r) = \frac{1}{(1+r^2)^\beta}, \quad \beta \geq 0.$$

- **Theorem:** (Cucker-Smale '07) Asymptotic flocking occurs if

(i) $\beta < \frac{1}{2}$: **No conditions** on Γ_0, Λ_0 ;

(ii) $\beta \geq \frac{1}{2}$: **Conditions** on Γ_0, Λ_0 :

Remark. *N-dependence and special ψ .*

- **References:** Cucker-Smale, Shen, Ha-Tadmor, Ha-Liu, Ha-Lee-Levy, Carrillo-D'Orsogna-Panferov, Degond-Motsch, Duan-Fornasier-Toscani, Carrillo-Fornasier-Rosado-Toscani, Ahn-Ha, Bolley-Canizo-Carrillo, Motsch-Tadmor, Agueh-Illner-Richardson, Ha-Jung-Slemrod, ...

Synchronization

"**Synchronization** (=syn (same, common) + chronous (time))" is **an adjustment of rhythms** of oscillating objects due to their weak interaction.

◇ Examples:

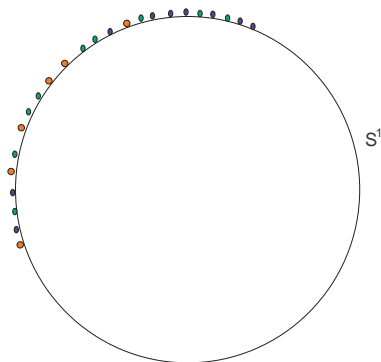
- Flashing of fireflies in South-East Asia
- Firing of coupled cardiac pacemaker cells (heart's contraction)
- Synchronous firing of many neurons (Parkinson's disease)
- Hands clapping in a concert

The Kuramoto model



The Kuramoto model

Consider a **weakly coupled limit-cycle oscillators** $\{x_i = e^{\sqrt{-1}\theta_i}\}$ rotating along S^1 with **natural frequency** Ω_i which is randomly drawn from some **probability distribution with a density** $g(\Omega)$.



- Dynamics on S^1 : (Phase dynamics)

$$x_k \in S^1 : \text{position of } k\text{-th oscillator} = e^{i\theta_k}.$$

State of system is determined by the dynamics of θ_k .

When there is no coupling(interaction) $K = 0$,

$$\dot{\theta}_k = \Omega_k(\text{natural frequency}), \quad \text{i.e.} \quad \theta_k(t) = \theta_{k0} + \Omega_k t.$$

- The Kuramoto model ('75).

$$\frac{d\theta_i}{dt} = \Omega_i + \frac{K}{N} \sum_{j=1}^N \sin(\theta_j - \theta_i), \quad t > 0, \quad i = 1, \dots, N.$$

If there is no coupling $K = 0$,

$$\theta_i(t) = \theta_i(0) + \Omega_i t, \quad \text{no synchronization.}$$

Similarity between the C-S and Kuramoto models

- The C-S model

$$\dot{x}_i = v_i, \quad \dot{v}_i = \frac{K}{N} \sum_{j=1}^N \psi(|x_j - x_i|)(v_j - v_i).$$

- The Kuramoto model

$$\dot{\theta}_i = \omega_i, \quad \dot{\omega}_i = \frac{K}{N} \sum_{j=1}^N \cos(\theta_j - \theta_i)(\omega_j - \omega_i).$$

- C-S v.s. Kuramoto

$$(x_i, v_i) \iff (\theta_i, \omega_i).$$

Topics to address today

- From a mechanical model to C-S model
- The complete synchronization problem
- The local flocking problem

From a mechanical model to the C-S model

C-S model is a phenomenological model, but it can be derived from some mechanical model via singular perturbation.

- A mechanical model of particles on a line with a constant friction coefficient.

$$\frac{dx_i}{dt} = v_i, \quad \varepsilon \frac{dv_i}{dt} = \frac{K}{N} \sum_{j=1}^N \varphi'(x_j - x_i) - v_i, \quad \varphi: \text{convex}.$$

Taking the time-derivative of the second equation

$$\varepsilon \frac{d^2 v_i}{dt^2} = \frac{K}{N} \sum_{j=1}^N \varphi''(x_j - x_i)(v_j - v_i) - \frac{dv_i}{dt}.$$

In the formal zero mass limit $\varepsilon \rightarrow 0$, we recover the C-S model:

$$\frac{dx_i}{dt} = v_i, \quad \frac{dv_i}{dt} = \frac{K}{N} \sum_{j=1}^N \psi(|x_j - x_i|)(v_j - v_i),$$
$$\psi(x_j - x_i) := \varphi''(x_j - x_i).$$

cf. Ha-Slemrod: J. Dynam. Differential Equations '10 via the Tikhonov theory

- **Extension to the multi-D setting:** (Ha-Lattanzio-Rubino-Slemrod '10)

$$\frac{dx_i}{dt} = v_i, \quad \varepsilon \frac{dv_i}{dt} = \frac{K}{N} \sum_{j=1}^N \nabla_x \varphi(x_j - x_i) - v_i, \quad \varphi(x) = \tilde{\varphi}(|x|).$$

The same formal procedure yields

$$\begin{aligned} \frac{dx_i}{dt} &= v_i, \\ \frac{dv_i}{dt} &= \frac{K}{N} \sum_{j=1}^N \left[\frac{\tilde{\varphi}'(r_{ji})}{r_{ji}} (v_j - v_i) + \frac{1}{r_{ji}} \left(\frac{\tilde{\varphi}'(r_{ji})}{r_{ji}} \right)' ((x_j - x_i) \cdot (v_j - v_i))(x_j - x_i) \right] \\ &= \frac{K}{N} \sum_{j=1}^N \left[\tilde{\psi}(r_{ij})(v_j - v_i) + \frac{\tilde{\psi}'(r_{ij})}{r_{ij}} ((x_j - x_i) \cdot (v_j - v_i))(x_j - x_i) \right]. \end{aligned}$$

For a harmonic potential $\tilde{\varphi}(|x|) = \frac{|x|^2}{2}$, we have

$$\tilde{\psi}(|x|) = 1 : \text{C-S model.}$$

Note that

$$\tilde{\varphi}(r) = \int_0^r s \tilde{\psi}(s) ds.$$

We impose conditions for $\tilde{\psi}$:

$$\tilde{\psi} > 0, \quad \tilde{\psi}' > 0, \quad \lim_{r \rightarrow 0^+} \tilde{\psi}(r) = R_1 > 0.$$

Examples.

$$\tilde{\psi}(r) = e^{-\frac{1}{1+r}}, \quad \tilde{\varphi}(r) = r^2 + r^4.$$

The complete synchronization problem

Consider the Kuramoto model:

$$\frac{d\theta_i}{dt} = \Omega_i + \frac{K}{N} \sum_{j=1}^N \sin(\theta_j - \theta_i), \quad i = 1, \dots, N,$$

subject to initial data

$$\theta_i(0) = \theta_{i0}.$$

where $\Omega_i, K > 0, N$ are given constants satisfying

$$\sum_{i=1}^N \Omega_i = 0.$$

- **Static questions:**

$$\Omega_i + \frac{K}{N} \sum_{j=1}^N \sin(\theta_j - \theta_i) = 0, \quad \sum_{i=1}^N \Omega_i = 0, \quad i = 1, \dots, N.$$

1. Are there solutions for the above system ? (**Existence**)
2. If yes, how do they look like ? (**Structure**)

- **Dynamic questions:**

1. Are phase-locked states stable ? (**Stability**)
2. If phase-locked states can emerge from initial configurations, how does the relaxation process look like ? (**Relaxation**)

cf. Mirollo-Strogatz('05, '07), Aeyels-Rogge '04, De Smet-Aeyels '07, ...

- **Simple observation:**

1. If $|\Omega_i| > K$, then there is no solution at all.
2. One solution generates a one-parameter family of solutions:

$$\begin{aligned} \theta = (\theta_1, \dots, \theta_N) : \text{solution} &\implies \\ \theta + c\mathbf{1} = (\theta_1 + c, \dots, \theta_N + c) : \text{solution.} \end{aligned}$$

Definition: Let $\mathcal{P} = \{(\theta_i, \omega_i := \dot{\theta}_i)\}_{i=1}^N$ be the system of Kuramoto oscillators.

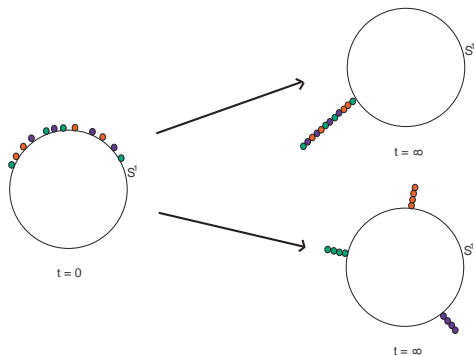
1. The system \mathcal{P} has asymptotic **complete phase synchronization** if and only if the following condition holds.

$$\lim_{t \rightarrow \infty} |\theta_i(t) - \theta_j(t)| = 0, \quad \forall i \neq j.$$

2. The augmented Kuramoto system \mathcal{P} has asymptotic **complete frequency synchronization** if and only if the following condition holds.

$$\lim_{t \rightarrow \infty} |\omega_i(t) - \omega_j(t)| = 0, \quad \forall i \neq j.$$

- Formation of asymptotic synchronization



Problem and Strategy

- **Problem:**

Find conditions for initial configurations and parameters leading to complete synchronization.

- **Strategy:**

1. Consider the Lyapunov functionals: **diameters of the phase and frequency configurations:**

$$D(\theta(t)) := \max_{1 \leq i, j \leq N} |\theta_i(t) - \theta_j(t)|, \quad \text{if } D(\theta(t)) \leq \pi,$$

$$D(\omega(t)) := \max_{1 \leq i, j \leq N} |\omega_i(t) - \omega_j(t)|.$$

2. Derive Gronwall's inequalities for $D(\theta)$ and $D(\omega)$.

3. Finally we show

$$\lim_{t \rightarrow \infty} D(\theta(t)) = 0, \quad \lim_{t \rightarrow \infty} D(\omega(t)) = 0.$$

A framework for exponential complete synchronization

- (H1) The oscillators are non-identical and the coupling strength is sufficiently large such that

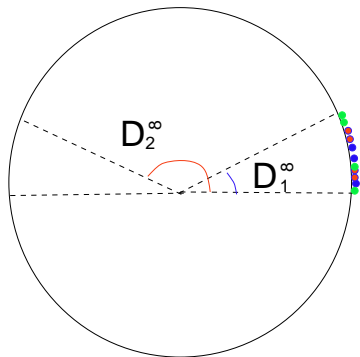
$$D(\Omega) > 0, \quad K > D(\Omega).$$

- (H2) Initial phase fluctuation is sufficiently small so that

$$D_0 < D_1^\infty,$$

where $D_i^\infty \in (0, \frac{\pi}{2})$, $i = 1, 2$ are the roots of the following trigonometric equation:

$$\frac{D(\Omega)}{K} = \sin x, \quad x \in (0, \pi).$$



- **Theorem:** H-Ha-Kim '10

Suppose the main assumptions (H1) -(H2) hold, and let (θ_i) be the smooth solutions to the Kuramoto system with initial phase configurations (θ_{i0}) . Then we have a complete frequency synchronization:

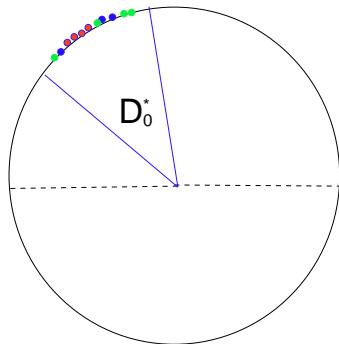
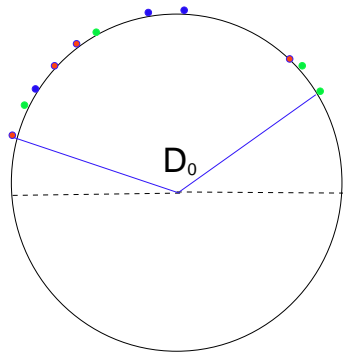
$$D(\omega(t)) \leq D(\omega(0)) \exp\left(-K(\cos D_1^\infty)t\right), \quad t \geq 0.$$

Proof. Derive the Gronwall's inequality

$$\frac{d}{dt}D(\omega) \leq -K(\cos D_1^\infty)D(\omega).$$

- cf. 1. Preliminary result: H-Lattanzio-Rubino-Slemrod '10: N -dependence
 2. Chopra-Spong '09, Choi-Ha-Yun '10, Dorfler-Bullo '11

Numerical simulation shows that for sufficiently large K ,



Derivation of the Adler inequality

- **Lemma.** Suppose initial data, natural frequencies and coupling strength satisfy

$$0 < D_0 < \pi, \quad D(\Omega) > 0, \quad K > K_e := \frac{D(\Omega)}{\sin D_0}.$$

Then $D(\theta)$ satisfies

- (i) $\frac{d}{dt} D(\theta) \leq D(\Omega) - K \sin D(\theta).$
- (ii) $D(\theta(t)) \leq D_0^*, \quad t \geq t_0.$

- **Theorem** (Formation of phase-locked states): Choi-H-Jung-Kim '12

Suppose initial data, natural frequencies and coupling strength satisfy

$$0 < D_0 < \pi, \quad D(\Omega) > 0, \quad K > K_e := \frac{D(\Omega)}{\sin D_0},$$

and let θ be the solution to the Kuramoto model with initial data θ_0 . Then there exists t_0 such that

$$D(\omega(t_0))e^{-K(t-t_0)} \leq D(\omega(t)) \leq D(\omega(t_0))e^{-K(\cos D_0^*)(t-t_0)}, \quad t \geq t_0.$$

The flocking problem

"Finding sufficient conditions on parameters and initial data" leading to flocking behaviors)".

$$\frac{dx_i}{dt} = v_i, \quad \frac{dv_i}{dt} = \frac{K}{N} \sum_{j=1}^N \psi(|x_j - x_i|)(v_j - v_i).$$

where

$$\psi(\cdot) \geq 0 : \text{Lipschitz continuous, non-increasing,}$$
$$\sum_{i=1}^N x_i = 0, \quad \sum_{i=1}^N v_i = 0.$$

• Definition

An interacting N -particle system $\{(x_i(t), v_i(t))\}_{i=1}^N$ exhibits (velocity) **asymptotic flocking** \iff

1. Formation of a group.

$$\sup_{0 \leq t < \infty} |x_i(t) - x_j(t)| < \infty, \quad \forall i \neq j.$$

2. Velocity alignment.

$$\lim_{t \rightarrow \infty} |v_i(t) - v_j(t)| = 0, \quad \forall i \neq j.$$

Lyapunov functional approach

We set

$$\|x\| := \left(\sum_{i=1}^N \|x_i\|^2 \right)^{\frac{1}{2}}, \quad \|v\| := \left(\sum_{i=1}^N \|v_i\|^2 \right)^{\frac{1}{2}}.$$

Then $\|x\|$ and $\|v\|$ satisfy

$$\left| \frac{d\|x\|}{dt} \right| \leq \|v\|, \quad \frac{d\|v\|}{dt} \leq -K\psi(2\|x\|)\|v\|.$$

We now introduce Lyapunov type functionals:

$$\mathcal{E}(t) := \|v(t)\|_{\infty} \pm K \int_0^{\|x(t)\|} \psi(2s) ds.$$

- **Theorem:** Ha-Liu '10: If (x_0, v_0) satisfies

$$\|v_0\| < K \int_{\|x_0\|_\infty}^{\infty} \psi(2s) ds,$$

then for any global smooth solutions (x, v) , there exists r_M such that

$$\sup_{t \geq 0} \|x(t)\| \leq r_M, \quad \|v(t)\| \leq \|v_0\| e^{-K\psi(2r_M)t}.$$

- **Question:** If initial configuration satisfies

$$\|v_0\| \geq K \int_{\|x_0\|_\infty}^{\infty} \psi(2s) ds.$$

what will happen ? **Numerical simulations suggest the formation of local flockings, but how to prove it rigorously ?**

Existence of local flocking

Consider the short range communication weight

$$\int_0^{\infty} \psi(s) ds < \infty, \quad \text{e.g. } \psi(s) = \frac{1}{(1+s^2)^{\frac{\beta}{2}}}, \quad \beta > 1, \quad e^{-s^2}.$$

- **Simple observation:** Bi-flocking configurations for C-S model can not achieved in finite time.

$$0 = \dot{v}_i = \frac{K}{N} \sum_{j=1}^N \psi(|x_j - x_i|) (v_j - v_i) \neq 0.$$

- A two-particle system on a line:

$$\begin{aligned}\dot{x}_1 &= v_1, & \dot{x}_2 &= v_2, & t > 0, \\ \dot{v}_1 &= \frac{K}{2}(|x_2 - x_1|)(v_2 - v_1), & \dot{v}_2 &= \frac{K}{2}(|x_2 - x_1|)(v_1 - v_2).\end{aligned}$$

Then the differences $x := x_1 - x_2$, $v := v_1 - v_2$ satisfy

$$\dot{x} = v, \quad \dot{v} = -K\psi(|x|)v,$$

or equivalently

$$v(t) = v_0 - K \int_{x_0}^{x(t)} \psi(|y|) dy.$$

- **Easy theorem:** If (x_0, v_0) satisfies

$$v_0 \geq K \int_{x_0}^{\infty} \psi(|s|) ds,$$

then there is no global flocking (formation of bi-flocking configuration).

Proof. Suppose that

$$v_0 \geq K \int_{x_0}^{\infty} \psi(|s|) ds.$$

Then we have

$$v(t) = v_0 - K \int_{x_0}^{x(t)} \psi(|y|) dy \geq K \int_{x(t)}^{\infty} \psi(|y|) dy.$$

This implies the incompatibility of

$$\sup_{t \geq 0} x(t) < \infty, \quad \lim_{t \rightarrow \infty} v(t) = 0.$$

A formulation for bi-flocking groups

$\mathcal{G}_1 = \{1, \dots, N_1\}$, $\mathcal{G}_2 = \{1, \dots, N_2\}$, $N_1 + N_2 = N$,
 $(x_{\alpha i}, v_{\alpha i})$: the state of i -th member in \mathcal{G}_α .

• The C-S model:

$$\begin{aligned} \dot{x}_{1i} &= v_{1i}, & \dot{x}_{2j} &= v_{2j}, & i &= 1, \dots, N_1, & j &= 1, \dots, N_2, \\ \dot{v}_{1i} &= \frac{K}{N} \sum_{k \in \mathcal{G}_1} \psi(\|x_{1k} - x_{1i}\|)(v_{1k} - v_{1i}) + \frac{K}{N} \sum_{k \in \mathcal{G}_2} \psi(\|x_{2k} - x_{1i}\|)(v_{2k} - v_{1i}), \\ \dot{v}_{2j} &= \frac{K}{N} \sum_{k \in \mathcal{G}_2} \psi(\|x_{2k} - x_{2j}\|)(v_{2k} - v_{2j}) + \frac{K}{N} \sum_{k \in \mathcal{G}_1} \psi(\|x_{1k} - x_{2j}\|)(v_{1k} - v_{2j}). \end{aligned}$$

We introduce local averages and local fluctuations

$$\begin{aligned} x_{1c} &:= \frac{1}{|\mathcal{G}_1|} \sum_{i \in \mathcal{G}_1} x_{1i}, & x_{2c} &= \frac{1}{|\mathcal{G}_2|} \sum_{j \in \mathcal{G}_2} x_{2j}, \\ v_{1c} &:= \frac{1}{|\mathcal{G}_1|} \sum_{i \in \mathcal{G}_1} v_{1i}, & v_{2c} &= \frac{1}{|\mathcal{G}_2|} \sum_{j \in \mathcal{G}_2} v_{2j}, \\ \hat{x}_{\alpha i} &:= x_{\alpha i} - x_{\alpha c}, & \hat{v}_{\alpha i} &:= v_{\alpha i} - v_{\alpha c}, & \alpha &= 1, 2. \end{aligned}$$

- Macro-micro decomposition:

$$\begin{aligned}\dot{x}_{1c} &= v_{1c}, & \dot{x}_{2c} &= v_{2c}, \\ |\mathcal{G}_1| \dot{v}_{1c} &= -\frac{K}{N} \Psi(t)(v_{1c} - v_{2c}) - \frac{K}{N} \sum_{i \in \mathcal{G}_1} \sum_{j \in \mathcal{G}_2} \psi(\|x_{2j} - x_{1i}\|)(\hat{v}_{1i} - \hat{v}_{2j}), \\ |\mathcal{G}_2| \dot{v}_{2c} &= -\frac{K}{N} \Psi(t)(v_{2c} - v_{1c}) - \frac{K}{N} \sum_{i \in \mathcal{G}_1} \sum_{j \in \mathcal{G}_2} \psi(\|x_{2j} - x_{1i}\|)(\hat{v}_{2j} - \hat{v}_{1i}),\end{aligned}$$

where $\Psi(t) := \sum_{i \in \mathcal{G}_1} \sum_{j \in \mathcal{G}_2} \psi(\|x_{2j} - x_{1i}\|)$ and

$$\begin{aligned}\dot{x}_{1i} &= \hat{v}_{1i}, & \dot{x}_{2j} &= \hat{v}_{2j}, & i &= 1, \dots, N_1, & j &= 1, \dots, N_2, \\ \dot{\hat{v}}_{1i} &= -\hat{v}_{1c} + \frac{K}{N} \sum_{k \in \mathcal{G}_1} \psi(\|x_{1k} - x_{1i}\|)(\hat{v}_{1k} - \hat{v}_{1i}) \\ &+ \frac{K}{N} \sum_{k \in \mathcal{G}_2} \psi(\|x_{2k} - x_{1i}\|)(\hat{v}_{2k} - \hat{v}_{1i}) + \frac{K}{N} \sum_{k \in \mathcal{G}_2} \psi(\|x_{2k} - x_{1i}\|)(v_{2c} - v_{1c}), \\ \dot{\hat{v}}_{2j} &= -\hat{v}_{2c} + \frac{K}{N} \sum_{k \in \mathcal{G}_2} \psi(\|x_{2k} - x_{2j}\|)(\hat{v}_{2k} - \hat{v}_{2j}) \\ &+ \frac{K}{N} \sum_{k \in \mathcal{G}_1} \psi(\|x_{1k} - x_{2j}\|)(\hat{v}_{1k} - \hat{v}_{2j}) + \frac{K}{N} \sum_{k \in \mathcal{G}_2} \psi(\|x_{1k} - x_{2j}\|)(v_{1c} - v_{2c}).\end{aligned}$$

- Derivation of SDDI:

$$\begin{aligned}\mathcal{X} &:= \|\hat{\mathbf{x}}_1\| + \|\hat{\mathbf{x}}_2\|, & \mathcal{V} &:= \|\hat{\mathbf{v}}_1\| + \|\hat{\mathbf{v}}_2\|, \\ \Delta_x &:= \|\mathbf{x}_{1c} - \mathbf{x}_{2c}\|, & \Delta_v &:= \|\mathbf{v}_{1c} - \mathbf{v}_{2c}\|,\end{aligned}$$

where

$$\|\hat{\mathbf{x}}_\alpha\| := \left(\sum_{i \in \mathcal{G}_\alpha} \|\hat{\mathbf{x}}_{\alpha i}\|^2 \right)^{\frac{1}{2}}, \quad \|\hat{\mathbf{v}}_\alpha\| := \left(\sum_{i \in \mathcal{G}_\alpha} \|\hat{\mathbf{v}}_{\alpha i}\|^2 \right)^{\frac{1}{2}}.$$

$$\begin{aligned}|\dot{\mathcal{X}}| &\leq \mathcal{V}, & |\dot{\Delta}_x| &\leq \Delta_v, \\ \dot{\mathcal{V}} &\leq -\frac{2KC_*(N)}{N} \psi(\sqrt{2}\mathcal{X})\mathcal{V} + \frac{2K\Psi}{N}(\mathcal{V} + \Delta_v), \\ \dot{\Delta}_v &\leq -\frac{K}{|\mathcal{G}_1|\mathcal{G}_2} \Psi \Delta_v + \frac{K\psi_M}{\sqrt{C_*(N)}} \mathcal{V}, \\ \dot{\Delta}_v &\geq -\frac{K}{|\mathcal{G}_1|\mathcal{G}_2} \Psi \Delta_v - \frac{K\psi_M}{\sqrt{C_*(N)}} \mathcal{V},\end{aligned}$$

- : **Admissible class of initial configuration**

$$\mathcal{S} := \{(x_0, v_0) \in \mathbb{R}^{2dN} : (\mathcal{H}1), (\mathcal{H}2) \text{ and } (\mathcal{H}3) \text{ hold}\}.$$

- ($\mathcal{H}1$): Initially two approximate flocking groups are well-separated to satisfy

$$\|v_0\| \geq K \int_{\|x_0\|}^{\infty} \psi(\sqrt{2}r) dr.$$

- ($\mathcal{H}2$): Initial fluctuation of phase is small to satisfy

$$\begin{aligned} & \left(\frac{2K|\mathcal{G}_1||\mathcal{G}_2|}{N} \right) \left(2\sqrt{M_2(0)} + \Delta_v(0) + \frac{2K\sqrt{M_2(0)}}{\sqrt{C_*(N)}} \|\psi\|_{L^1} \right) + \nu(0) \\ & \leq \frac{2KC_*(N)}{N} \int_{x_0}^{\infty} \psi(\sqrt{2}s) ds. \end{aligned}$$

- ($\mathcal{H}3$): There exists an index $k \in \{1, \dots, d\}$ such that

$$\begin{aligned} (i) \quad & v_{1c}^k - v_{2c}^k \geq \left(1 + \frac{K\sqrt{M_2(0)}}{\sqrt{C_*(N)}} \|\psi\|_{L^1} \right) e^{K\|\psi\|_{L^1}}, \\ (ii) \quad & x_{1c}^k - x_{2c}^k > \tilde{\mathcal{X}}_M, \end{aligned}$$

- **Theorem:** Ha-Jung '12:

Let $(x_{\alpha i}, v_{\alpha i})$ be the solution to the macro-micro system with initial data in \mathcal{S} . Then we have

$$\sup_{t \geq 0} \mathcal{X}(t) \leq \mathcal{X}_M, \quad \mathcal{V}(t) \leq \mathcal{O}(1) \max \left\{ e^{-\frac{\psi(\mathcal{X}_M)t}{2}}, \max_{[t/2, t]} \Psi(s) \right\},$$

$$\Delta_v(t) \geq \Delta_v(0) \exp \left(-K \|\psi\|_{L^1} \right) - \frac{2K \sqrt{M_2(0)}}{\sqrt{C_*(N)}} \|\psi\|_{L^1},$$

$$\Delta_v(t) \leq \Delta_v(0) + \frac{2K \sqrt{M_2(0)}}{\sqrt{C_*(N)}} \|\psi\|_{L^1},$$

$$\Delta_x(t) \geq \mathcal{O}(1)(1+t).$$

cf. For C-S communication weight, $\mathcal{V}(t) \leq C(1+t)^{-\beta}$ (slow relaxation)

Conclusion

The Cucker-Smale flocking model and The Kuramoto model have lots of common structures, and we provided a one unified [Lyapunov functional approach](#) for flocking and synchronization problems.

Thank you for your attention