

# Ordering Knot Groups

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# Ordered groups

A group is **left-ordered** if there is a strict total ordering  $<$  of its elements such that

$$g < h \text{ implies } fg < fh.$$

If  $P$  denotes the set of elements greater than the identity, then

- 1  $P \cdot P \subset P$ , and
- 2  $P \sqcup P^{-1} \sqcup \{1\} = G$ .

Conversely, if a group has a subset  $P$  satisfying the above, then it is left-ordered by the formula

$$f < g \iff f^{-1}g \in P$$

A group is left-orderable if and only if it is right-orderable.

# Ordered groups

If a group has a strict total ordering  $<$  which is both right- and left-invariant, we call it **bi-orderable**. Equivalently, the positive cone  $P$  is invariant under conjugation.

A group is **indicable** if it has the integers as a quotient, and **locally indicable** if every nontrivial f. g. subgroup is indicable.

## Theorem

*Bi-orderable*  $\implies$  *Locally indicable*  $\implies$  *Left-orderable*

Neither of these implications is reversible.

# Examples

- Abelian groups are bi-orderable iff torsion-free.
- Free groups are bi-orderable.
- Braid groups are left-orderable (Dehornoy) but not bi-orderable
- Pure braid groups are bi-orderable.
- Surface groups are bi-orderable, except the Klein bottle group

$$\langle a, b \mid a^2 = b^2 \rangle$$

which is only left-orderable, and the group of the projective plane.

# Properties of orderable groups

- Left-ordered groups  $G$  are torsion-free and satisfy the zero-divisor conjecture, that is,  $\mathbb{Z}G$  has no zero divisors.
- (LaGrange, Rhemtulla) If  $G$  is left-orderable and  $H$  is any group, then  $\mathbb{Z}G \cong \mathbb{Z}H \implies G \cong H$ .
- Bi-ordered groups have unique roots:  $g^n = h^n, n > 0 \implies g = h$
- In a bi-ordered group, if  $g$  commutes with  $h^n, n \neq 0$ , then  $g$  commutes with  $h$ .
- If  $G$  is bi-ordered, then  $\mathbb{Z}G$  embeds in a division ring.

# Knot groups

If  $K$  is a knot in  $\mathbb{S}^3$ , its **knot group** is  $\pi_1(\mathbb{S}^3 \setminus K)$ . Another classical knot invariant is the **Alexander polynomial**  $\Delta_K(t)$ . A knot is said to be **fibred** if there is a fibre bundle map  $\mathbb{S}^3 \setminus K \rightarrow \mathbb{S}^1$  with fibres being open surfaces which have  $K$  as boundary in  $\mathbb{S}^3$ .

## Theorem

- ① (Howie-Short) *All knot groups are locally indicable, hence left-orderable.*
- ② (Perron - R.) *If  $K$  is fibred and  $\Delta_K(t)$  has **all** roots real and positive, then its group is bi-orderable.*
- ③ (Clay-R.) *If  $K$  is fibred and its group is bi-orderable, then  $\Delta_K(t)$  has **some** real positive roots.*

## Examples

Torus knots: curves which can be inscribed on the surface of an unknotted torus in  $\mathbb{S}^3$ . For relatively prime integers  $p, q$  the torus knot  $T_{p,q}$  has group

$$\langle a, b \mid a^p = b^q \rangle.$$

Note that  $a$  commutes with  $b^q$  but not with  $b$  (unless the group is abelian, and the knot unknotted). Therefore:

### Proposition

*The group of a torus knot is not bi-orderable.*

## Examples



The figure-eight knot  $4_1$  is fibred and has Alexander polynomial  $\Delta_{4_1} = t^2 - 3t + 1$  with roots  $\frac{3 \pm \sqrt{5}}{2}$ , both real and positive. From Theorem 2 we conclude

### Proposition

*The group of the knot  $4_1$  is bi-orderable.*



## More bi-orderable knot groups



$$8_{12} \quad 1 - 7t + 13t^2 - 7t^3 + t^4$$



$$10_{137} \quad 1 - 6t + 11t^2 - 6t^3 + t^4$$



$$11a_5 \quad 1 - 9t + 30t^2 - 45t^3 + 30t^4 - 9t^5 + t^6$$



$$11n_{142} \quad 1 - 8t + 15t^2 - 8t^3 + t^4$$

## More bi-orderable knot groups



$$12a_{0125} \quad 1 - 12t + 44t^2 - 67t^3 + 44t^4 - 12t^5 + t^6$$



$$12a_{0181} \quad 1 - 11t + 40t^2 - 61t^3 + 40t^4 - 11t^5 + t^6$$



$$12a_{1124} \quad 1 - 13t + 50t^2 - 77t^3 + 50t^4 - 13t^5 + t^6$$



$$12n_{0013} \quad 1 - 7t + 13t^2 - 7t^3 + t^4$$

## More bi-orderable knot groups



$$12n_{0145} \quad 1 - 6t + 11t^2 - 6t^3 + t^4$$



$$12n_{0462} \quad 1 - 6t + 11t^2 - 6t^3 + t^4$$



$$12n_{0838} \quad 1 - 6t + 11t^2 - 6t^3 + t^4$$

## More **non** bi-orderable knot groups

Recall Theorem 3: **fibred and bi-orderable**  $\implies \Delta$  has positive roots.

This can be used for an alternative proof that torus knots  $T_{p,q}$ , which are fibred, have non-bi-orderable group, because

$$\Delta_{T(p,q)} = \frac{(t^{pq} - 1)(t - 1)}{(t^p - 1)(t^q - 1)}$$

whose roots are on the unit circle and not real.

There are many other fibred knots which have non-bi-orderable group for similar reasons ....

## More **non** bi-orderable knot groups

The prime knots with 12 or fewer crossings which are known to have **non**-bi-orderable group, because they are fibred and have Alexander polynomials without positive real roots, are as follows:

$3_1, 5_1, 6_3, 7_1, 7_7, 8_7, 8_{10}, 8_{16}, 8_{19}, 8_{20}, 9_1, 9_{17}, 9_{22}, 9_{26}, 9_{28}, 9_{29}, 9_{31},$   
 $9_{32}, 9_{44}, 9_{47}, 10_5, 10_{17}, 10_{44}, 10_{47}, 10_{48}, 10_{62}, 10_{69}, 10_{73}, 10_{79}, 10_{85},$   
 $10_{89}, 10_{91}, 10_{99}, 10_{100}, 10_{104}, 10_{109}, 10_{118}, 10_{124}, 10_{125}, 10_{126}, 10_{132},$   
 $10_{139}, 10_{140}, 10_{143}, 10_{145}, 10_{148}, 10_{151}, 10_{152}, 10_{153}, 10_{154}, 10_{156}, 10_{159},$   
 $10_{161}, 10_{163}, 11a_9, 11a_{14}, 11a_{22}, 11a_{24}, 11a_{26}, 11a_{35}, 11a_{40}, 11a_{44}, 11a_{47},$   
 $11a_{53}, 11a_{72}, 11a_{73}, 11a_{74}, 11a_{76}, 11a_{80}, 11a_{83}, 11a_{88}, 11a_{106}, 11a_{109},$   
 $11a_{113}, 11a_{121}, 11a_{126}, 11a_{127}, 11a_{129}, 11a_{160}, 11a_{170}, 11a_{175}, 11a_{177},$   
 $11a_{179}, 11a_{180}, 11a_{182}, 11a_{189}, 11a_{194}, 11a_{215}, 11a_{233}, 11a_{250}, 11a_{251},$   
 $11a_{253}, 11a_{257}, 11a_{261}, 11a_{266}, 11a_{274}, 11a_{287}, 11a_{288}, 11a_{289}, 11a_{293},$   
 $11a_{300}, 11a_{302}, 11a_{306}, 11a_{315}, 11a_{316},$

## More **non** bi-orderable knot groups

$11a_{326}, 11a_{330}, 11a_{332}, 11a_{346}, 11a_{367}, 11n_7, 11n_{11}, 11n_{12}, 11n_{15}, 11n_{22},$   
 $11n_{23}, 11n_{24}, 11n_{25}, 11n_{28}, 11n_{41}, 11n_{47}, 11n_{52}, 11n_{54}, 11n_{56}, 11n_{58},$   
 $11n_{61}, 11n_{74}, 11n_{76}, 11n_{77}, 11n_{78}, 11n_{82}, 11n_{87}, 11n_{92}, 11n_{96}, 11n_{106},$   
 $11n_{107}, 11n_{112}, 11n_{124}, 11n_{125}, 11n_{127}, 11n_{128}, 11n_{129}, 11n_{131}, 11n_{133},$   
 $11n_{145}, 11n_{146}, 11n_{147}, 11n_{149}, 11n_{153}, 11n_{154}, 11n_{158}, 11n_{159}, 11n_{160},$   
 $11n_{167}, 11n_{168}, 11n_{173}, 11n_{176}, 11n_{182}, 11n_{183}, 12a_{0001}, 12a_{0008}, 12a_{0011},$   
 $12a_{0013}, 12a_{0015}, 12a_{0016}, 12a_{0020}, 12a_{0024}, 12a_{0026}, 12a_{0030}, 12a_{0033},$   
 $12a_{0048}, 12a_{0058}, 12a_{0060}, 12a_{0066}, 12a_{0070}, 12a_{0077}, 12a_{0079}, 12a_{0080},$   
 $12a_{0091}, 12a_{0099}, 12a_{0101}, 12a_{0111}, 12a_{0115}, 12a_{0119}, 12a_{0134}, 12a_{0139},$   
 $12a_{0141}, 12a_{0142}, 12a_{0146}, 12a_{0157}, 12a_{0184}, 12a_{0186}, 12a_{0188}, 12a_{0190},$   
 $12a_{0209}, 12a_{0214}, 12a_{0217}, 12a_{0219}, 12a_{0222}, 12a_{0245}, 12a_{0246}, 12a_{0250},$   
 $12a_{0261}, 12a_{0265}, 12a_{0268}, 12a_{0271}, 12a_{0281}, 12a_{0299}, 12a_{0316}, 12a_{0323},$   
 $12a_{0331}, 12a_{0333}, 12a_{0334}, 12a_{0349},$

## More **non** bi-orderable knot groups

12a<sub>0351</sub>, 12a<sub>0362</sub>, 12a<sub>0363</sub>, 12a<sub>0369</sub>, 12a<sub>0374</sub>, 12a<sub>0386</sub>, 12a<sub>0396</sub>, 12a<sub>0398</sub>,  
12a<sub>0426</sub>, 12a<sub>0439</sub>, 12a<sub>0452</sub>, 12a<sub>0464</sub>, 12a<sub>0466</sub>, 12a<sub>0469</sub>, 12a<sub>0473</sub>, 12a<sub>0476</sub>,  
12a<sub>0477</sub>, 12a<sub>0479</sub>, 12a<sub>0497</sub>, 12a<sub>0499</sub>, 12a<sub>0515</sub>, 12a<sub>0536</sub>, 12a<sub>0561</sub>, 12a<sub>0565</sub>,  
12a<sub>0569</sub>, 12a<sub>0576</sub>, 12a<sub>0579</sub>, 12a<sub>0629</sub>, 12a<sub>0662</sub>, 12a<sub>0696</sub>, 12a<sub>0697</sub>, 12a<sub>0699</sub>,  
12a<sub>0700</sub>, 12a<sub>0706</sub>, 12a<sub>0707</sub>, 12a<sub>0716</sub>, 12a<sub>0815</sub>, 12a<sub>0824</sub>, 12a<sub>0835</sub>, 12a<sub>0859</sub>,  
12a<sub>0864</sub>, 12a<sub>0867</sub>, 12a<sub>0878</sub>, 12a<sub>0898</sub>, 12a<sub>0916</sub>, 12a<sub>0928</sub>, 12a<sub>0935</sub>, 12a<sub>0981</sub>,  
12a<sub>0984</sub>, 12a<sub>0999</sub>, 12a<sub>1002</sub>, 12a<sub>1013</sub>, 12a<sub>1027</sub>, 12a<sub>1047</sub>, 12a<sub>1065</sub>, 12a<sub>1076</sub>,  
12a<sub>1105</sub>, 12a<sub>1114</sub>, 12a<sub>1120</sub>, 12a<sub>1122</sub>, 12a<sub>1128</sub>, 12a<sub>1168</sub>, 12a<sub>1176</sub>, 12a<sub>1188</sub>,  
12a<sub>1203</sub>, 12a<sub>1219</sub>, 12a<sub>1220</sub>, 12a<sub>1221</sub>, 12a<sub>1226</sub>, 12a<sub>1227</sub>, 12a<sub>1230</sub>, 12a<sub>1238</sub>,  
12a<sub>1246</sub>, 12a<sub>1248</sub>, 12a<sub>1253</sub>, 12n<sub>0005</sub>, 12n<sub>0006</sub>, 12n<sub>0007</sub>, 12n<sub>0010</sub>, 12n<sub>0016</sub>,  
12n<sub>0019</sub>, 12n<sub>0020</sub>, 12n<sub>0038</sub>, 12n<sub>0041</sub>, 12n<sub>0042</sub>, 12n<sub>0052</sub>, 12n<sub>0064</sub>, 12n<sub>0070</sub>,  
12n<sub>0073</sub>, 12n<sub>0090</sub>, 12n<sub>0091</sub>, 12n<sub>0092</sub>, 12n<sub>0098</sub>, 12n<sub>0104</sub>, 12n<sub>0105</sub>, 12n<sub>0106</sub>,  
12n<sub>0113</sub>, 12n<sub>0115</sub>, 12n<sub>0120</sub>, 12n<sub>0121</sub>, 12n<sub>0125</sub>, 12n<sub>0135</sub>,

## More **non** bi-orderable knot groups

$12n_{0136}$ ,  $12n_{0137}$ ,  $12n_{0139}$ ,  $12n_{0142}$ ,  $12n_{0148}$ ,  $12n_{0150}$ ,  $12n_{0151}$ ,  $12n_{0156}$ ,  
 $12n_{0157}$ ,  $12n_{0165}$ ,  $12n_{0174}$ ,  $12n_{0175}$ ,  $12n_{0184}$ ,  $12n_{0186}$ ,  $12n_{0187}$ ,  $12n_{0188}$ ,  
 $12n_{0190}$ ,  $12n_{0192}$ ,  $12n_{0198}$ ,  $12n_{0199}$ ,  $12n_{0205}$ ,  $12n_{0226}$ ,  $12n_{0230}$ ,  $12n_{0233}$ ,  
 $12n_{0235}$ ,  $12n_{0242}$ ,  $12n_{0261}$ ,  $12n_{0272}$ ,  $12n_{0276}$ ,  $12n_{0282}$ ,  $12n_{0285}$ ,  $12n_{0296}$ ,  
 $12n_{0309}$ ,  $12n_{0318}$ ,  $12n_{0326}$ ,  $12n_{0327}$ ,  $12n_{0328}$ ,  $12n_{0329}$ ,  $12n_{0344}$ ,  $12n_{0346}$ ,  
 $12n_{0347}$ ,  $12n_{0348}$ ,  $12n_{0350}$ ,  $12n_{0352}$ ,  $12n_{0354}$ ,  $12n_{0355}$ ,  $12n_{0362}$ ,  $12n_{0366}$ ,  
 $12n_{0371}$ ,  $12n_{0372}$ ,  $12n_{0377}$ ,  $12n_{0390}$ ,  $12n_{0392}$ ,  $12n_{0401}$ ,  $12n_{0402}$ ,  $12n_{0405}$ ,  
 $12n_{0409}$ ,  $12n_{0416}$ ,  $12n_{0417}$ ,  $12n_{0423}$ ,  $12n_{0425}$ ,  $12n_{0426}$ ,  $12n_{0427}$ ,  $12n_{0437}$ ,  
 $12n_{0439}$ ,  $12n_{0449}$ ,  $12n_{0451}$ ,  $12n_{0454}$ ,  $12n_{0456}$ ,  $12n_{0458}$ ,  $12n_{0459}$ ,  $12n_{0460}$ ,  
 $12n_{0466}$ ,  $12n_{0468}$ ,  $12n_{0472}$ ,  $12n_{0475}$ ,  $12n_{0484}$ ,  $12n_{0488}$ ,  $12n_{0495}$ ,  $12n_{0505}$ ,  
 $12n_{0506}$ ,  $12n_{0508}$ ,  $12n_{0514}$ ,  $12n_{0517}$ ,  $12n_{0518}$ ,  $12n_{0522}$ ,  $12n_{0526}$ ,  $12n_{0528}$ ,  
 $12n_{0531}$ ,  $12n_{0538}$ ,



## More **non** bi-orderable knot groups

$12n_{0543}$ ,  $12n_{0549}$ ,  $12n_{0555}$ ,  $12n_{0558}$ ,  $12n_{0570}$ ,  $12n_{0574}$ ,  $12n_{0577}$ ,  $12n_{0579}$ ,  
 $12n_{0582}$ ,  $12n_{0591}$ ,  $12n_{0592}$ ,  $12n_{0598}$ ,  $12n_{0601}$ ,  $12n_{0604}$ ,  $12n_{0609}$ ,  $12n_{0610}$ ,  
 $12n_{0613}$ ,  $12n_{0619}$ ,  $12n_{0621}$ ,  $12n_{0623}$ ,  $12n_{0627}$ ,  $12n_{0629}$ ,  $12n_{0634}$ ,  $12n_{0640}$ ,  
 $12n_{0641}$ ,  $12n_{0642}$ ,  $12n_{0647}$ ,  $12n_{0649}$ ,  $12n_{0657}$ ,  $12n_{0658}$ ,  $12n_{0660}$ ,  $12n_{0666}$ ,  
 $12n_{0668}$ ,  $12n_{0670}$ ,  $12n_{0672}$ ,  $12n_{0673}$ ,  $12n_{0675}$ ,  $12n_{0679}$ ,  $12n_{0681}$ ,  $12n_{0683}$ ,  
 $12n_{0684}$ ,  $12n_{0686}$ ,  $12n_{0688}$ ,  $12n_{0690}$ ,  $12n_{0694}$ ,  $12n_{0695}$ ,  $12n_{0697}$ ,  $12n_{0703}$ ,  
 $12n_{0707}$ ,  $12n_{0708}$ ,  $12n_{0709}$ ,  $12n_{0711}$ ,  $12n_{0717}$ ,  $12n_{0719}$ ,  $12n_{0721}$ ,  $12n_{0725}$ ,  
 $12n_{0730}$ ,  $12n_{0739}$ ,  $12n_{0747}$ ,  $12n_{0749}$ ,  $12n_{0751}$ ,  $12n_{0754}$ ,  $12n_{0761}$ ,  $12n_{0762}$ ,  
 $12n_{0781}$ ,  $12n_{0790}$ ,  $12n_{0791}$ ,  $12n_{0798}$ ,  $12n_{0802}$ ,  $12n_{0803}$ ,  $12n_{0835}$ ,  $12n_{0837}$ ,  
 $12n_{0839}$ ,  $12n_{0842}$ ,  $12n_{0848}$ ,  $12n_{0850}$ ,  $12n_{0852}$ ,  $12n_{0866}$ ,  $12n_{0871}$ ,  $12n_{0887}$ ,  
 $12n_{0888}$ .

# Proof sketches

Let us now turn to the proofs of the three theorems:

## Theorem

- ① (Howie-Short) All knot groups are locally indicable, hence left-orderable.
- ② (Perron - R.) If  $K$  is fibred and  $\Delta_K(t)$  has *all* roots real and positive, then its group is bi-orderable.
- ③ (Clay-R.) If  $K$  is fibred and its group is bi-orderable, then  $\Delta_K(t)$  has *some* real positive roots.

First, the local indicability of knot groups.

# Knots groups are locally indicable

Consider a knot complement  $X = \mathbb{S}^3 \setminus K$ . And the knot group  $\pi_1(X)$ .  $\pi_1(X)$  is indicable, using the (surjective) Hurewicz homomorphism

$$\pi_1(X) \rightarrow H_1(X) \cong \mathbb{Z}$$

To show  $\pi_1(X)$  is **locally** indicable, consider a finitely generated nontrivial subgroup  $H < \pi_1(X)$ . We need to find a surjection  $H \rightarrow \mathbb{Z}$ .

Case 1:  $H$  has **finite index**. This is easy; the Hurewicz map takes  $H$  to a finite index subgroup of  $\mathbb{Z}$ , which is therefore a copy of  $\mathbb{Z}$ .

## Knots groups are locally indicable

Case 2:  $H$  has **infinite index**. Then there is a covering  $p : \tilde{X} \rightarrow X$  with  $p_*\pi_1(\tilde{X}) = H$ .  $\tilde{X}$  is noncompact, but its fundamental group is f. g. so, by a theorem of Scott, there is a **compact** submanifold  $C \subset \tilde{X}$  with inclusion inducing an isomorphism  $\pi_1(C) \cong \pi_1(\tilde{X}) \cong H$ .

$C$  necessarily has nonempty boundary. If  $B \subset \partial C$  is a boundary component which is a sphere, then irreducibility implies that  $B$  bounds a 3-ball in  $\tilde{X}$ . That 3-ball either contains  $C$  or its interior is disjoint from  $C$ , and the former can't happen because that would imply the inclusion map  $\pi_1(C) \rightarrow \pi_1(\tilde{X})$  is trivial. Therefore, we can adjoin that 3-ball to  $C$  removing  $B$  as a boundary component and not changing  $\pi_1(C)$ . This process allows us to assume that  $\partial C$  is nonempty and has infinite homology groups. By an Euler characteristic argument, we conclude that  $C$  also has infinite homology. Then we have surjections  $H \cong \pi_1(C) \rightarrow H_1(C) \rightarrow \mathbb{Z}$  as required.

## Theorem 2: Positive roots implies bi-orderable

As motivation, consider a matrix in Jordan normal form multiplied by a vector, such as:

$$\begin{pmatrix} \lambda_1 & 1 & 0 \\ 0 & \lambda_1 & 0 \\ 0 & 0 & \lambda_2 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} \lambda_1 x_1 + x_2 \\ \lambda_1 x_2 \\ \lambda_2 x_3 \end{pmatrix}$$

Now, declaring a vector (in  $\mathbb{R}^3$ ) to be “positive” if its last nonzero entry is greater than zero, we see that, if also the eigenvalues  $\lambda_i$  are positive, then multiplication by such a matrix preserves that positive cone of  $\mathbb{R}^3$ , considered as an additive group. So we see

### Proposition

*If all the eigenvalues of a linear transformation  $L : \mathbb{R}^n \rightarrow \mathbb{R}^n$  are real and positive, then there is a bi-ordering of  $\mathbb{R}^n$  which is preserved by  $L$ .*

## Theorem 2: Positive roots implies bi-orderable

A fibration  $X \rightarrow S^1$  with fibre  $S$  can be considered as the mapping cylinder of a (monodromy) homeomorphism  $h : S \rightarrow S$ :

$$X \cong \frac{S \times [0, 1]}{(x, 1) \sim (h(x), 0)}$$

For a fibred knot with  $X = \mathbb{S}^3 \setminus K$  the Alexander polynomial is just the characteristic polynomial of the **homology** monodromy  $H_1(S) \rightarrow H_1(S)$ . Also, the knot group  $\pi_1(X)$  is an HNN extension of the free group  $\pi_1(S)$ , corresponding to the **homotopy** monodromy  $h_* : \pi_1(S) \rightarrow \pi_1(S)$ , where  $\pi_1(S) \cong \langle x_1, \dots, x_{2g} \rangle$  is a free group.

$$\pi_1(X) \cong \langle x_1, \dots, x_{2g}, t \mid h_*(x_i) = tx_i t^{-1} \rangle$$

This group is bi-orderable if and only if there is a bi-ordering of  $\pi_1(S)$  which is preserved by  $h_*$ .

## Theorem 2: Positive roots implies bi-orderable

So our problem reduces to showing:

### Proposition

*Let  $F$  be a finitely generated free group and  $h : F \rightarrow F$  an automorphism. If all the eigenvalues of  $h_* : H_1(F; \mathbb{Q}) \rightarrow H_1(F; \mathbb{Q})$  are real and positive, then there is a bi-ordering of  $F$  preserved by  $h$ .*

One way to order a free group  $F$  is to use the lower central series  $F_1 \supset F_2 \supset \dots$  defined by

$$F_1 = F, \quad F_{i+1} = [F, F_i]$$

Which has the properties that  $\bigcap F_i = \{1\}$  and  $F_i/F_{i+1}$  is free abelian. Choose an arbitrary bi-ordering of  $F_i/F_{i+1}$ , and define a positive cone of  $F$  by declaring  $1 \neq x \in F$  positive if its class in  $F_i/F_{i+1}$  is positive in the chosen ordering, where  $i$  is the last subscript such that  $x \in F_i$ .

## Theorem 2: Positive roots implies bi-orderable

If  $h : F \rightarrow F$  is an automorphism it preserves the lower central series and induces maps of the lower central quotients:  $h_i : F_i/F_{i+1} \rightarrow F_i/F_{i+1}$ . With this notation,  $h_1$  is just the abelianization  $h_{ab}$ . In a sense, all the  $h_i$  are determined by  $h_1$ . That is, there is an embedding of  $F_i/F_{i+1}$  in the tensor power  $F_{ab}^{\otimes k}$ , and the map  $h_i$  is just the restriction of  $h_{ab}^{\otimes k}$ .

The assumption that all eigenvalues of  $h_{ab}$  are real and positive implies that the same is true of all its tensor powers.

This allows us to find bi-orderings of the free abelian groups  $F_i/F_{i+1}$  which are invariant under  $h_i$ . Using these to bi-order  $F$ , we get invariance under  $h$ , which proves the proposition.



## Theorem 3: Bi-orderable implies some positive roots

We now turn to the proof of our third theorem: If  $K$  is fibred and its group is bi-orderable, then  $\Delta_K(t)$  has **some** real positive roots. Recall that the knot group is an HNN extension of a free group, and is bi-orderable if and only if the homotopy monodromy map preserves a bi-ordering of the free group. Moreover,  $\Delta_K(t)$  is the characteristic polynomial of the homology monodromy.

Our third theorem will follow from a more general result. If  $\phi : G \rightarrow G$  is an automorphism, we can define its **eigenvalues** to be the eigenvalues of its induced map on the rational vector space  $H_1(G, \mathbb{Q}) \cong G_{ab} \otimes \mathbb{Q}$ .

### Theorem

*Suppose  $G$  is a nontrivial finitely generated bi-orderable group and that  $\phi : G \rightarrow G$  preserves a bi-ordering of  $G$ . Then  $\phi$  has a positive eigenvalue.*

## Theorem 3: Bi-orderable implies some positive roots

The idea is to consider the induced map  $\phi_*$ , which can be considered as a linear transformation of  $\mathbb{Q}^n$ , which we regard as a subset of  $\mathbb{R}^n$ . If  $\phi_*$  preserves an ordering of  $\mathbb{Q}^n$ , there is a hyperplane  $H \subset \mathbb{R}^n$  defined by  $H = \{x \in \mathbb{R}^n \mid \text{every nbhd. of } x \text{ contains positive and negative points}\}$   $H$  separates  $\mathbb{R}^n$  and is invariant under  $\phi_*$ .

Consider the unit sphere  $\mathbb{S}^{n-1}$  of  $\mathbb{R}^n$ , and let  $D$  denote the closed hemisphere of  $\mathbb{S}^{n-1}$  which lies on the “positive” side of  $H$ . There is a mapping  $D \rightarrow D$  defined by

$$x \rightarrow \phi_*(x)/|\phi_*(x)|$$

Since  $D$  is an  $(n-1)$ -ball, this map has a **fixed point** (Brouwer). This fixed point corresponds to an eigenvector of  $\phi_*$ , which has a positive real eigenvalue. □

# Surgery

We conclude with some applications to [surgery](#) on a knot  $K$  in  $S^3$ . One removes a tubular neighborhood of  $K$  and attaches a solid torus  $S^1 \times D^2$  so that the meridian  $\{*\} \times S^1$  is attached to a specified “framing” curve on the boundary of the neighborhood.

## Theorem

*Suppose  $K$  is a fibred knot in  $S^3$  and nontrivial surgery on  $K$  produces a 3-manifold  $M$  whose fundamental group is bi-orderable. Then the surgery must be longitudinal (that is, 0-framed) and  $\Delta_K(t)$  has a positive real root. Moreover,  $M$  fibres over  $S^1$ .*

# Surgery

Ozsváth and Szabó define an *L-space* to be a closed 3-manifold  $M$  such that  $H_1(M; \mathbb{Q}) = 0$  and its Heegaard-Floer homology  $\widehat{HF}(M)$  is a free abelian group of rank equal to  $|H_1(M; \mathbb{Z})|$ . Lens spaces, and more generally 3-manifolds with finite fundamental group are examples of *L-spaces*.

## Theorem

*If surgery on a knot  $K$  in  $S^3$  results in an L-space, then the knot group  $\pi_1(S^3 \setminus K)$  is not bi-orderable.*