

# Open Problems Concerning Automorphism Groups of Projective Planes

G. Eric Moorhouse

Department of Mathematics  
University of Wyoming

BIRS 25 April 2011



# Projective Planes

A **projective plane** is a point-line incidence structure such that

- every pair of distinct points lies on a common line;
- every pair of distinct lines meets in a common point;
- there exists a quadrangle (four points, no three of which are collinear).

There exists a cardinal number  $n$  (finite or infinite), called the **order** of the plane, such that

- every line has  $n + 1$  points;
- every point is on  $n + 1$  lines;
- there are  $n^2 + n + 1$  points and the same number of lines.

An **automorphism** (i.e. **collineation**) of a projective plane is a permutation of the points which preserves collinearity.



# Projective Planes

A **projective plane** is a point-line incidence structure such that

- every pair of distinct points lies on a common line;
- every pair of distinct lines meets in a common point;
- there exists a quadrangle (four points, no three of which are collinear).

There exists a cardinal number  $n$  (finite or infinite), called the **order** of the plane, such that

- every line has  $n + 1$  points;
- every point is on  $n + 1$  lines;
- there are  $n^2 + n + 1$  points and the same number of lines.

An **automorphism** (i.e. **collineation**) of a projective plane is a permutation of the points which preserves collinearity.



# Projective Planes

A **projective plane** is a point-line incidence structure such that

- every pair of distinct points lies on a common line;
- every pair of distinct lines meets in a common point;
- there exists a quadrangle (four points, no three of which are collinear).

There exists a cardinal number  $n$  (finite or infinite), called the **order** of the plane, such that

- every line has  $n + 1$  points;
- every point is on  $n + 1$  lines;
- there are  $n^2 + n + 1$  points and the same number of lines.

An **automorphism** (i.e. **collineation**) of a projective plane is a permutation of the points which preserves collinearity.



# Known planes of small order

Number of planes up to isomorphism (i.e. collineations):

$n$	number of planes of order $n$
2	1
3	1
4	1
5	1
7	1
8	1
9	4
11	$\geq 1$
13	$\geq 1$

$n$	number of planes of order $n$
16	$\geq 22$
17	$\geq 1$
19	$\geq 1$
23	$\geq 1$
25	$\geq 193$
27	$\geq 13$
29	$\geq 1$
...	...
49	$> 280,000$



# pzip: A compression utility for finite planes

Storage requirements for a projective plane of order  $n$ :

$n$	size of line sets	size of MOLS	gzipped MOLS	pzip
11	5 KB	1.3 KB	0.2 KB	0.06 KB
25	63 KB	15 KB	9 KB	0.9 KB
49	550 KB	110 KB	81 KB	6 KB

See <http://www.uwyo.edu/moorhouse/pzip.html>



# The Classical Planes

Let  $F$  be a field. Denote by  $F^3$  a 3-dimensional vector space over  $F$ .

The **classical projective plane**  $P^2(F)$  has as its points and lines the subspaces of  $F^3$  of dimension 1 and 2, respectively. Incidence is inclusion. The order of the plane is  $|F|$ , finite or infinite.

The automorphism group of  $P^2(F)$  is  $P\Gamma L_3(F)$ , which acts 2-transitively on points, and transitively on ordered quadrangles. No known planes have as much symmetry as the classical planes.



# The Classical Planes

Let  $F$  be a field. Denote by  $F^3$  a 3-dimensional vector space over  $F$ .

The **classical projective plane**  $P^2(F)$  has as its points and lines the subspaces of  $F^3$  of dimension 1 and 2, respectively. Incidence is inclusion. The order of the plane is  $|F|$ , finite or infinite.

The automorphism group of  $P^2(F)$  is  $P\Gamma L_3(F)$ , which acts 2-transitively on points, and transitively on ordered quadrangles. No known planes have as much symmetry as the classical planes.





Let  $\Pi$  be a projective plane, and let  $G = \text{Aut}(\Pi)$ .

### Theorem (Ostrom-Dembowski-Wagner)

*In the finite case,  $\Pi$  is classical iff  $G$  is 2-transitive on points.*

In the infinite case, there exist nonclassical planes whose automorphism group is 2-transitive on points (even transitive on ordered quadrangles).



Let  $\Pi$  be a projective plane, and let  $G = \text{Aut}(\Pi)$ .

### Theorem (Ostrom-Dembowski-Wagner)

*In the finite case,  $\Pi$  is classical iff  $G$  is 2-transitive on points.*

In the infinite case, there exist nonclassical planes whose automorphism group is 2-transitive on points (even transitive on ordered quadrangles).



# Subplanes

Consider a classical projective plane  $\Pi = P^2(F)$ .

Every quadrangle in  $\Pi$  generates a subplane isomorphic to  $P^2(K)$  where  $K$  is the prime subfield of  $F$  (i.e.  $\mathbb{F}_p$  or  $\mathbb{Q}$ , according to the characteristic of  $F$ ).

Such a subplane is proper iff  $[F : K] > 1$ .



# Subplanes

## Open Question

Let  $\Pi$  be a finite projective plane in which every quadrangle generates a proper subplane. Must  $\Pi$  be classical?  
(necessarily of order  $p^r$  with  $r \geq 2$ )

The answer is known only in special cases:

If  $\Pi$  is a finite projective plane in which every quadrangle generates a subplane of order 2, then  $\Pi \cong P^2(\mathbb{F}_{2^r})$  (Gleason, 1956).

If  $\Pi$  is a finite projective plane of order  $n^2$  in which every quadrangle generates a subplane of order  $n$ , then  $n = p$  and  $\Pi \cong P^2(\mathbb{F}_{p^2})$  (Blokhuis and Sziklai, 2001 for  $n$  prime; Kantor and Penttila, 2010 in general).



# Subplanes

## Open Question

Let  $\Pi$  be a finite projective plane in which every quadrangle generates a proper subplane. Must  $\Pi$  be classical?  
(necessarily of order  $p^r$  with  $r \geq 2$ )

The answer is known only in special cases:

If  $\Pi$  is a finite projective plane in which every quadrangle generates a subplane of order 2, then  $\Pi \cong P^2(\mathbb{F}_{2^r})$  (Gleason, 1956).

If  $\Pi$  is a finite projective plane of order  $n^2$  in which every quadrangle generates a subplane of order  $n$ , then  $n = p$  and  $\Pi \cong P^2(\mathbb{F}_{p^2})$  (Blokhuis and Sziklai, 2001 for  $n$  prime; Kantor and Penttila, 2010 in general).



# Subplanes

## Open Question

Let  $\Pi$  be a finite projective plane in which every quadrangle generates a proper subplane. Must  $\Pi$  be classical?  
(necessarily of order  $p^r$  with  $r \geq 2$ )

The answer is known only in special cases:

If  $\Pi$  is a finite projective plane in which every quadrangle generates a subplane of order 2, then  $\Pi \cong P^2(\mathbb{F}_{2^r})$  (Gleason, 1956).

If  $\Pi$  is a finite projective plane of order  $n^2$  in which every quadrangle generates a subplane of order  $n$ , then  $n = p$  and  $\Pi \cong P^2(\mathbb{F}_{p^2})$  (Blokhuis and Sziklai, 2001 for  $n$  prime; Kantor and Penttila, 2010 in general).



# Point Orbits and Line Orbits

Consider a projective plane  $\Pi$  with automorphism group  $G = \text{Aut}(\Pi)$ .

**Theorem (Brauer, 1941)**

*In the finite case,  $G$  has equally many orbits on points and on lines.*

**Open Problem (attributed to Kantor)**

In the general case, must  $G$  have equally many orbits on points and on lines?



# Point Orbits and Line Orbits

Consider a projective plane  $\Pi$  with automorphism group  $G = \text{Aut}(\Pi)$ .

## Theorem (Brauer, 1941)

*In the finite case,  $G$  has equally many orbits on points and on lines.*

## Open Problem (attributed to Kantor)

In the general case, must  $G$  have equally many orbits on points and on lines?





# Orbits on $n$ -tuples of Points

In the classical case  $\Pi = P^2(F)$ ,  $G$  has

- 1 orbit on points;
- 1 orbit on ordered pairs of distinct points;
- 2 orbits on ordered triples of distinct points;
- $O(|F|)$  orbits on ordered 4-tuples of distinct points. (In the case of collinear 4-tuples, consider the cross-ratio.)

## Open Problem

Does there exist an infinite plane with only finitely many orbits on  $k$ -tuples of distinct points for every  $k \geq 1$ ?

Even for  $k = 4$  this is open.



# Orbits on $n$ -tuples of Points

In the classical case  $\Pi = P^2(F)$ ,  $G$  has

- 1 orbit on points;
- 1 orbit on ordered pairs of distinct points;
- 2 orbits on ordered triples of distinct points;
- $O(|F|)$  orbits on ordered 4-tuples of distinct points. (In the case of collinear 4-tuples, consider the cross-ratio.)

## Open Problem

Does there exist an infinite plane with only finitely many orbits on  $k$ -tuples of distinct points for every  $k \geq 1$ ?

Even for  $k = 4$  this is open.



# Orbits on $n$ -tuples of Points

In the classical case  $\Pi = P^2(F)$ ,  $G$  has

- 1 orbit on points;
- 1 orbit on ordered pairs of distinct points;
- 2 orbits on ordered triples of distinct points;
- $O(|F|)$  orbits on ordered 4-tuples of distinct points. (In the case of collinear 4-tuples, consider the cross-ratio.)

## Open Problem

Does there exist an infinite plane with only finitely many orbits on  $k$ -tuples of distinct points for every  $k \geq 1$ ?

Even for  $k = 4$  this is open.



# $\aleph_0$ -categorical planes

A permutation group  $G$  on  $X$  is **oligomorphic** if  $G$  has finitely many orbits on  $X^k$  for each  $k \geq 1$ . See Cameron (1990).

(Taking  $k$ -tuples of points in  $X$ , or  $k$ -tuples of distinct points, doesn't matter.)

## Open Question

Does there exist an infinite projective plane  $\Pi$  admitting a group  $G \leq \text{Aut}(\Pi)$  which is oligomorphic on points? (equivalently, on lines).

If such a plane exists, we may assume (by the Löwenheim-Skolem Theorem) that its order is  $\aleph_0$  (countably infinite). Such a plane is called  **$\aleph_0$ -categorical**.



# $\aleph_0$ -categorical planes

A permutation group  $G$  on  $X$  is **oligomorphic** if  $G$  has finitely many orbits on  $X^k$  for each  $k \geq 1$ . See Cameron (1990).

(Taking  $k$ -tuples of points in  $X$ , or  $k$ -tuples of distinct points, doesn't matter.)

## Open Question

Does there exist an infinite projective plane  $\Pi$  admitting a group  $G \leq \text{Aut}(\Pi)$  which is oligomorphic on points? (equivalently, on lines).

If such a plane exists, we may assume (by the Löwenheim-Skolem Theorem) that its order is  $\aleph_0$  (countably infinite). Such a plane is called  **$\aleph_0$ -categorical**.



# $\aleph_0$ -categorical planes

A permutation group  $G$  on  $X$  is **oligomorphic** if  $G$  has finitely many orbits on  $X^k$  for each  $k \geq 1$ . See Cameron (1990).

(Taking  $k$ -tuples of points in  $X$ , or  $k$ -tuples of distinct points, doesn't matter.)

## Open Question

Does there exist an infinite projective plane  $\Pi$  admitting a group  $G \leq \text{Aut}(\Pi)$  which is oligomorphic on points? (equivalently, on lines).

If such a plane exists, we may assume (by the Löwenheim-Skolem Theorem) that its order is  $\aleph_0$  (countably infinite). Such a plane is called  **$\aleph_0$ -categorical**.



# $\aleph_0$ -categorical planes

From now on, assume  $\Pi$  is an  $\aleph_0$ -categorical projective plane, and let  $G \leq \text{Aut}(\Pi)$  be oligomorphic on points.

Useful fact: In an oligomorphic group  $G$ , the stabilizer of any finite point set is also oligomorphic.

## Lemma

*Every finite substructure  $S \subset \Pi$  lies in a finite subplane.*

## Proof.

Let  $G_{(S)} \leq G$  be the pointwise stabilizer of  $S$ . Then  $G_{(S)}$  fixes pointwise the substructure  $\langle S \rangle$  generated by  $S$ . This substructure must be finite, otherwise  $G_{(S)}$  has infinitely many fixed points, hence infinitely many orbits. □



## $\aleph_0$ -categorical planes

From now on, assume  $\Pi$  is an  $\aleph_0$ -categorical projective plane, and let  $G \leq \text{Aut}(\Pi)$  be oligomorphic on points.

Useful fact: In an oligomorphic group  $G$ , the stabilizer of any finite point set is also oligomorphic.

### Lemma

*Every finite substructure  $S \subset \Pi$  lies in a finite subplane.*

### Proof.

Let  $G_{(S)} \leq G$  be the pointwise stabilizer of  $S$ . Then  $G_{(S)}$  fixes pointwise the substructure  $\langle S \rangle$  generated by  $S$ . This substructure must be finite, otherwise  $G_{(S)}$  has infinitely many fixed points, hence infinitely many orbits. □





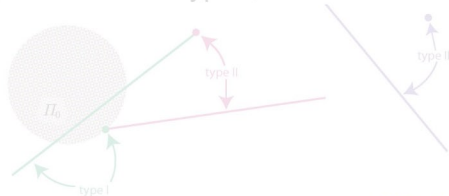
# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

Without loss of generality,  $G$  fixes pointwise a finite subplane  $\Pi_0 \subset \Pi$ . (Otherwise replace  $G$  by the oligomorphic subgroup  $G_{(S)}$  where  $S$  is a quadrangle.)

Consider a point  $P \in \Pi$ . We say

- $P$  is of **type I** if  $P \in \Pi_0$ ;
- $P$  is of **type II** if  $P \notin \Pi_0$  but  $P$  lies on a line of  $\Pi_0$ ;
- $P$  is of **type III** if  $P$  lies on no line of  $\Pi_0$ .

Dually classify lines of  $\Pi$  as type I, II or III.



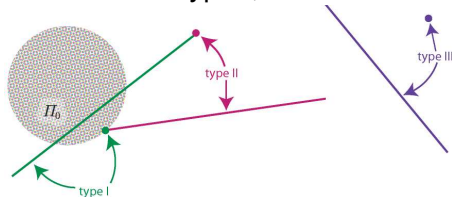
# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

Without loss of generality,  $G$  fixes pointwise a finite subplane  $\Pi_0 \subset \Pi$ . (Otherwise replace  $G$  by the oligomorphic subgroup  $G_{(S)}$  where  $S$  is a quadrangle.)

Consider a point  $P \in \Pi$ . We say

- $P$  is of **type I** if  $P \in \Pi_0$ ;
- $P$  is of **type II** if  $P \notin \Pi_0$  but  $P$  lies on a line of  $\Pi_0$ ;
- $P$  is of **type III** if  $P$  lies on no line of  $\Pi_0$ .

Dually classify lines of  $\Pi$  as type I, II or III.



# The Burnside Ring $\mathfrak{B}(G)$

Two  $G$ -sets  $X$  and  $Y$  are **equivalent** if there exists a  $G$ -equivariant bijection  $\theta : X \rightarrow Y$ , i.e.  $\theta(x^g) = \theta(x)^g$  for all  $x \in X, g \in G$ .

The equivalence class of a  $G$ -set  $X$  is denoted  $[X]$ .

Given  $G$ -sets  $X$  and  $Y$ , the disjoint union  $X \uplus Y$  and Cartesian product  $X \times Y$  are  $G$ -sets.

The **Burnside ring**  $\mathfrak{B}(G)$  is the  $\mathbb{Z}$ -algebra consisting of formal sums  $\sum_{[X]} a_{[X]} [X]$ ,  $a_{[X]} \in \mathbb{Z}$  (almost all zero), where

$$[X] + [Y] = [X \uplus Y], \quad [X][Y] = [X \times Y].$$



# The Burnside Ring $\mathfrak{B}(G)$

Two  $G$ -sets  $X$  and  $Y$  are **equivalent** if there exists a  $G$ -equivariant bijection  $\theta : X \rightarrow Y$ , i.e.  $\theta(x^g) = \theta(x)^g$  for all  $x \in X$ ,  $g \in G$ .

The equivalence class of a  $G$ -set  $X$  is denoted  $[X]$ .

Given  $G$ -sets  $X$  and  $Y$ , the disjoint union  $X \uplus Y$  and Cartesian product  $X \times Y$  are  $G$ -sets.

The **Burnside ring**  $\mathfrak{B}(G)$  is the  $\mathbb{Z}$ -algebra consisting of formal sums  $\sum_{[X]} a_{[X]} [X]$ ,  $a_{[X]} \in \mathbb{Z}$  (almost all zero), where

$$[X] + [Y] = [X \uplus Y], \quad [X][Y] = [X \times Y].$$



# The Burnside Ring $\mathfrak{B}(G)$

Two  $G$ -sets  $X$  and  $Y$  are **equivalent** if there exists a  $G$ -equivariant bijection  $\theta : X \rightarrow Y$ , i.e.  $\theta(x^g) = \theta(x)^g$  for all  $x \in X$ ,  $g \in G$ .

The equivalence class of a  $G$ -set  $X$  is denoted  $[X]$ .

Given  $G$ -sets  $X$  and  $Y$ , the disjoint union  $X \uplus Y$  and Cartesian product  $X \times Y$  are  $G$ -sets.

The **Burnside ring**  $\mathfrak{B}(G)$  is the  $\mathbb{Z}$ -algebra consisting of formal sums  $\sum_{[X]} c_{[X]} [X]$ ,  $c_{[X]} \in \mathbb{Z}$  (almost all zero), where

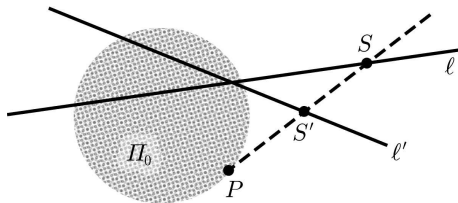
$$[X] + [Y] = [X \uplus Y], \quad [X][Y] = [X \times Y].$$



# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

Let  $P$  and  $\ell$  be a point and line of  $\Pi_0$ .

The set  $\Pi_\ell$  of type II points of  $\ell$  is a  $G$ -set; as is the set  $\Pi_P$  of type II lines through  $P$ .



## Lemma

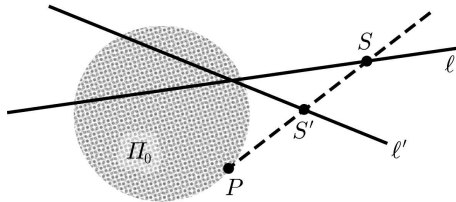
$[\Pi_P] = [\Pi_\ell]$ , independent of the choice of point  $P$  and line  $\ell$  of  $\Pi_0$ .



# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

Let  $P$  and  $\ell$  be a point and line of  $\Pi_0$ .

The set  $II_\ell$  of type II points of  $\ell$  is a  $G$ -set; as is the set  $II_P$  of type II lines through  $P$ .



## Lemma

$[II_P] = [II_\ell]$ , independent of the choice of point  $P$  and line  $\ell$  of  $\Pi_0$ .

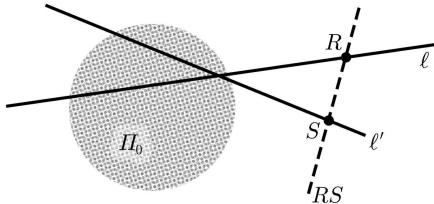


# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

Denote by  $III$  the  $G$ -set consisting of all type III points. Dually,  
 $\tilde{III}$  is the  $G$ -set consisting of all type III lines.

## Lemma

Let  $\ell$  be a line of  $\Pi_0$ . Then  $[II_\ell]^2 = [\tilde{III}] + c[II_\ell]$   
where  $c = n_0(n_0 - 1)$ ,  $n_0 = \text{order of } \Pi_0$ .



$$(R, S) \mapsto RS$$

$$II_\ell \times II_{\ell'} \rightarrow \tilde{III} \uplus \left( \biguplus_{\substack{O \in \Pi_0; \\ O \notin \ell \cup \ell'}} II_O \right)$$





# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

## Lemma

Let  $\ell$  be a line of  $\Pi_0$ . Then  $[ll_\ell]^2 = [\tilde{ll}] + c[ll_\ell]$   
where  $c = n_0(n_0 - 1)$ ,  $n_0 = \text{order of } \Pi_0$ .

## Corollary

$[\tilde{ll}] = [lll]$  and  $[ll_\ell]^2 = [lll] + c[ll_\ell]$

## Proof.

Dualising the previous lemma,

$$[lll] + c[ll_\ell] = [ll_\ell]^2 = [\tilde{ll}] + c[ll_\ell].$$

Cancellation of the  $c[ll_\ell]$  terms is justified in  $\mathfrak{B}(G)$ . □



# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

Let  $\nu_{m,n}$  = number of  $G$ -orbits on  $lll^m \times lll^n$ .

## Lemma

For all  $m, n \geq 0$ , we have  $\nu_{m+2,n} = \nu_{m,n+1} + c\nu_{m+1,n}$ .

## Proof.

$$\begin{aligned} [lll]^m [lll]^n &= [lll]^m ([lll] + c[ll]) [lll]^n \\ &= [lll]^m [lll]^{n+1} + c[lll]^m [ll]^n. \end{aligned}$$



# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

The previous recurrence for

$$\nu_{m,n} = \text{number of } G\text{-orbits on } III_\ell^m \times III^n$$

is rephrased in terms of the generating function

$$F(s, t) = \sum_{m,n \geq 0} \nu_{m,n} s^m t^n$$

as follows.

## Lemma

$F(s, t) = \sum_{k \geq 0} (a_k + b_k s) F_k(s, t)$  where

$$F_k(s, t) = \frac{1}{(1 - cs)t - s^2} \left[ t^{k+1} - \frac{s^{2(k+1)}}{(1 - cs)^{k+1}} \right].$$



# $\Pi$ an $\aleph_0$ -categorical projective plane, $G \leq \text{Aut}(\Pi)$ oligomorphic

## Theorem

*Under our assumption (existence of an  $\aleph_0$ -categorical projective plane), there exist (infinitely many) finite nonclassical projective planes, in which every quadrangle generates a proper subplane.*

## Proof (Sketch).

Without loss of generality, the subplane  $\Pi_0 \subset \Pi$  is nonclassical. Let  $M$  be the maximum order of a subplane of the form  $\langle \Pi_0, P, Q, R, S \rangle$  where  $(P, Q, R, S)$  is a quadrangle of  $\Pi$ . Any subplane of  $\Pi$  containing  $\Pi_0$  of order exceeding  $M$ , has the required property. □



# Subplanes of known planes

In all known cases of a finite projective plane of order  $n$  with a subplane of order  $n_0$ , we have

- $n = n_0^r$  for some  $r \geq 1$ ; or
- $n_0 \in \{2, 3\}$ .

Moreover, subplanes of order 3 are **rare** unless  $n = 3^r$ .

Hopes for an  $\aleph_0$ -categorical plane do not look bright!



# Subplanes of known planes

In all known cases of a finite projective plane of order  $n$  with a subplane of order  $n_0$ , we have

- $n = n_0^r$  for some  $r \geq 1$ ; or
- $n_0 \in \{2, 3\}$ .

Moreover, subplanes of order 3 are **rare** unless  $n = 3^r$ .

Hopes for an  $\aleph_0$ -categorical plane do not look bright!



# Thank You!



# Questions?

