

# *A Stochastic Multicloud Model for Tropical Convection*

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Joint work with:

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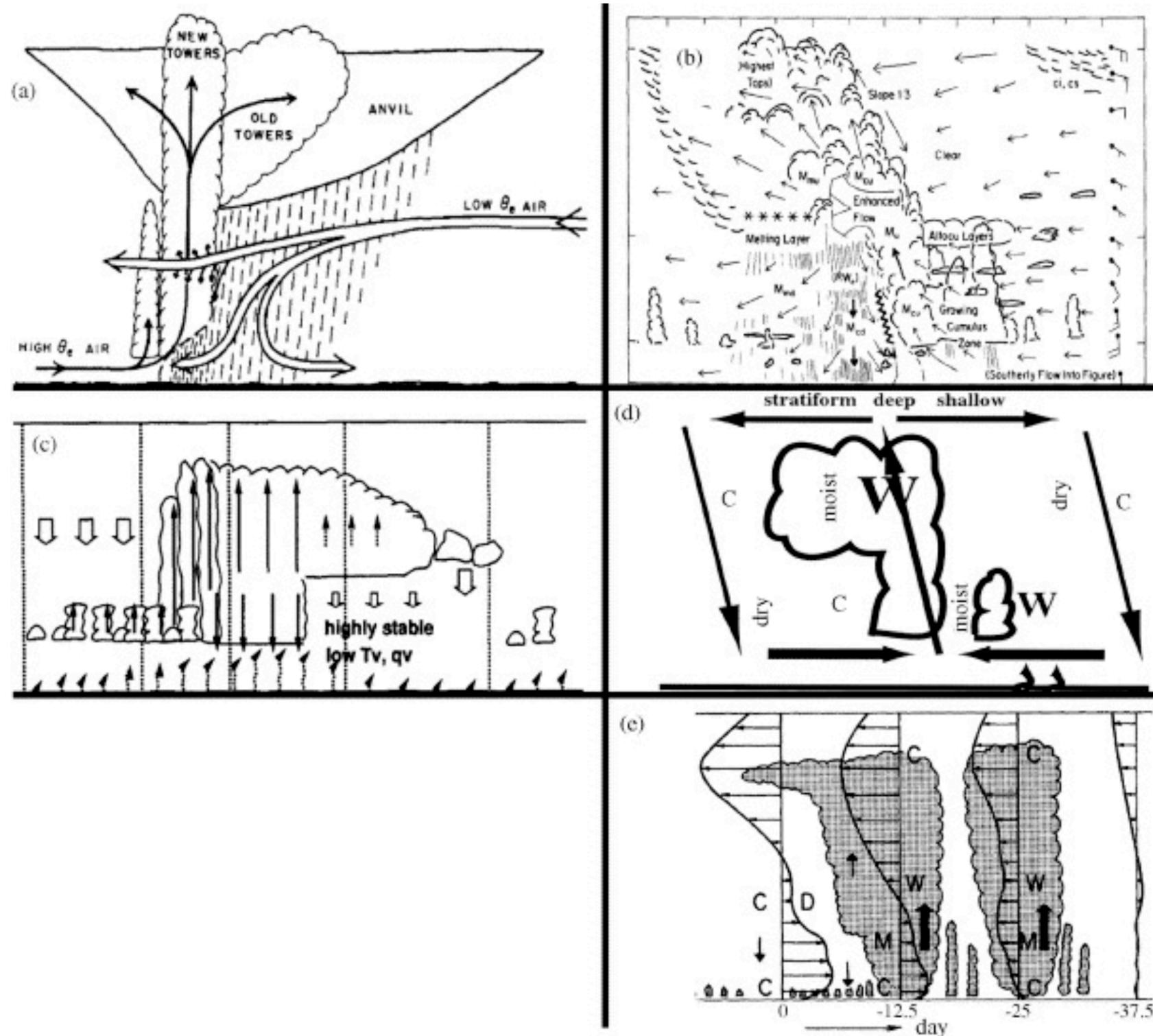
Multiscale Processes in the Tropics, BIRS, 2009

# Background papers

- Stochastic models for CIN:
  - ★ Majda, Franzke, & Khouider, (2008), Phil. Trans. Roy. Soc.
  - ★ Khouider, Majda, & Katsoulakis (2003), PNAS:
  - ★ Majda & Khouider (2002), PNAS:
- Deterministic Multicloud Models (Khouider and Majda J. Atmos. Sci., 2006, 2007, 2008a, 2008b)
- Stochastic Multicloud models (with Biello and Majda):  
Submitted to Comm. Math. Sci.

# Self-similar structure of organized convection based on three cloud types: congestus, deep, and stratiform

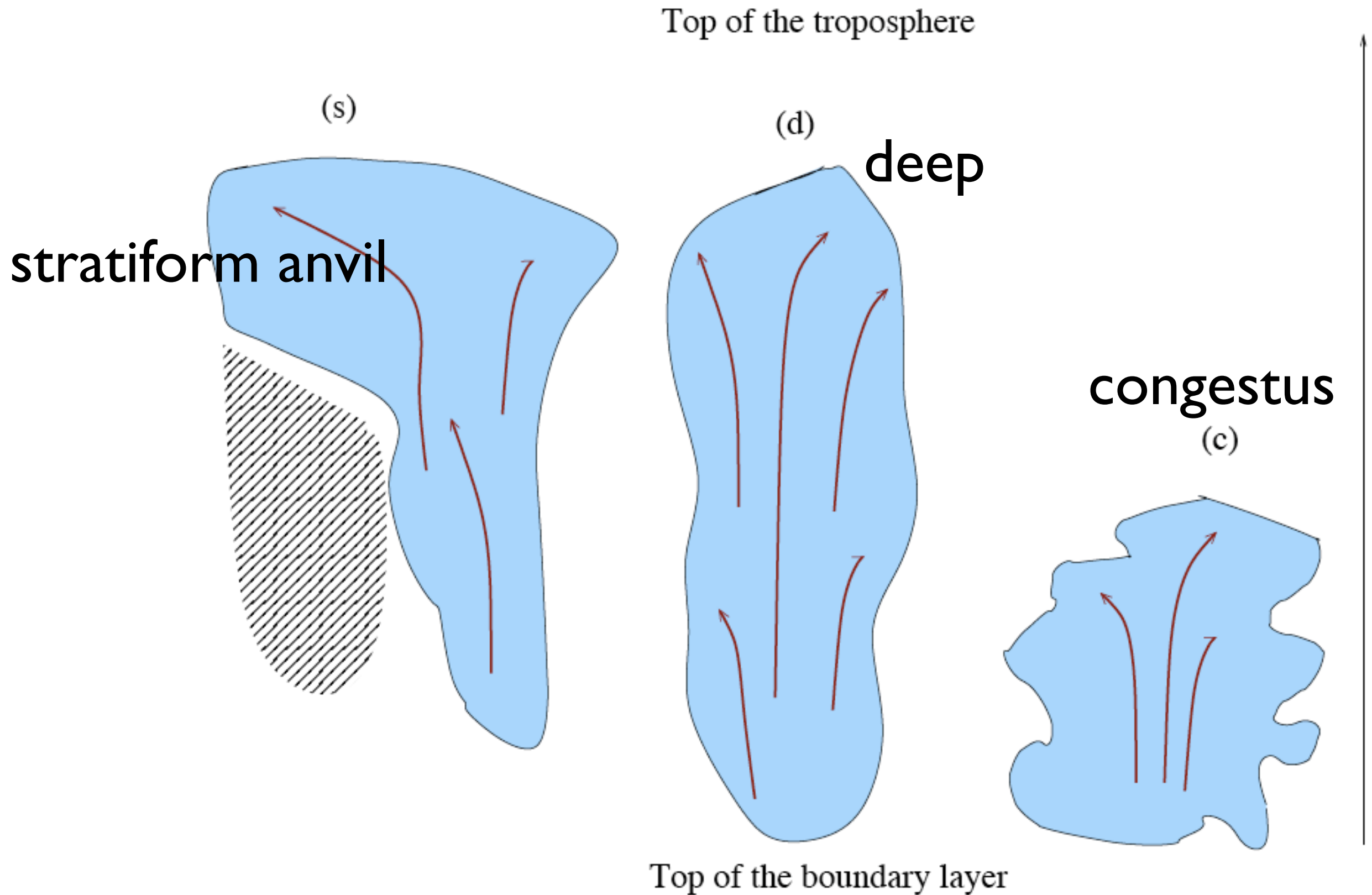
Squall lines



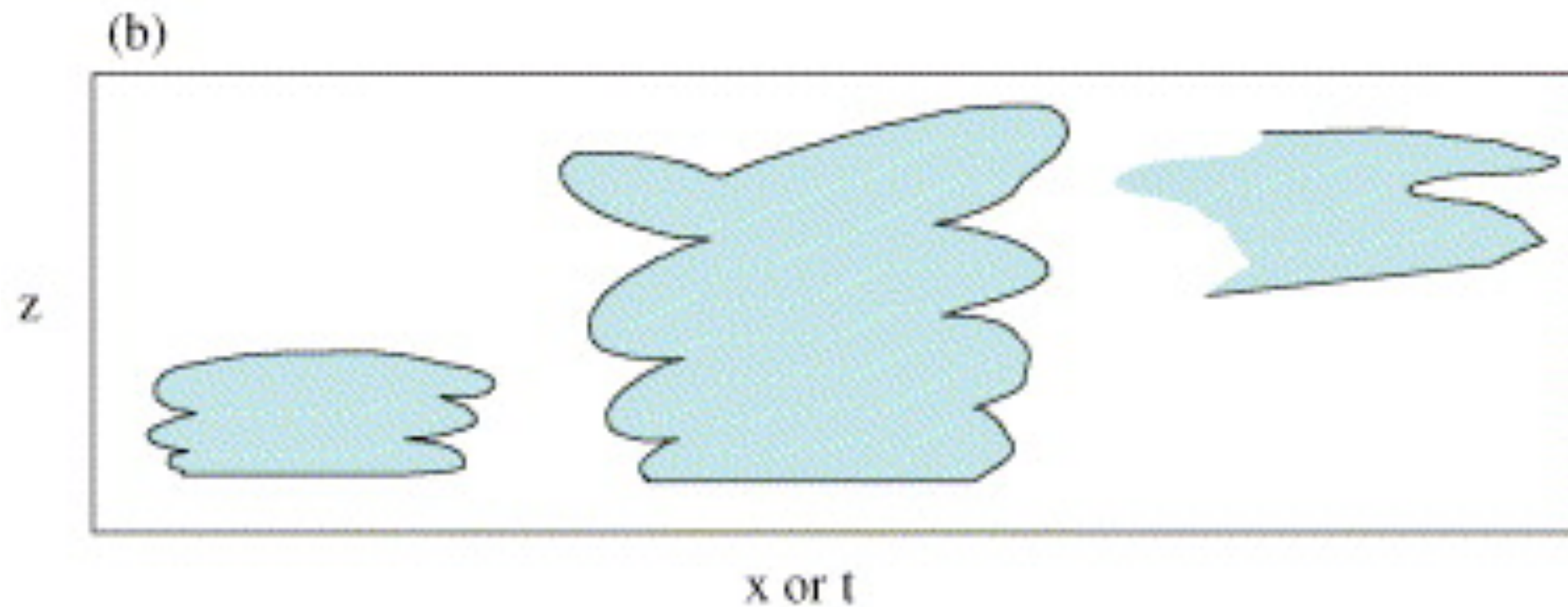
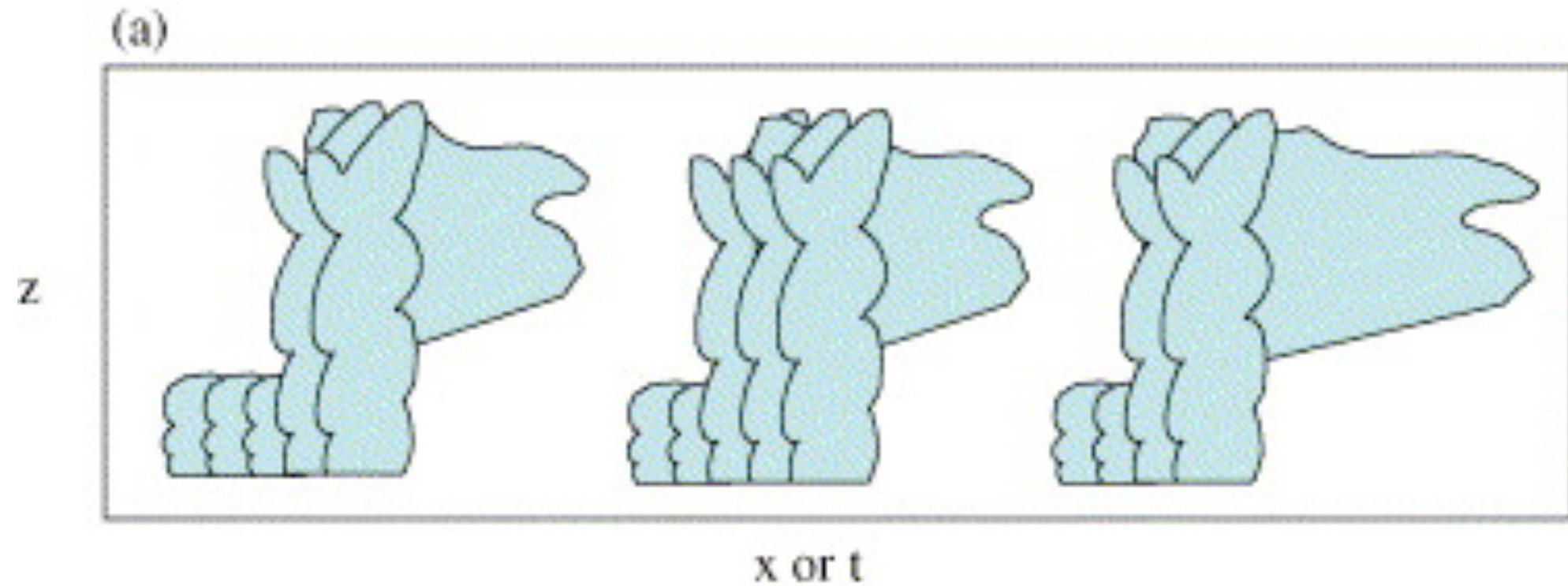
C.C.W.

M.J.O.

# Schematic of three cloud types

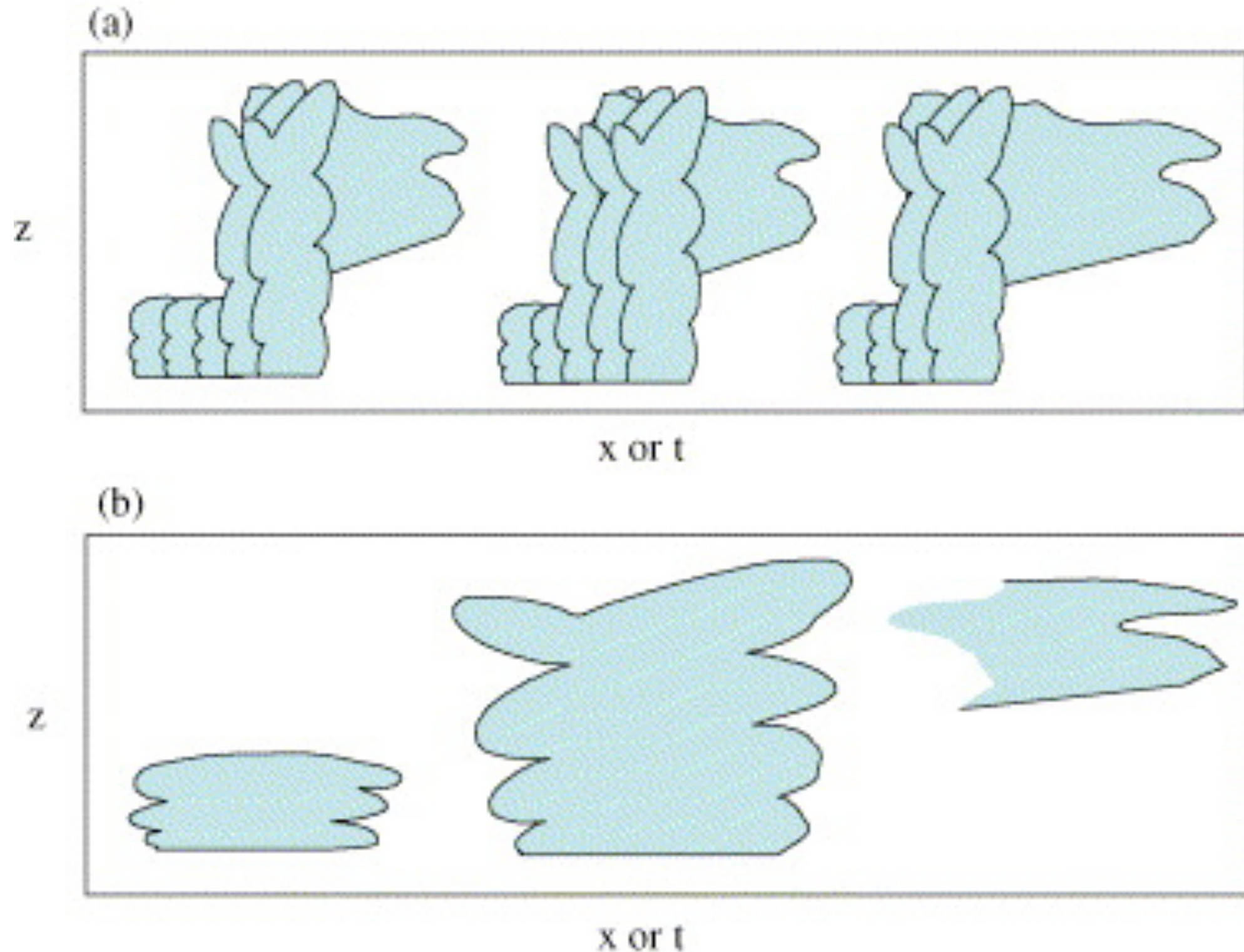






**(b) = statistical average of (a)**

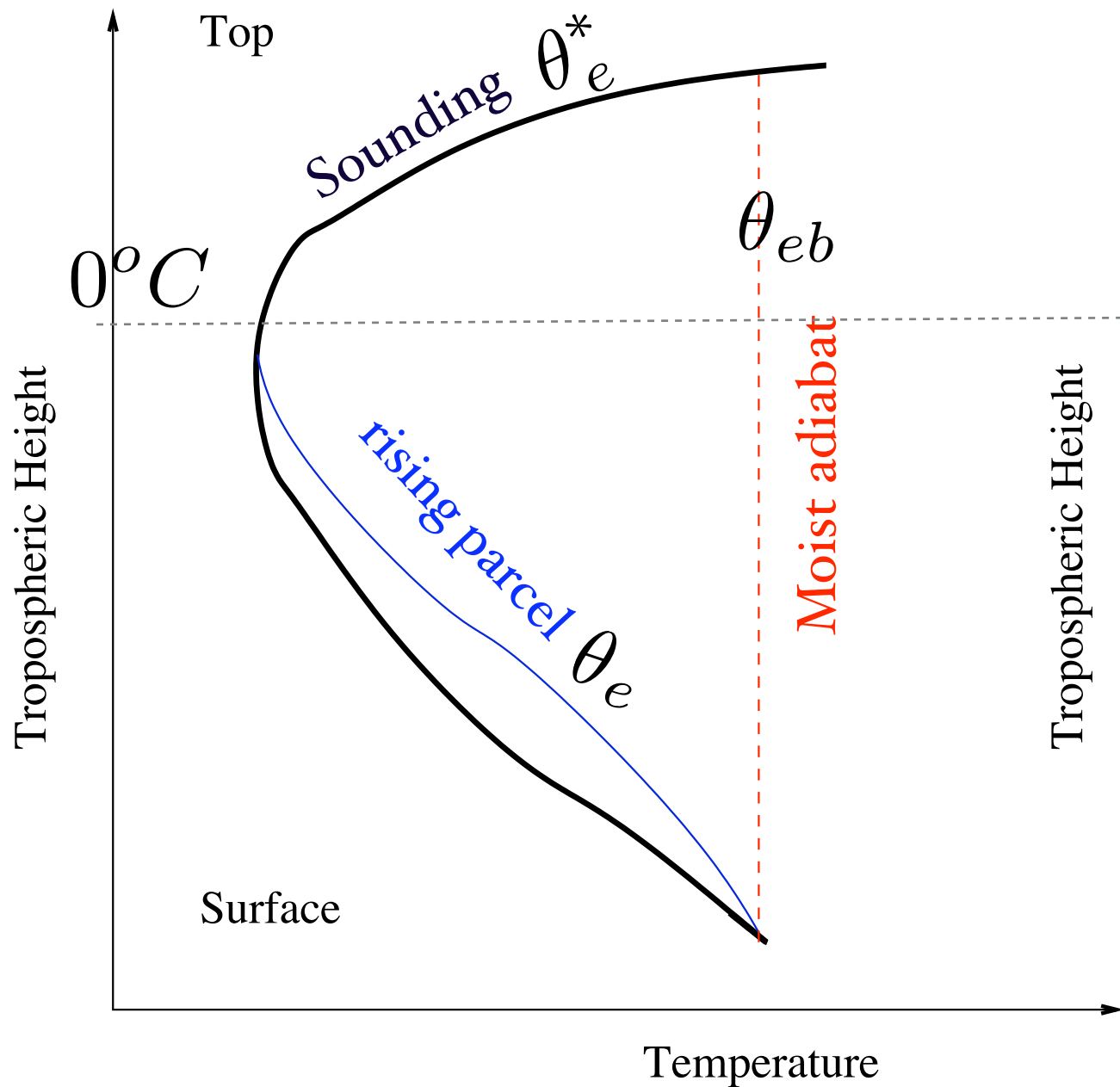
# (Stretched) Building Block Hypothesis



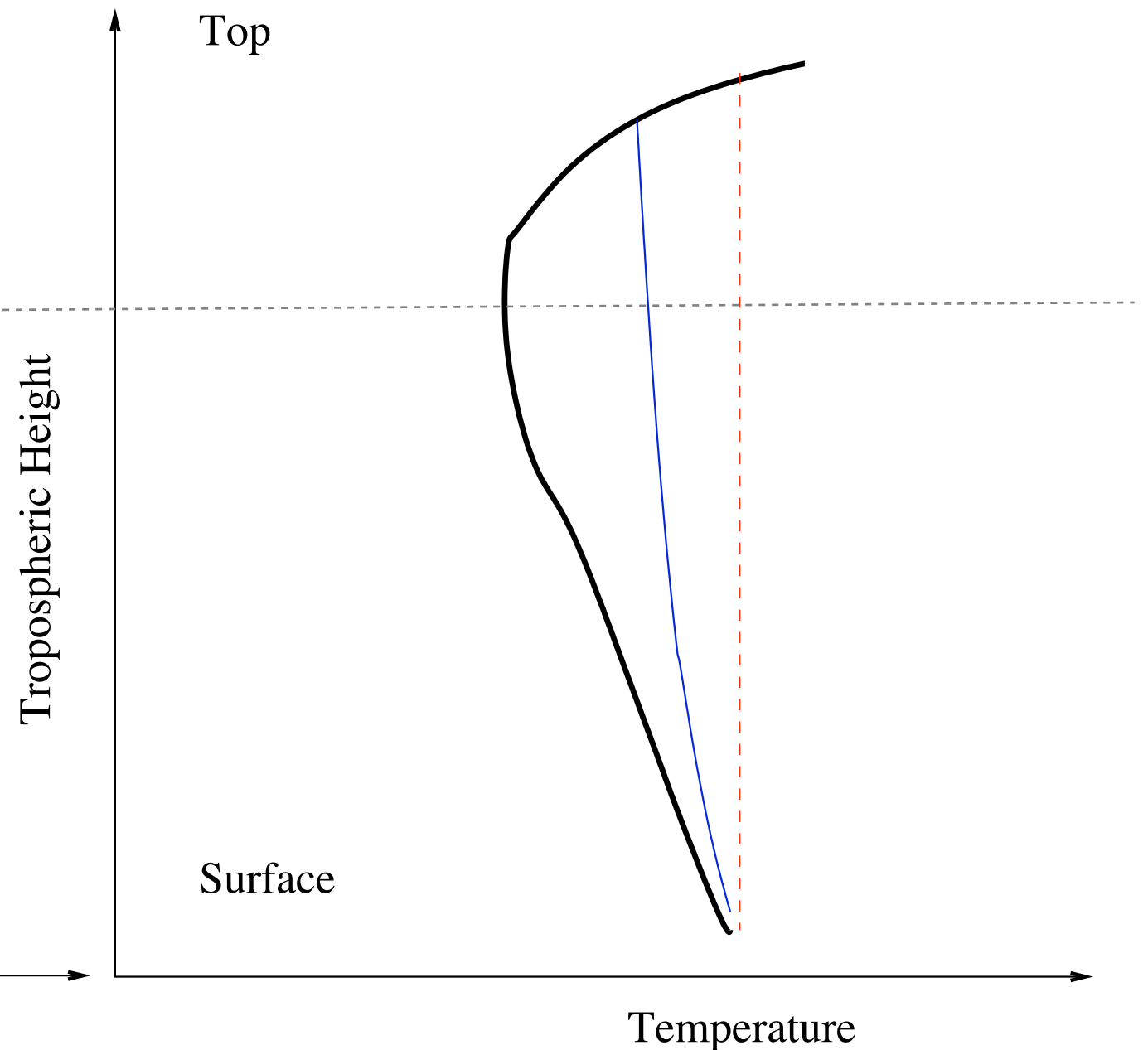
(b) = statistical average of (a)

# Dilute parcel lifting

Dry environment



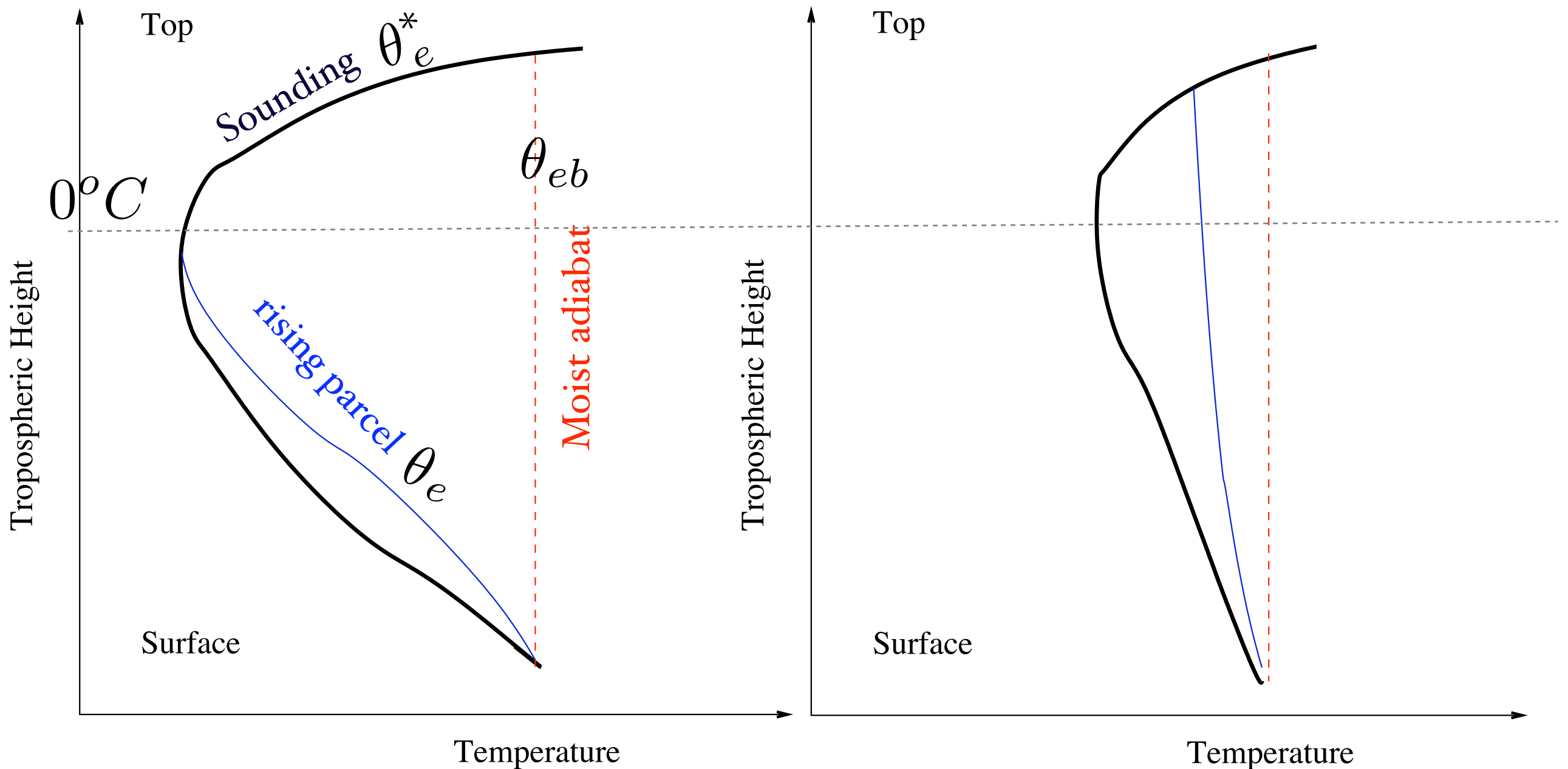
Moist environment



# Dilute parcel lifting

Dry troposphere with positive CAPE favors congestus clouds

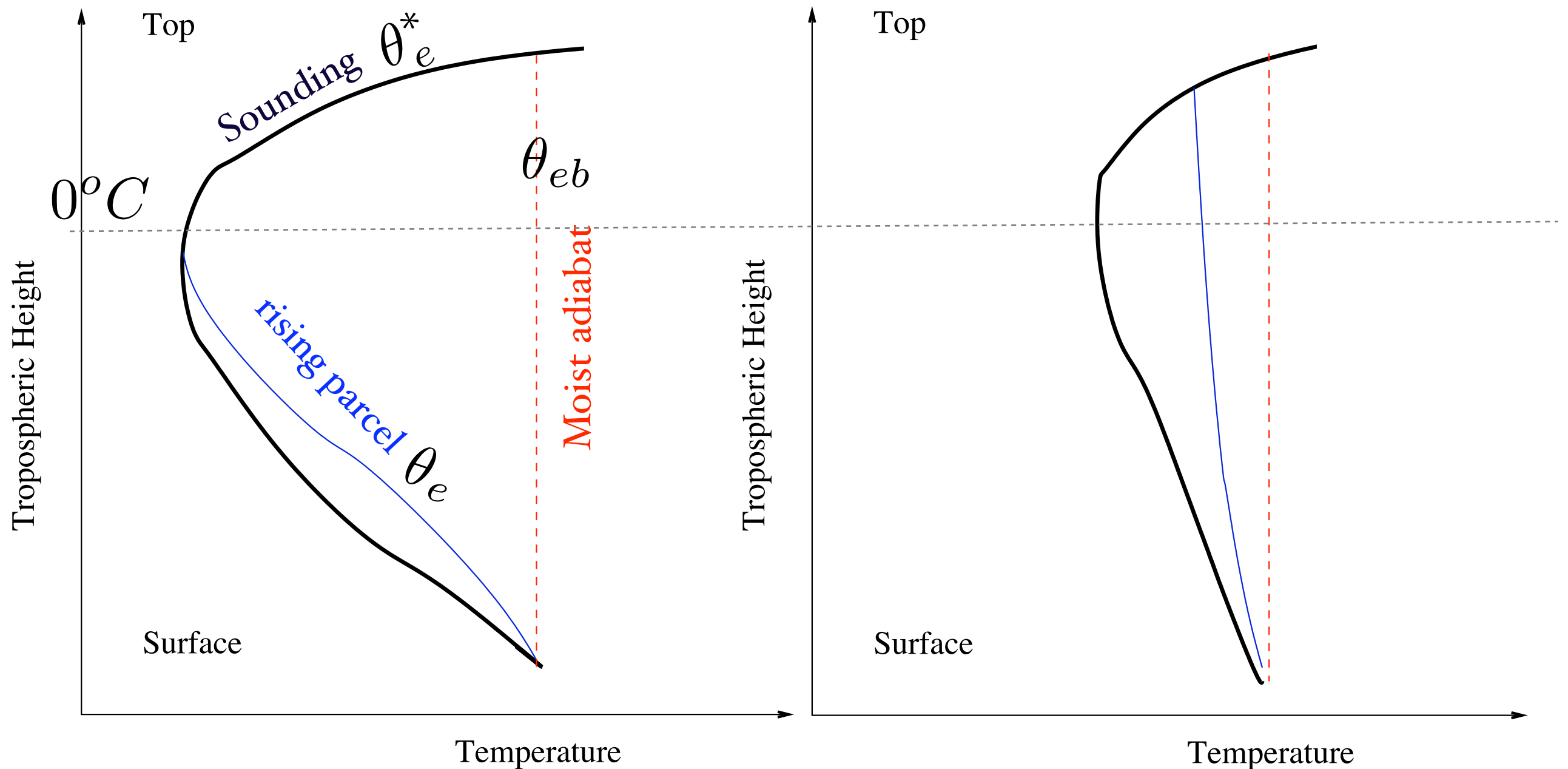
Moist environment



# Dilute parcel lifting

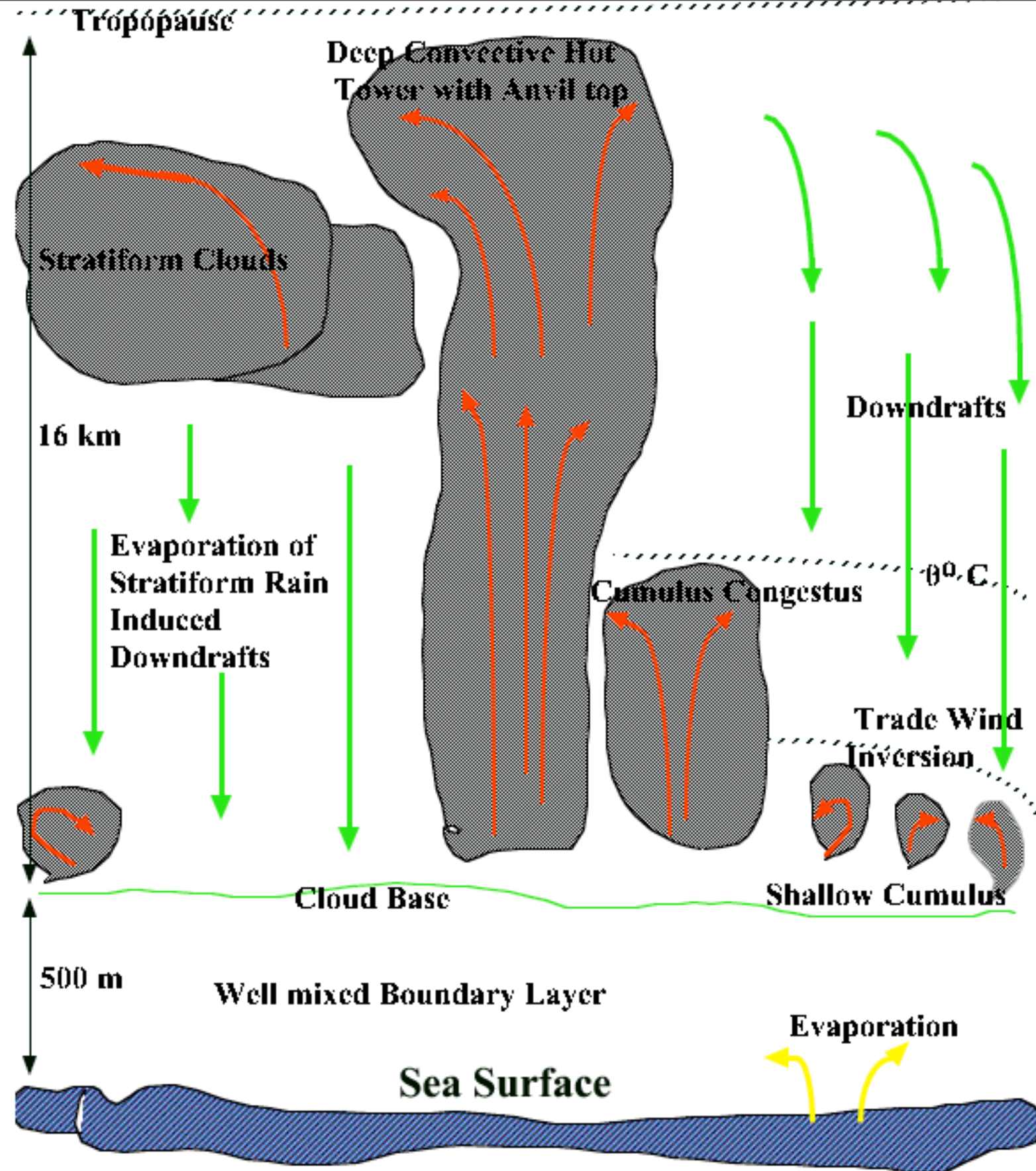
Dry troposphere with positive CAPE favors congestus clouds

Deep convection is allowed (beyond freezing level) when troposphere is moist





# Conceptual elementary convective cell and its life cycle



**THE THREE CLOUD MODEL: AN IDEALIZED PICTURE**



# Stochastic Multicloud Model

- Lattice points take values 0,1,2, or 3 ==> a **Four state Markov chain**
- **Three order parameters c,d,s** taking values 1 or 0, at a given lattice point according to whether there is a congestus, a deep, a stratiform cloud or none: ==> **multistate state multivariable stochastic process**

C		S
	D	
C		
	C	
S		D

# Intuitive transition rules

- A clear sky site turns into a congestus site with high probability if  $CAPE > 0$  and middle troposphere is dry.
- A congestus or clear sky site turns into a deep site with high probability if  $CAPE > 0$  and middle troposphere is moist.
- A deep site turns into a stratiform site with high probability.
- All three cloud types decay naturally according to prescribed decay rates.

# Transition probabilities

- Four state Markov chain at given site

$$X_t = \begin{cases} 0 & \text{at clear sky site} \\ 1 & \text{at congestus site} \\ 2 & \text{at deep site} \\ 3 & \text{at stratiform site} \end{cases}$$

- $\text{Prob}\{X_{t+\Delta t} = k / X_t = l\} = R_{lk}\Delta t + O(\Delta t^2), l \neq k$
- Transition probability matrix, with  $P_{lk} = R_{lk}\Delta t$

$$M = \begin{bmatrix} 1 - P_{01} - P_{02} & P_{01} & P_{02} & 0 \\ P_{10} & 1 - P_{10} - P_{12} & P_{12} & 0 \\ P_{20} & 0 & 1 - P_{20} - P_{23} & P_{23} \\ P_{30} & 0 & 0 & 1 - P_{30} \end{bmatrix}$$

# Equilibrium distribution:

depends only on the transition rates not on time stepping

$$\pi = \frac{1}{Z} \begin{pmatrix} 1 \\ \frac{R_{01}}{R_{10} + R_{12}} \\ \frac{1}{R_{20} + R_{23}} \left( R_{02} + \frac{R_{12} R_{01}}{R_{10} + R_{12}} \right) \\ \frac{R_{23}}{R_{30}} \frac{1}{R_{20} + R_{23}} \left( R_{02} + \frac{R_{12} R_{01}}{R_{10} + R_{12}} \right) \end{pmatrix}$$

# Transition rates

- C = normalized CAPE, D = mid-trop. dryness
- tau\_kl transition time scales
- Local interactions ignored for simplicity

$$R_{01} = \frac{1}{\tau_{01}} \Gamma(C) \Gamma(D)$$

$$R_{02} = \frac{1}{\tau_{02}} \Gamma(C) (1 - \Gamma(D))$$

$$R_{10} = \frac{1}{\tau_{10}} \Gamma(D)$$

$$R_{12} = \frac{1}{\tau_{12}} \Gamma(C) (1 - \Gamma(D))$$

$$R_{20} = \frac{1}{\tau_{20}} [1 - \Gamma(C)]$$

$$R_{23} = \frac{1}{\tau_{23}} \text{ or } \frac{\Gamma(C)}{\tau_{23}}$$

$$\Gamma(x) = 1 - e^{-x} \text{ if } x > 0$$

$$R_{30} = 1/\tau_{30}$$

$$\Gamma(x) = 0 \text{ if } x \leq 0$$

# Design Principles for Transition Rates

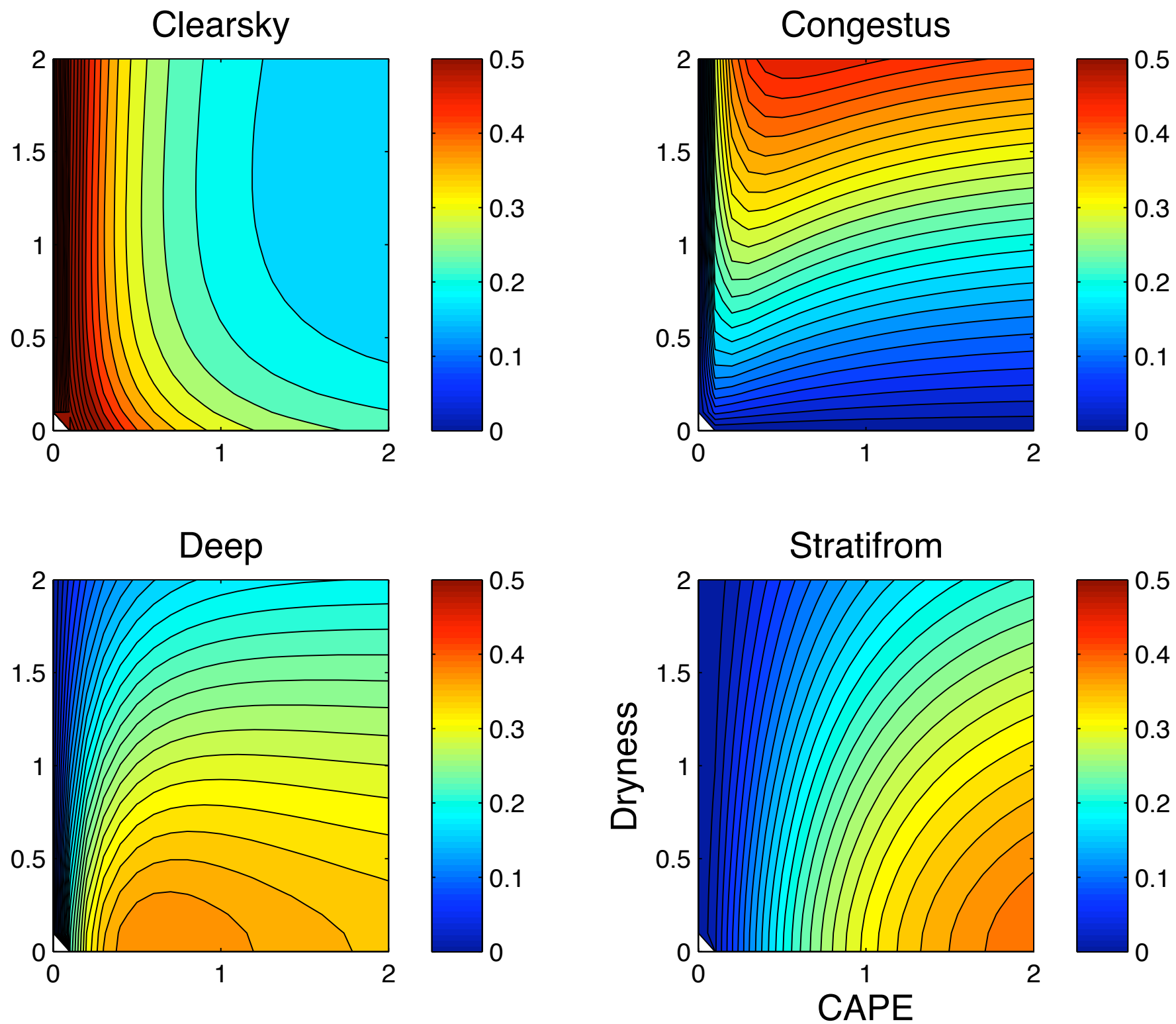
- Ideally they should be inferred from Obs or CRM data
- Cloud formation time scales  $\ll$  decay time scale
- An effective stratiform time scale of about 3 hours, to agree with previous work.
- Equilibrium/stationary distribution will favor either, congestus, deep, or stratiform clouds according to large scale state in away that is consistent with Obs.



# Two typical choices

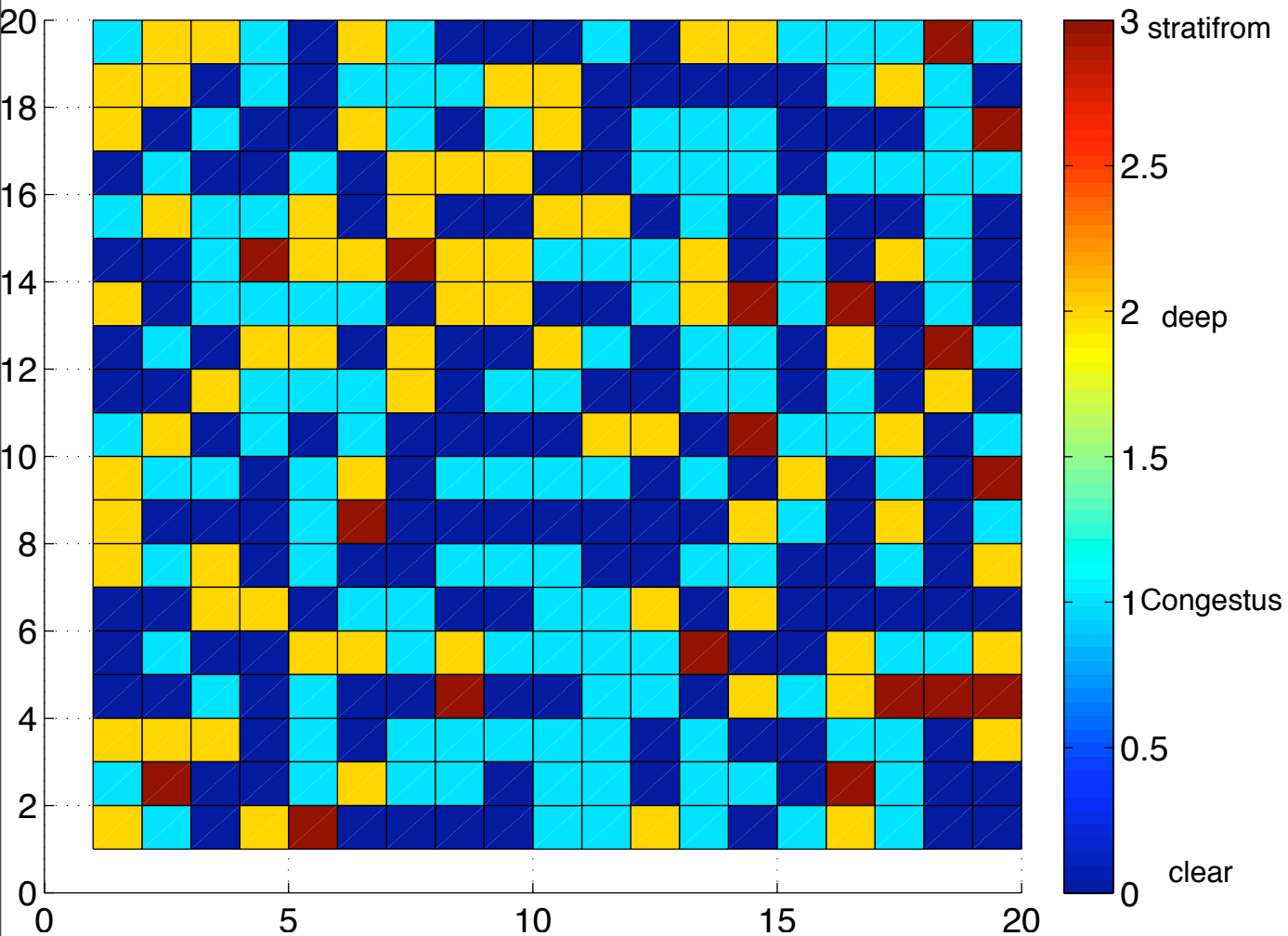
Time	description	Case 1	Case 2
$\tau_{01}$	formation of congestus	1 hour	3 hours
$\tau_{10}$	decay of congestus	5 hours	2 hours
$\tau_{12}$	conversion of congestus to deep	1 hour	2 hours
$\tau_{02}$	formation of deep	2 hours	5 hours
$\tau_{23}$	conversion of deep to stratiform	3 hours	0.5 hour
$\tau_{20}$	decay of deep	5 hours	5 hours
$\tau_{30}$	decay of stratiform	5 hours	24 hours

# Equilibrium distribution (filling fractions)

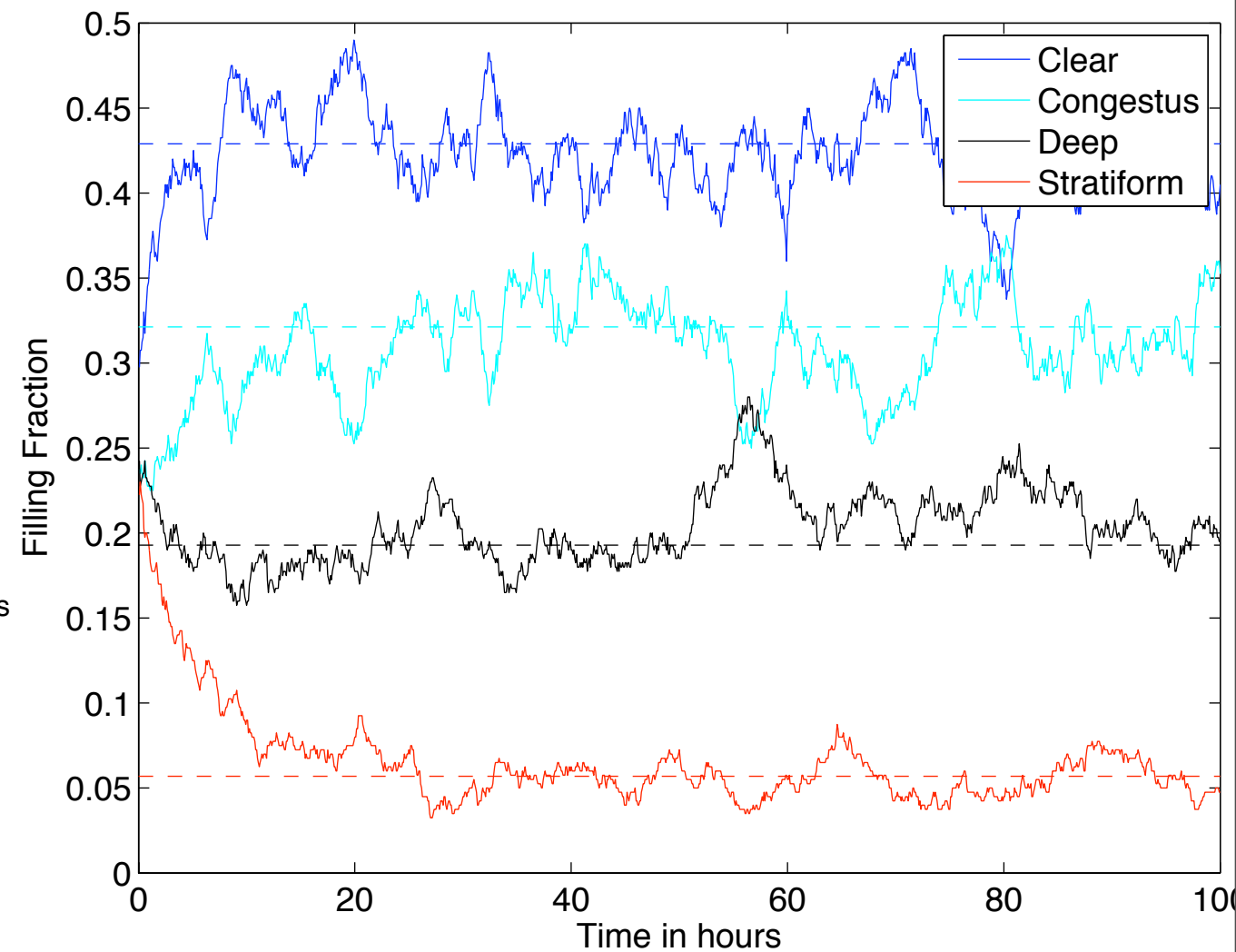


# Time evolution and statistics of filling fraction

Cloud cover Realization on 20× 20 points lattice:  $C=0.25$ ,  $D=1.2$



$C=0.25$ ,  $\Delta = 1.2$

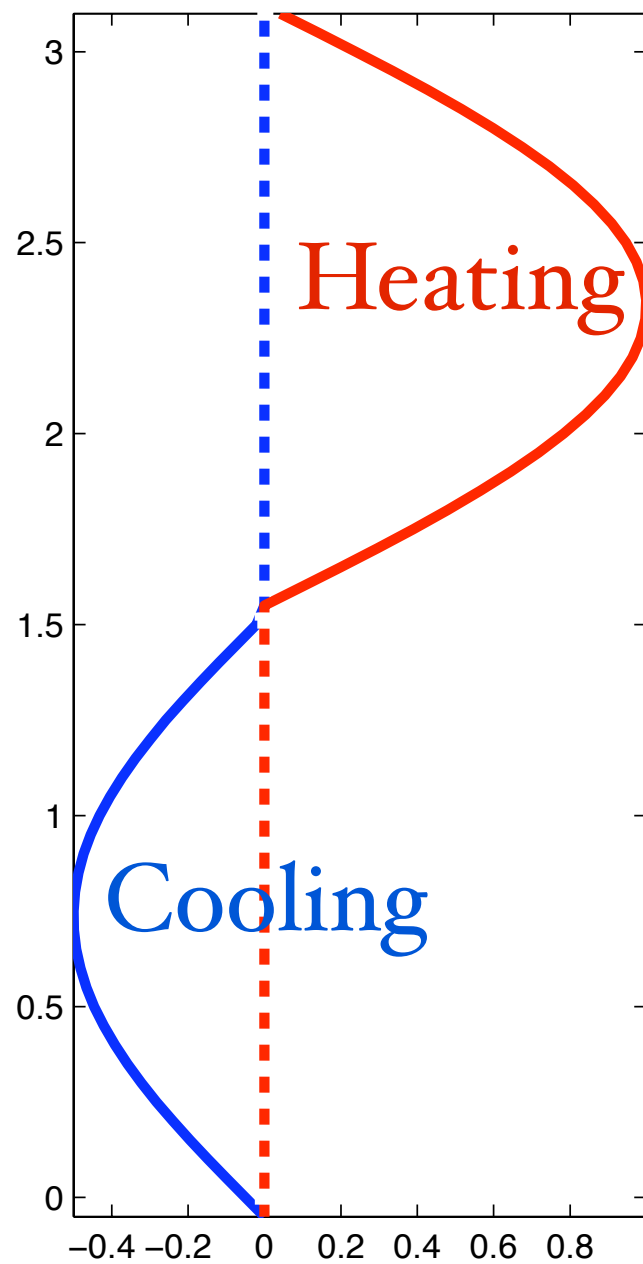


# Coupling to deterministic Multicloud Equations

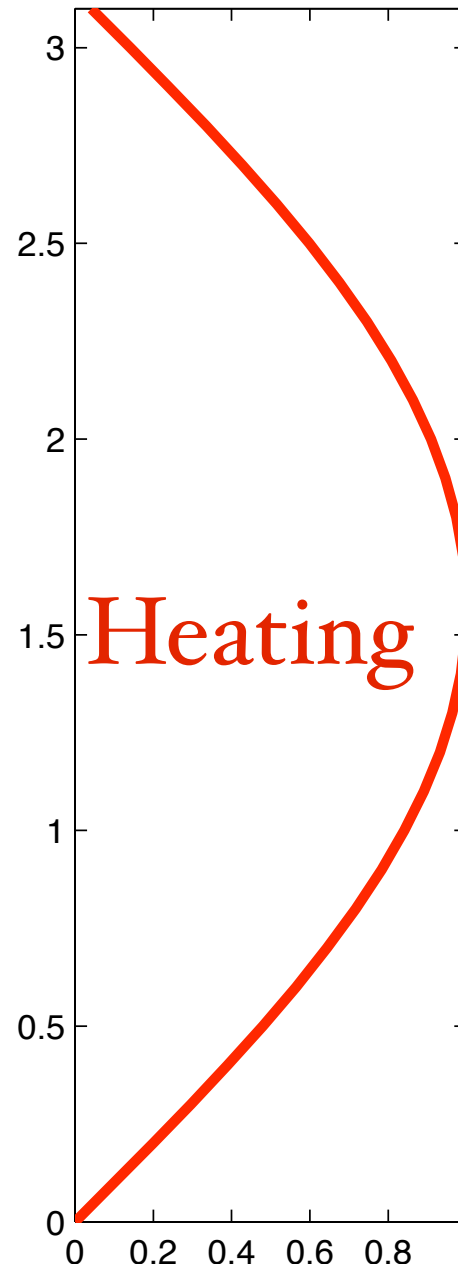
- Couple stochastic multicloud model to deterministic model convective parametrization discretized on coarse mesh
- A lattice of convective sites is imbedded within each coarse grid cell
- Filling fractions determine heating strength associated with each cloud type

# Heating profiles in large-scale multicloud model (KM)

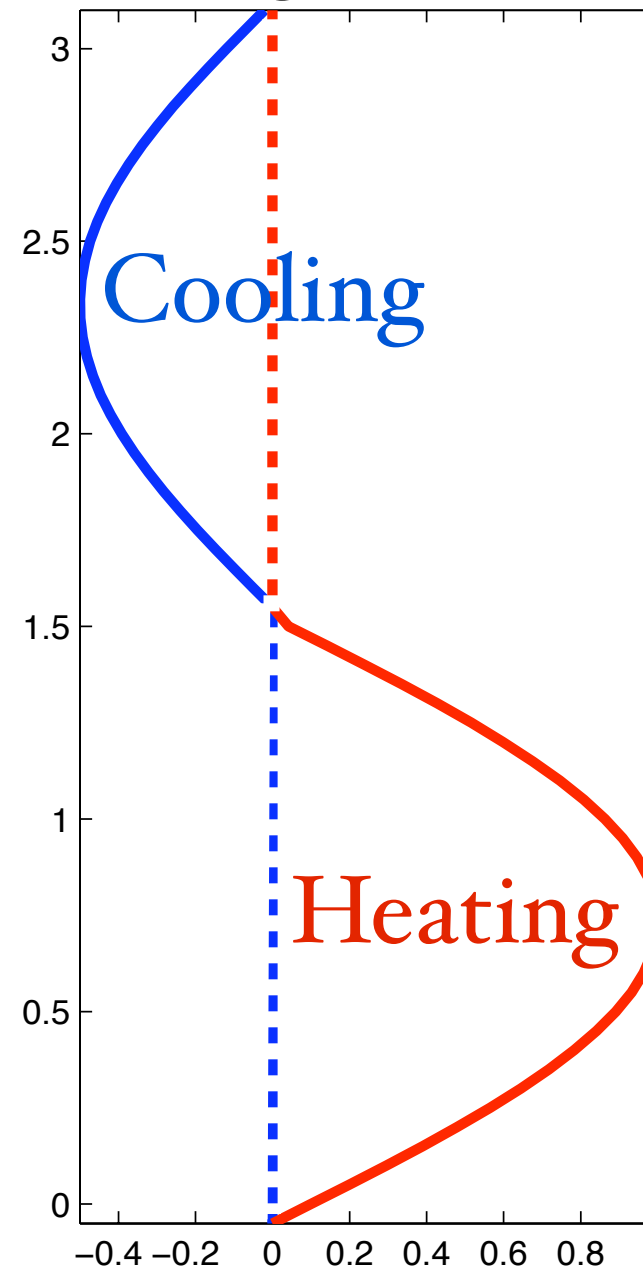
Stratiform



Deep



Congestus



*Large scale multcloud deterministic equations:  
Simple case of a one-column model*

Governing equations:

$$\frac{d\theta_1}{dt} = H_d - Q_{R,1}^0 - \theta_1/\tau_R$$

$$\frac{d\theta_2}{dt} = -H_s + H_d - Q_{R,2}^0 - \theta_2/\tau_R$$

$$\frac{dq}{dt} = -P + \frac{D}{H}$$

$$\frac{d\theta_{eb}}{dt} = \frac{1}{\tau_e} (\theta_{eb}^* - \theta_{eb}) - \frac{D}{h}$$



## Closure assumptions and coupling to stochastic model:

$$D = m_0 \left( 1 + \mu \frac{H_s - H_c}{Q_{R,1}^0} \right)^+ (\theta_{eb} - \theta_{em}),$$

$$H_d = \langle d_{ij} \rangle \left( \sqrt{\overline{CAPE}/H_m} + \frac{1}{\langle \bar{d} \rangle \tau_c} (a_1 \theta_{eb} + a_2 q - a_0 (\theta_1 + \gamma_2 \theta_2)) \right)^+$$

$$H_c = \alpha_c \langle c_{ij} \rangle \sqrt{(\overline{CAPE} + R * (\theta_{eb} - \gamma(\theta_1 + 2\theta_2)))^+ / H_m}$$

$$H_s = \langle s_{ij} \rangle \sqrt{(\overline{CAPE} + R * (\theta_{eb} - \gamma(\theta_1 + 0.1\theta_2)))^+ / H_m / 4}$$

$$P = \frac{2\sqrt{2}}{\pi} H_d$$

# Radiative convective equilibrium of coupled system

- Given external parameters: radiative cooling,  $Q_{R,1}^0$ , and discrepancies  $\theta_{eb}^* - \bar{\theta}_{eb}$  and  $\bar{\theta}_{eb} - \bar{\theta}_{em}$ , the RCE equations together with the equilibrium distribution relating the area fractions of convection  $\langle \bar{c} \rangle$ ,  $\langle \bar{d} \rangle$ ,  $\langle \bar{s} \rangle$ , to CAPE and dryness through

$$\Delta = \frac{\theta_{eb} - \theta_{em}}{\bar{\theta}_0}, \bar{\theta}_0 = 10 \text{ Kelvin}$$

$$C = CAPE/CAPE_0, CAPE_0 = 2000 J/kg$$

- RCE solution with  $\theta_1 = \theta_2 = q = 0$  is uniquely determined

# Coarse graining and mean-field eqns

- $N_x$  = number of sites filled with cloud type  $x$
- $N = N_c + N_d + N_s + N_{cs}$
- cloud area fractions:  $N_x/N$
- System of **Birth-death processes with exact stochastic dynamics** because no coupling between lattice sites

$$Prob\{N_c^{t+\Delta t} = k + 1 / N_c^t = k\} = N_{cs}R_{01}\Delta t + o(\Delta t)$$

$$Prob\{N_c^{t+\Delta t} = k - 1 / N_c^t = k\} = N_c(R_{10} + R_{12})\Delta t + o(\Delta t)$$

$$Prob\{N_d^{t+\Delta t} = k + 1 / N_d^t = k\} = (N_{cs}R_{01} + N_cR_{12})\Delta t + o(\Delta t)$$

...

# Mean field equations:

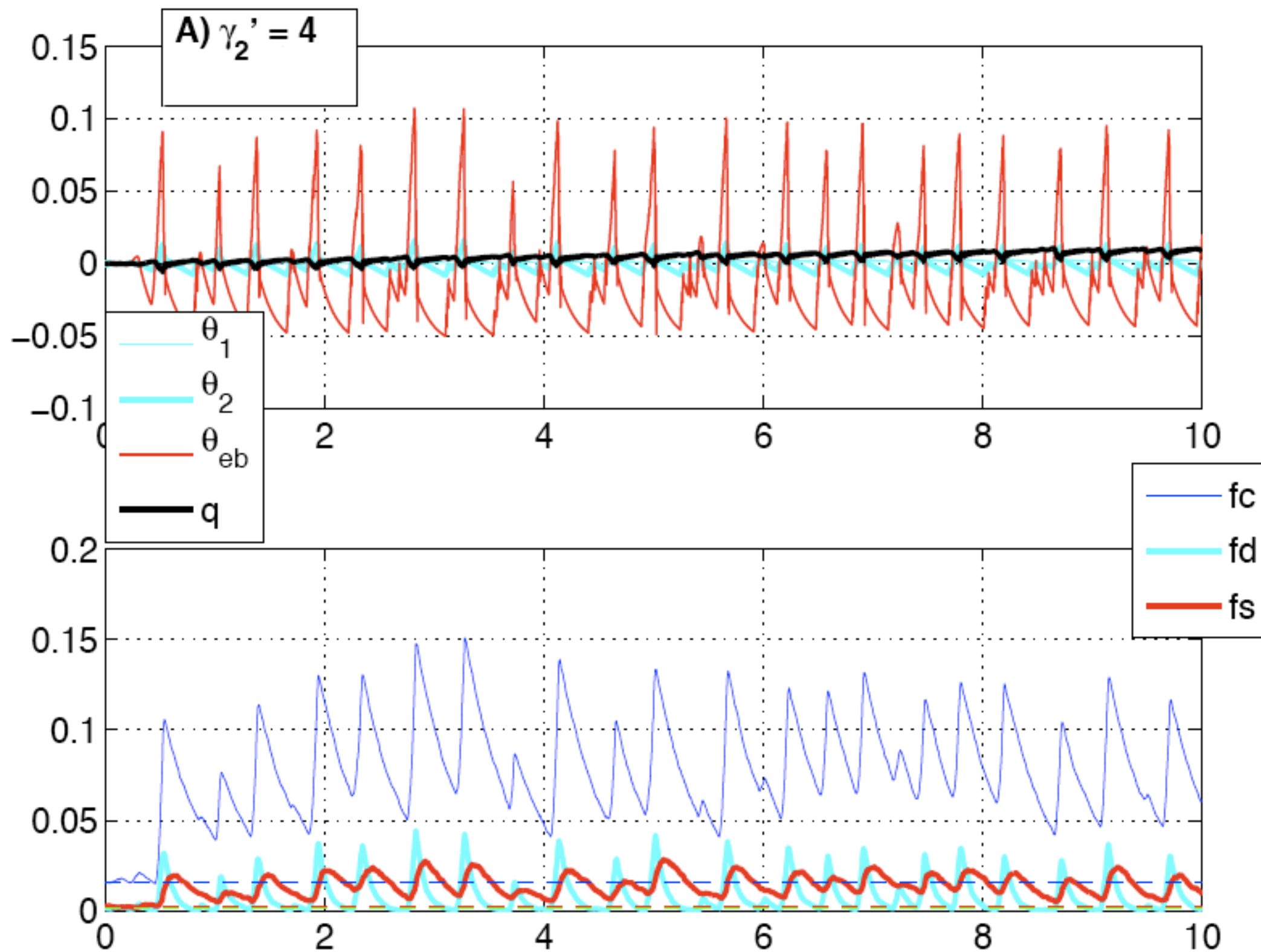
$$\dot{\sigma}_c = (1 - \sigma_c - \sigma_d - \sigma_s)R_{01} - \sigma_c(R_{10} + R_{12})$$

$$\dot{\sigma}_d = (1 - \sigma_c - \sigma_d - \sigma_s)R_{02} + \sigma_c R_{12} - \sigma_d(R_{20} + R_{23})$$

$$\dot{\sigma}_s = \sigma_d R_{23} - \sigma_s R_{30}.$$

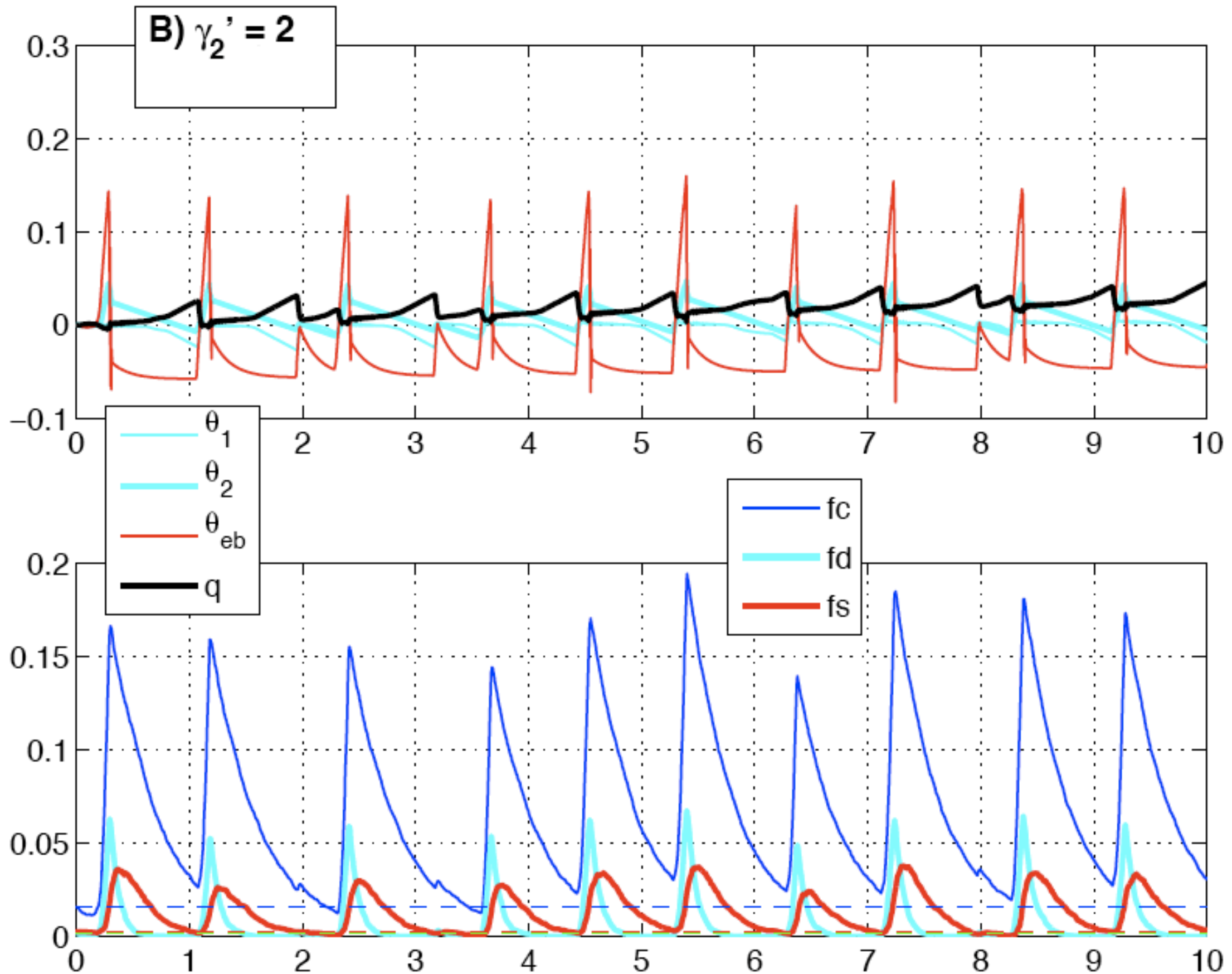
**Steady State is the Equilibrium distribution**

# Coupled stochastic-deterministic



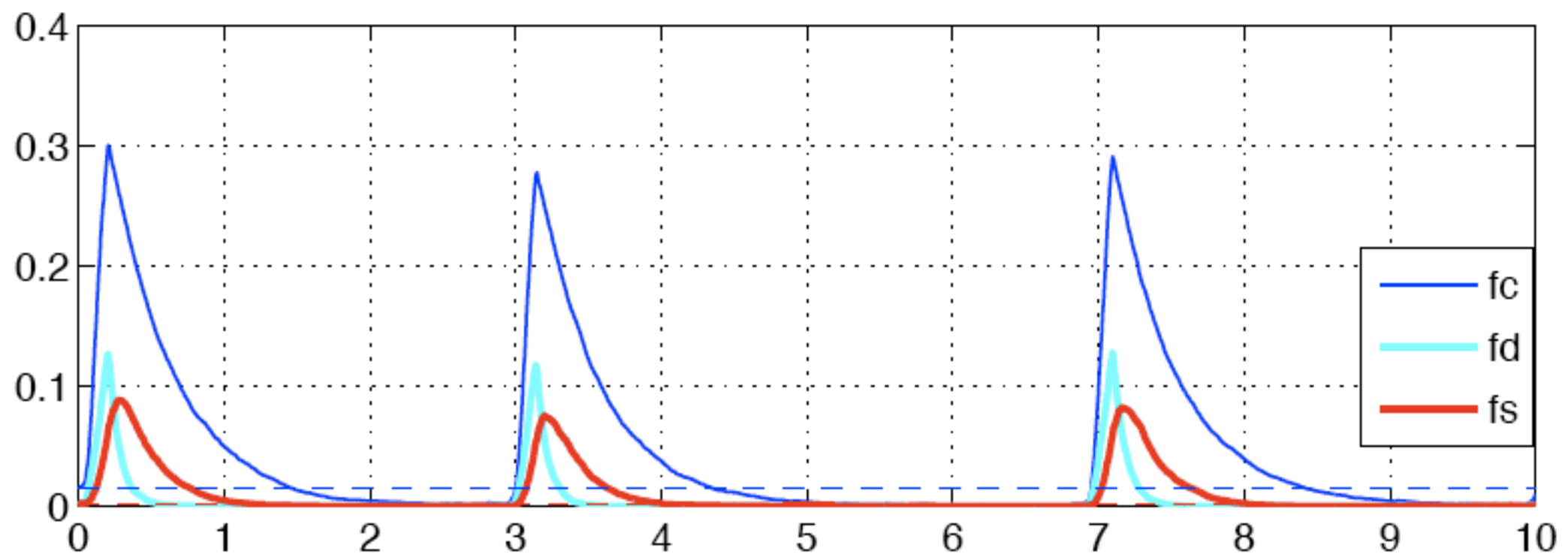
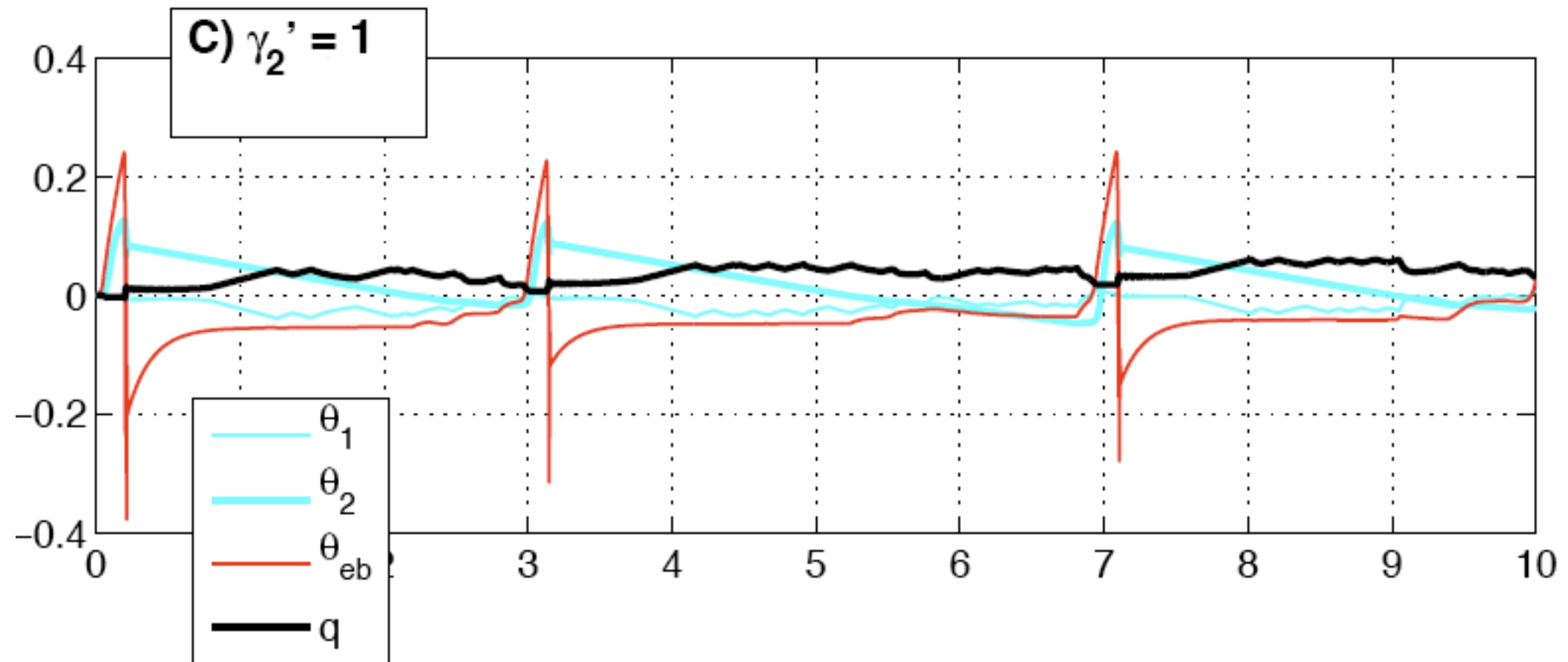
$\theta_{eb}(\text{CAPE}) \gg \gg \text{congestus} \gg \gg \text{deep} \gg \gg \text{stratiform}$

# Slower low-level buoyancy time scale





# ...and much slower



# Summary

- Stochastic model coupled to deterministic multicloud model of KM provides an alternative for evolving from congestus, to deep, to stratiform heating modes
- Stochastic model responds directly to CAPE variations and excites heating modes in a feed-back loop consistent with stochastic resonance theory.
- Low-level buoyancy time scale plays crucial role in stochastic response time scale.
- Statistical accumulation of congestus, deep, and stratiform sites in a fashion similar to stretched building block of Mapes et al. 2006

# Remarks

- Local interactions ignored...might be important for better organization
- One major role of congestus heating is low level convergence of moisture. **Cannot be captured here by the one column model.**
- Also congestus moistening by detrainment is not included in multcloud model for simplicity.
- This kind of ideas can be easily adapted to realistic convective parametrizations ... work in progress

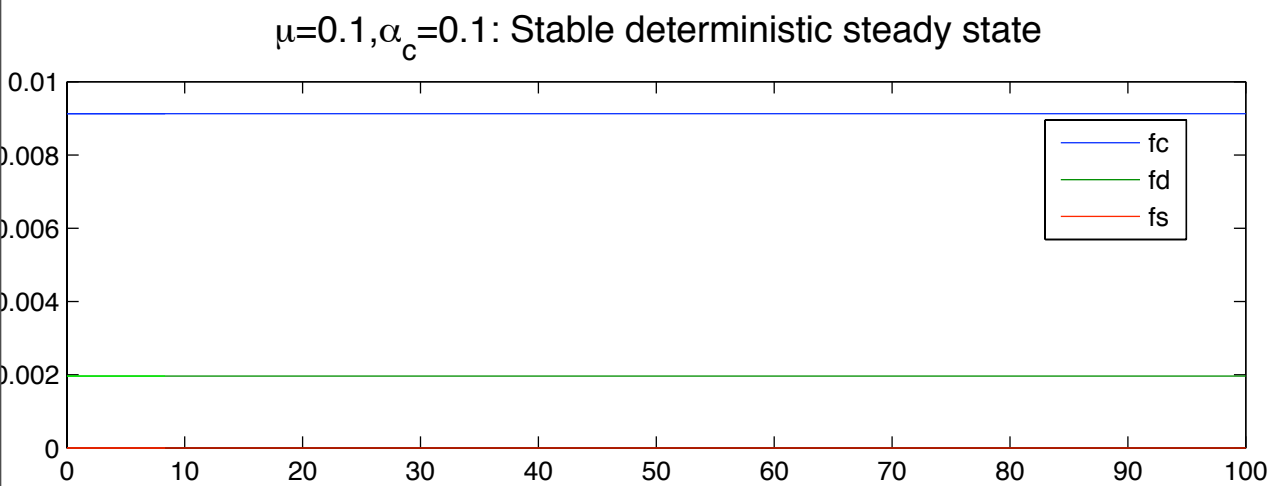
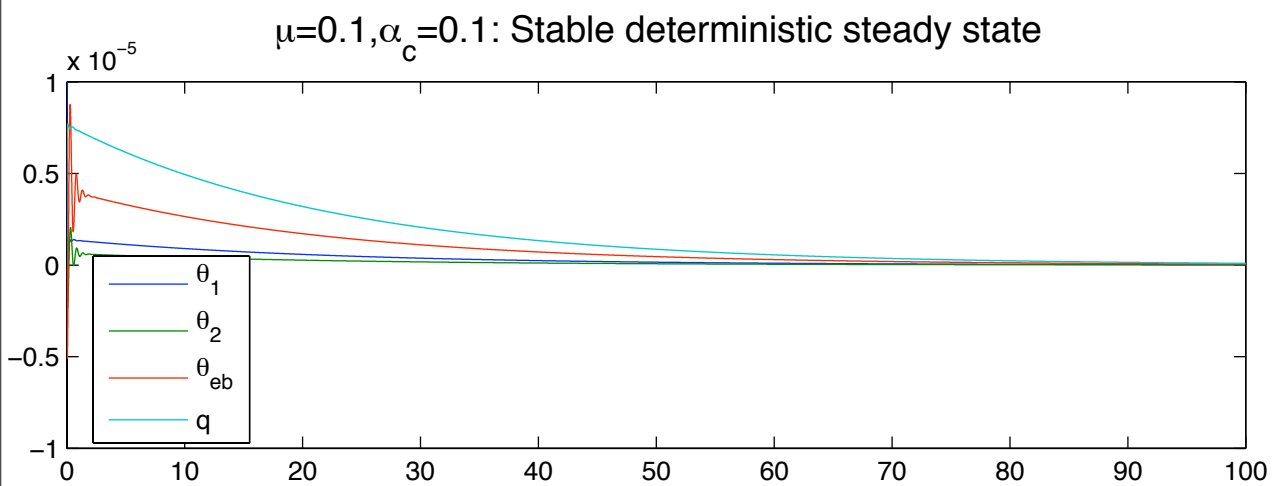
**END**

# Transition time scales

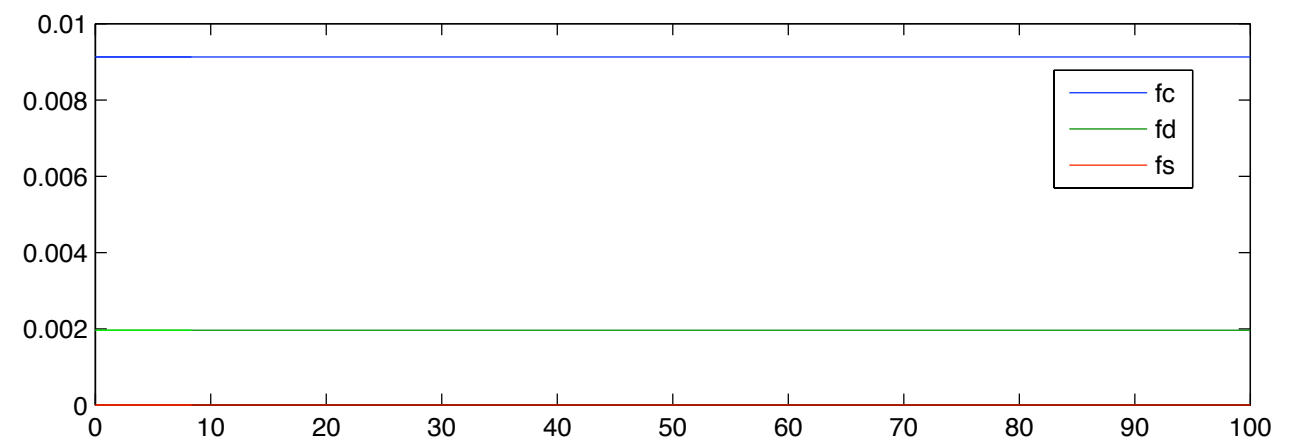
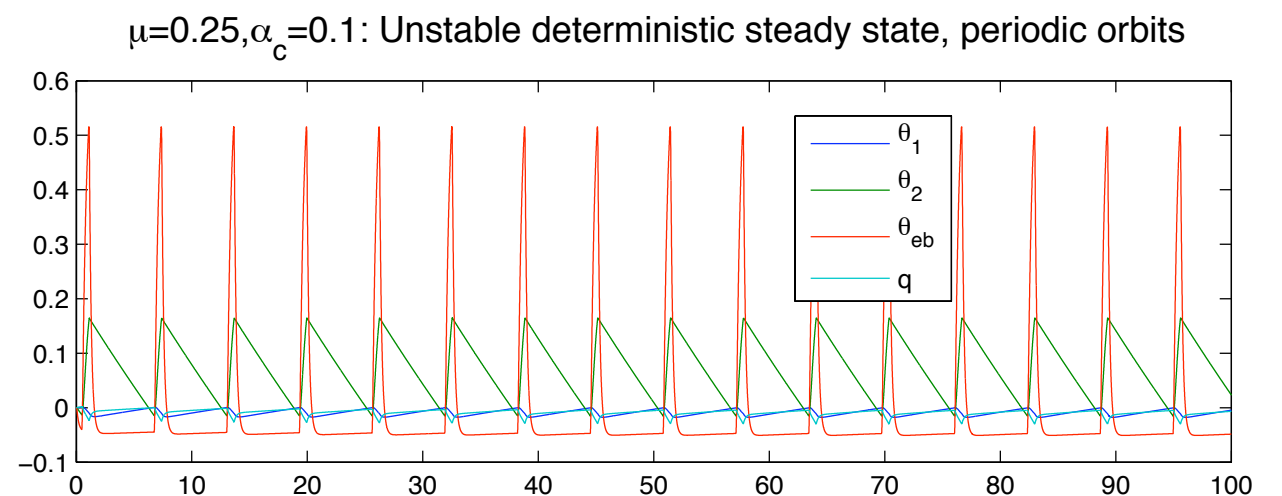
$\tau_{01}$	free formation of congestus	1 hour
$\tau_{02}$	free formation of deep	4 hours
$\tau_{12}$	conversion of congestus to deep	1 hour
$\tau_{23}$	conversion of deep to stratiform	1.5 hours
$\tau_{10}$	free decay of congestus	5 hours
$\tau_{20}$	free decay of deep	5 hours
$\tau_{30}$	free decay of stratiform	5 hours

# Dynamics of deterministic equations

## Stable for $\mu=0.1$



## Periodic orbits at $\mu=0.25$



fixed area fractions