

On the Strength of Fraïssés conjecture..

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The embeddability relation on Linear Orderings

A **linear ordering** (a.k.a. total ordering) is a structure $\mathcal{L} = (L, \leq)$, where \leq is a is transitive, reflexive, antisymmetric and $\forall x, y(x \leq y \vee y \leq x)$.

A linear ordering \mathcal{A} **embeds** into another linear ordering \mathcal{B} if \mathcal{A} is isomorphic to a subset of \mathcal{B} . We write $\mathcal{A} \preceq \mathcal{B}$.

\mathcal{A} and \mathcal{B} are **equimorphic** if $\mathcal{A} \preceq \mathcal{B}$ and $\mathcal{B} \preceq \mathcal{A}$.
We denote this by $\mathcal{A} \sim \mathcal{B}$.

We are interested in properties of linear orderings that are preserved under equimorphisms, of course, from a logic viewpoint.

- 1 Equimorphism types of Linear Orderings
- 2 Computable Mathematics
- 3 Reverse Mathematics
- 4 Lengths of WQOs

Hausdorff rank

Definition:

- Given a l.o. \mathcal{L} , we define another l.o. \mathcal{L}' by identifying the elements of \mathcal{L} which have finitely many elements in between.
- Then we define $\mathcal{L}^0 = \mathcal{L}$, $\mathcal{L}^{\alpha+1} = (\mathcal{L}^\alpha)'$, and take direct limits when α is a limit ordinal.
- $\text{rk}(\mathcal{L})$, the **Hausdorff rank** of \mathcal{L} , is the least α such that \mathcal{L}^α is finite.

Examples: $\text{rk}(\mathbb{N}) = \text{rk}(\mathbb{Z}) = 1$, $\text{rk}(\mathbb{Z} + \mathbb{Z} + \mathbb{Z} + \cdots) = 2$,
 $\text{rk}(\omega^\alpha) = \alpha$, $\text{rk}(\mathbb{Q}) = \infty$.

If $\mathcal{A} \preceq \mathcal{B}$, then $\text{rk}(\mathcal{A}) \leq \text{rk}(\mathcal{B})$. So, $\mathcal{A} \sim \mathcal{B} \Rightarrow \text{rk}(\mathcal{A}) = \text{rk}(\mathcal{B})$

Scattered and Indecomposable linear orderings

Two other properties are preserved under equimorphism:

Definition: \mathcal{L} is **scattered** if $\mathbb{Q} \not\preceq \mathcal{L}$.

Observation: A linear ordering \mathcal{L} is scattered

\Leftrightarrow for some α , \mathcal{L}^α is finite

$\Leftrightarrow \text{rk}(\mathcal{L}) \neq \infty$.

Definition: \mathcal{L} is **indecomposable** if whenever

$\mathcal{L} \preceq \mathcal{A} + \mathcal{B}$, either $\mathcal{L} \preceq \mathcal{A}$ or $\mathcal{L} \preceq \mathcal{B}$.

Example: ω , ω^* , ω^2 are indecomposable. \mathbb{Z} is not.

The structure of the scattered linear orderings

Theorem: [Laver '71] Every scattered linear ordering can be written as a **finite sum** of indecomposable ones.

Theorem: [Fraïssé's Conjecture '48; Laver '71]
Every ctble. indecomposable linear ordering can be written as either an ω -sum or an ω^* -sum of indecomposable l.o. of smaller rank.

Theorem: [Fraïssé's Conjecture '48; Laver '71]
The scattered linear orderings form a **well-quasi-ordering** with respect to embeddability.
(i.e., there are no infinite descending sequences and no infinite antichains.)

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Up to equimorphism, hyperarithmetic is computable.

Obs: If α is an ordinal and $\mathcal{L} \sim \alpha$, then \mathcal{L} is isomorphic to α .

Proof: $\mathcal{L} \preceq \alpha \Rightarrow \mathcal{L}$ is an ordinal and $\mathcal{L} \leq \alpha$.

$\alpha \preceq \mathcal{L} \Rightarrow \alpha \leq \mathcal{L}$ and hence $\mathcal{L} \cong \alpha$.

Theorem

Every hyperarithmetic linear ordering is equimorphic to a computable one.

Lemma

- *Every hyperarithmetic scattered l.o. has rank $< \omega_1^{\text{CK}}$.*
- *If $\text{rk}(\mathcal{L}) < \omega_1^{\text{CK}}$ then \mathcal{L} is equimorphic to a computable l.o.*

Equimorphism types

Definition: Let \mathbb{L} be the partial ordering of equimorphism types of countable linear orderings, ordered by embeddability.

Let \mathbb{L}_α be the restriction of \mathbb{L} to the linear orderings of rank $< \alpha$.

Theorem

For every ordinal α ,

\mathbb{L}_α is computably presentable $\Leftrightarrow \alpha < \omega_1^{CK}$.

Furthermore, a primitive recursive presentation of \mathbb{L}_α can be computed uniformly from $\alpha < \omega_1^{CK}$.

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Fraïssé's Conjecture

Theorem [Fraïssé's Conjecture '48; Laver '71]

FRA: The countable linear orderings form a
WQO with respect to embeddability.
(i.e., there are no infinite descending sequences
and no infinite antichains.)

Obs: $\Pi_2^1\text{-CA}_0 \vdash \text{FRA}$. By Laver's original proof.

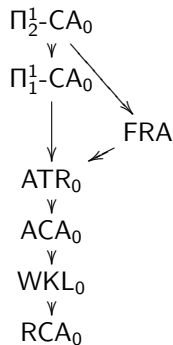
Obs: $\text{FRA} \not\Rightarrow \Pi_2^1\text{-CA}_0$. Because no true Π_2^1 statement does.

Theorem[Shore '93] $\text{FRA} \Rightarrow \text{ATR}_0$ over RCA_0 .

Furthermore, the statement

“countable well-orderings form a WQO under embeddability”
is equivalent to ATR_0 over RCA_0 .

Conjecture:[Clote '90][Simpson '99][Marcone]
FRA is equivalent to ATR_0 over RCA_0 .



Fraïssé's conjecture again.

Claim

$RCA_0 + FRA$ is the least system where it is possible to develop a reasonable theory of equimorphism types of linear orderings.

Theorem

The following are equivalent over RCA_0

- *FRA;*
- *Every scattered lin. ord. is a finite sum of indecomposables;*
- *Every indecomposable lin. ord. is either an ω -sum or an ω^* -sum of indecomposable l.o. of smaller rank.*
- *Jullien's characterization of extendible linear orderings*

A Partition theorem

Theorem:[Folklore] If we color \mathbb{Q} with finitely many colors, there exists an embedding $\mathbb{Q} \rightarrow \mathbb{Q}$ whose image has only one color.

Theorem:[Laver '72]

For every ctble \mathcal{L} , there exists $n_{\mathcal{L}} \in \mathbb{N}$, such that:
if \mathcal{L} is colored with finitely many colors, there is an embedding $\mathcal{L} \rightarrow \mathcal{L}$ whose image has at most $n_{\mathcal{L}}$ many colors.

Theorem

FRA is implied by Laver's Theorem above over RCA_0 .

Conjecture

FRA is equivalent to Laver's Theorem above over RCA_0 .

Robust Systems

FRA is a *Robust* system, as the big five, in the sense that small modifications of it are equivalent to it.

Better quasi orderings

Thm:[Laver 71] The scattered linear orderings form a
Better quasi ordering under embeddability.

The notion of *Better-quasi-ordering* is stronger than WQO,
and enjoys more closer properties.

Marcone studied the reverse mathematics of FRA though the
study of Better-quasi-orderings.

For instance he showed that

if $\text{ATR}_0 \vdash \text{FRA}$, it would need a **completely new proof**,
as some lemmas used in Laver's proof require $\Pi_1^1\text{-CA}_0$.

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Well-quasi-orderings

Definition: A *well-quasi-ordering* (*wqo*), is quasi-ordering which has no infinite descending sequences and no infinite antichains.

Example: The following sets are WQO under an embeddability relation:

- finite strings over a finite alphabet [Higman 52];
- finite trees [Kruskal 60],
- labeled transfinite sequences with finite labels [Nash-Williams 65];
- scattered linear orderings [Laver 71];
- finite graphs [Robertson, Seymour 04].

Length

Obs: Every linearization of a wpo is well-ordered.

(A *linearization* of (P, \leq_P) is a *linear ordering* \leq_L of P

such that $x \leq_P y \Rightarrow x \leq_L y$.)

Definition: The *length* of $\mathcal{W} = (W, \leq_W)$ is

$o(\mathcal{W}) = \sup\{\text{ordTy}(W, \leq_L) : \text{where } \leq_L \text{ is a linearization of } \mathcal{W}\}.$

Def: $\mathbb{B}ad(\mathcal{W}) = \{\langle x_0, \dots, x_{n-1} \rangle \in W^{<\omega} : \forall i < j < n (x_i \not\leq_W x_j)\},$

Note: \mathcal{W} is a wpo $\Leftrightarrow \mathbb{B}ad(\mathcal{W})$ is well-founded.

Theorem: [De Jongh, Parikh 77] $o(\mathcal{W}) + 1 = \text{rk}(\mathbb{B}ad(\mathcal{W}))$

Friedman's result

Theorem: [Kruskal 60] Let \mathcal{T} be the set of finite trees ordered by $T \preceq S$ if there is an embedding $f : T \rightarrow S$ preserving \leq and *g.l.b.* Then \mathcal{T} is a WQO.

Theorem: [Friedman] The length of \mathcal{T} is $\geq \Gamma_0$.
(where Γ_0 the the proof-theoretic ordinal of ATR_0 .
it's the "least ordinal" that ATR_0 can't prove it's an ordinal.)

Corollary: [Friedman]
(RCA_0) Kruskal's theorem $\Rightarrow \Gamma_0$ well-ordered.
Therefore, ATR_0 cannot imply Kruskal's theorem.

Maximal order types

Theorem: [De Jongh, Parikh 77]

Every wpo \mathcal{W} has a linearization of order type $o(\mathcal{W})$.

We call such a linearization, a *maximal linearization* of \mathcal{W} .

This is why $o(\mathcal{W})$ is often called the *maximal order type* of \mathcal{W} .

Such linearizations have been found in many of the examples, always by different methods.

Question [Schmidt 1979]:

Is the length of a computable wpo computable?

Computable Length

Q: Is the length, or maximal order type, of a computable wpo, computable?

We mentioned that $o(\mathcal{W}) + 1 = \text{rk}(\mathbb{B}\text{ad}(\mathcal{W}))$, where

$$\mathbb{B}\text{ad}(\mathcal{W}) = \{\langle x_0, \dots, x_{n-1} \rangle \in W^{<\omega} : \forall i < j < n (x_i \not\leq_{\mathcal{W}} x_j)\},$$

Since $\mathbb{B}\text{ad}(\mathcal{W})$ is computable and well-founded, it has rank $< \omega_1^{\text{CK}}$.
So, $o(\mathcal{W})$ is a computable ordinal.

Q: Does every computable wpo have a computable maximal linearization?

A computable maximal linearization

Theorem

Every computable wpo has a computable maximal linearization.

Q: Can we find them uniformly?

Theorem

Let \mathbf{a} be a Turing degree. TFAE:

- 1 \mathbf{a} uniformly computes maximal linearizations of comp. wpos.
- 2 \mathbf{a} uniformly computes $0^{(\beta)}$ for every $\beta < \omega_1^{\text{CK}}$.

Back to FRA

Def: Let \mathbb{L}_α be the partial ordering of linear orderings of Hausdorff rank $< \alpha$, modulo equimorphism.

For countable α , \mathbb{L}_α is countable

For computable α , $(\mathbb{L}_\alpha, \preceq)$ is computably presentable

Obs: FRA is equivalent to “ \forall ordinal α (\mathbb{L}_α is WQO)”.

Question: Given α , what is the length of \mathbb{L}_α ?

Finite Hausdorff rank

Theorem ([Marcone, M 08])

*The length of \mathbb{I}_ω is $\epsilon_{\epsilon_{\dots}}$,
the first fixed point of the function $\alpha \mapsto \epsilon_\alpha$*

Def: ACA^+ is the system $\text{RCA}_0 + \forall X (X^{(\omega)} \text{ exists})$.

Note: $\epsilon_{\epsilon_{\dots}}$ is the proof-theoretic ordinal of ACA^+ .

(So $\epsilon_{\epsilon_{\dots}}$ is the least ordinal that ACA^+ can't prove is well-ordered.)

Theorem ([Marcone, M 08])

The following are equivalent over ACA^+ :

- $\epsilon_{\epsilon_{\dots}}$ is well-ordered
- \mathbb{I}_ω is a WQO

A Conjecture

Conjecture:

The following are equivalent:

- $\text{ATR}_0 \not\equiv \text{FRA}$
- There exists $\alpha < \Gamma_0$, s.t. $\text{length}(\mathbb{L}_\alpha) \geq \Gamma_0$.