

*On the Segre invariant for rank 2 vector
bundles on \mathbb{P}^2*

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Moduli, Motives and Bundles – New Trends in Algebraic Geometry

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Moduli space of vector bundles on surfaces

Segre Invariant

A stratification of the moduli space $M_{\mathbb{P}^2}(2; c_1, c_2)$

Applications to Brill-Noether Theory

Let X be a smooth, irreducible complex projective surface.

Definition (Mumford-Takemoto 1972)

Let H be an ample divisor on X . A **vector bundle** E on X is **H-stable**, if for all proper subbundle F ,

$$\mu_H(F) < \mu_H(E)$$

where $\mu_H(\cdot) = \frac{\deg_H(\cdot)}{\text{rank}(\cdot)}$ and $\deg_H(\cdot) = c_1(\cdot) \cdot H$.

Theorem (Maruyama 1977)

Let H be an ample divisor on X . There exists a **moduli space** $M_{X,H}(n; c_1, c_2)$ for H -stable vector bundles of rank n and fixed Chern classes $c_i \in H^{2i}(X, \mathbb{Z})$, for $i = 1, 2$.

Problems:

- ▶ Describe the geography of $M_{X,H}(n; c_1, c_2)$, i.e, given topological invariants n , c_1 and c_2 lying in the admissible range, does there exist a stable vector bundle having these invariants?

$$\Delta(n; c_1, c_2) := 2nc_2 - (n - 1)c_1^2$$

- ▶ What does the moduli space look like, as an algebraic variety? Is it for example, connected, irreducible, rational or smooth?,
- ▶ What does it look as topological space? What is its geometry? What are the singularities of the moduli space?.

Segre invariant

Definition

Let H be an ample divisor on X . For a rank 2 vector bundle E on X the Segre invariant $S_H(E)$ is defined as

$$S_H(E) := \deg_H(E) - 2 \max\{\deg_H(L)\},$$

where the maximum is taken over all subline bundles L of E

- ▶ $S_H(E) = S_H(E \otimes L)$ for all $L \in \text{Pic}(X)$.
- ▶ E is H -stable if and only if $S_H(E) > 0$.
- ▶ The Segre invariant is always a finite number. The set

$$\{\deg_H(L) : L \subset E, L \text{ a line bundle}\},$$

is bounded from above.

► If $L \subset E$ is maximal, then

(i) $S_H(E) = \deg_H(E) - 2 \deg_H(L)$,

(ii) E can be written in the exact sequence

$$0 \rightarrow L \rightarrow E \rightarrow L' \otimes I_Z \rightarrow 0$$

where $L' \otimes I_Z$ is torsion free and I_Z denote the ideal sheaf of a subscheme Z of codimension 2.

Theorem (Maruyama 1976)

Let ξ be a family of rank 2 vector bundles parameterized by $M_{X,H}(2; c_1, c_2)$. The function

$$S_H : M_{X,H}(2; c_1, c_2) \longrightarrow \mathbb{Z}^{>0}$$
$$t \longmapsto S_H(\mathcal{E}_t) := \deg_H(\mathcal{E}_t) - 2 \max_{L \subset \mathcal{E}_t} \deg_H(L)$$

is well defined and **lower semicontinuous**, i.e., the set

$$\{E \in M_{X,H}(2; c_1, c_2) : S_H(E) > s\}$$

is open in $M_{X,H}(2; c_1, c_2)$.

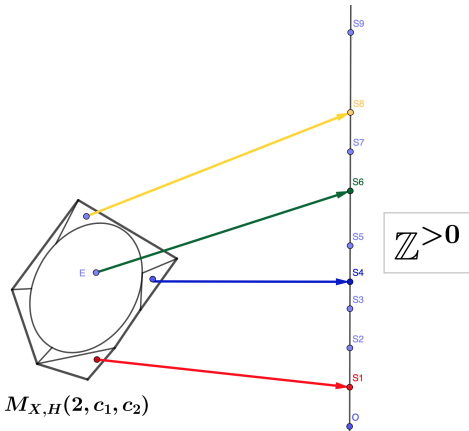
The function

$$S_H : M_{X,H}(2; c_1, c_2) \longrightarrow \mathbb{Z}^{>0}$$

induces a stratification of the moduli space $M_{X,H}(2; c_1, c_2)$ into locally closed subsets

$$M_{X,H}(2; c_1, c_2; s) := \{E \in M_{X,H}(2; c_1, c_2) : S_H(E) = s\}$$

according to the value of s .



A stratification of the moduli space $M_{\mathbb{P}^2}(2; c_1, c_2)$

- ▶ As $\text{Pic}(\mathbb{P}^2) \cong \mathbb{Z}$, there is a unique notion of stability for \mathbb{P}^2 .
 - ▶ E is H -stable if and only if it is aH -stable, for $a \in \mathbb{N}$.
- ▶ We will use

$$c_1(\cdot) := \text{deg}_H(\cdot) = c_1(\cdot) \cdot \mathcal{O}_{\mathbb{P}^2}(1)$$

to denote the degree with respect to $\mathcal{O}_{\mathbb{P}^2}(1)$.

- ▶ We will write

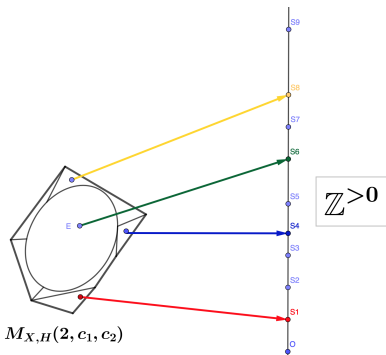
$$S(E) := c_1(E) - 2 \max_{\mathcal{O}_{\mathbb{P}^2}(k) \subset E} \{c_1(\mathcal{O}_{\mathbb{P}^2}(k))\}$$

to denote the Segre invariant of the vector bundle E of rank 2 on \mathbb{P}^2 .

- ▶ Since $S(E) = S(E \otimes L)$ for any line bundle L , we assume that E has degree $c_1 \in \{-1, 0\}$ and second Chern class c_2 .

For convenience, we restrict our attention to the case $c_1 = 0$; and the Segre invariant can be written as

$$\begin{aligned} S(E) &:= c_1(E) - 2 \max_{\mathcal{O}_{\mathbb{P}^2}(k) \subset E} \{\mathcal{O}_{\mathbb{P}^2}(k)\} \\ &= -2 \max_{\mathcal{O}_{\mathbb{P}^2}(k) \subset E} \{\mathcal{O}_{\mathbb{P}^2}(k)\} \end{aligned}$$



$$S : M_{\mathbb{P}^2}(2; 0, c_2) \mapsto \mathbb{Z}^{>0}$$

$$t \mapsto S(\xi_t) = -2 \max_{\mathcal{O}_{\mathbb{P}^2}(-k) \subset E} \mathcal{O}_{\mathbb{P}^2}(-k) = 2k$$

$$M_{\mathbb{P}^2}(2; 0, c_2; 2k) := \{E \in M_{\mathbb{P}^2}(2; 0, c_2) : S_H(E) = 2k\}$$

Questions

- ▶ Q1: Which possible values can the function $S_H(E)$ take?
- ▶ Q2: For which values of k are the strata non-empty ?
- ▶ Q3: What is the dimension of the stratum $M_{X,H}(2; 0, c_2; 2k)$?
Is it irreducible?
- ▶ Q4: What is the Segre invariant of a general bundle in the moduli space?
- ▶ Q5: Applications to Brill-Noether Theory.

Theorem (—, Torres-López, Zamora 2021)

Let $c_2 \geq 2$ and $k \in \mathbb{N}$. Then a vector bundle $E \in M_{\mathbb{P}^2}(2, 0, c_2)$ with $S(E) = 2k$ exists if and only if $k^2 + k \leq c_2$. Furthermore, E fits in an exact sequence:

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}^2}(-k) \longrightarrow E \longrightarrow \mathcal{O}_{\mathbb{P}^2}(k) \otimes I_Z \longrightarrow 0,$$

with $Z \subset \mathbb{P}^2$ of codimension 2 and $\mathcal{O}_{\mathbb{P}^2}(-k) \subset E$ maximal.

Moreover, $M_{\mathbb{P}^2}(2; 0, c_2; 2k)$ is an irreducible variety of dimension:

$$\begin{cases} 3c_2 + k^2 + 3k - 2, & \text{if } c_2 > k^2 + 3k + 1 \\ 4c_2 - 3, & \text{if } c_2 \leq k^2 + 3k + 1. \end{cases}$$

$$\dim M_{\mathbb{P}^2}(2; 0, c_2) = 4c_2 - 3.$$

Sketch of the proof

Theorem (Serre correspondence)

Let $Z \subset X$ be a local complete intersection of codimension two in the projective non-singular surface X , and let L and M be line bundles on X . Then there exists an extension

$$0 \longrightarrow L \longrightarrow E \longrightarrow M \otimes I_Z \longrightarrow 0$$

such that E is locally free if and only if the pair $(L^{-1} \otimes M \otimes \omega_X, Z)$ satisfy the Cayley-Bacharach property:

(CB) if $Z' \subset Z$ is a sub-scheme with $l(\tilde{Z}) = l(Z) - 1$ and $s \in H^0(L^{-1} \otimes M \otimes \omega_X)$ with $s|_{\tilde{Z}} = 0$, then $s|_Z = 0$.

Claim: If $k^2 + k \leq c_2$, then there exists a vector bundle $E \in M_{\mathbb{P}^2}(2, 0, c_2)$ with $S(E) = 2k$.

- ▶ Let $Z \subset \mathbb{P}^2$ of codimension two such that Z is not contained in any curve of degree $2k - 1$.
- ▶ The pair $(\mathcal{O}_{\mathbb{P}^2}(2k - 3), Z)$ satisfies the Cayley-Bacharach property. We have an extension

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}^2}(-k) \longrightarrow E \longrightarrow \mathcal{O}_{\mathbb{P}^2}(k) \otimes I_Z \longrightarrow 0$$

where E is locally free. Moreover, since Z is not contained in any curve of degree $2k - 1$ it follows that

$$h^0(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(k) \otimes I_Z) = h^0(\mathbb{P}^2, E) = 0.$$

Therefore, the vector bundle E is stable.

$$\begin{array}{ccccccc}
 & & & \mathcal{O}_{\mathbb{P}^2}(-l) & & & \\
 & & & \downarrow & & & \\
 0 & \longrightarrow & \mathcal{O}_{\mathbb{P}^2}(-k) & \longrightarrow & E & \longrightarrow & \mathcal{O}_{\mathbb{P}^2}(k) \otimes I_Z \longrightarrow 0
 \end{array}$$

We assume that there exists $\mathcal{O}_{\mathbb{P}^2}(-l)$, $l < k$

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^2}(-k+l) \rightarrow E(l) \rightarrow \mathcal{O}_{\mathbb{P}^2}(k+l) \otimes I_Z \rightarrow 0.$$

Since $l < k$ it follows that:

$$h^0(E(l)) = h^0(\mathcal{O}_{\mathbb{P}^2}(k+l) \otimes I_Z) \leq h^0(\mathcal{O}_{\mathbb{P}^2}(2k-1) \otimes I_Z) = 0.$$

This implies that $\mathcal{O}_{\mathbb{P}^2}(-l)$ is not a subbundle of E . Thus $\mathcal{O}_{\mathbb{P}^2}(-k)$ is maximal and

$$S(E) = -2c_1(\mathcal{O}_{\mathbb{P}^2}(-k)) = 2k.$$

If $E \in M_{\mathbb{P}^2}(2; c_1, c_2; 2k)$, then E can be written in the exact sequence

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}^2}(-k) \longrightarrow E \longrightarrow \mathcal{O}_{\mathbb{P}^2}(k) \otimes I_Z \longrightarrow 0$$

where $l(Z)$ denotes the length of Z . Therefore,

$$\begin{aligned} \dim M_{\mathbb{P}^2}(2; 0, c_2; 2k) &= \dim \text{Hilb}^{l(Z)}(\mathbb{P}^2) + \\ &\dim \text{Ext}^1(\mathcal{O}_{\mathbb{P}^2}(k) \otimes I_Z, \mathcal{O}_{\mathbb{P}^2}(-k)) - \dim \mathbb{P}H^0(E(k)) - 1. \\ &= \begin{cases} 3c_2 + k^2 + 3k - 2, & \text{if } c_2 > k^2 + 3k + 1 \\ 4c_2 - 3, & \text{if } c_2 \leq k^2 + 3k + 1. \end{cases} \end{aligned}$$

Corollary

Let r, c_2 and $k \in \mathbb{N}$ such that $c_2 \geq r^2 + 2$. Then a vector bundle $E \in M_{\mathbb{P}^2}(2, 2r, c_2)$ with $S(E) = 2k$ exists if and only if $k^2 + k + r^2 \leq c_2$. Furthermore, E fits in an exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^2}(r - k) \rightarrow E \rightarrow \mathcal{O}_{\mathbb{P}^2}(r + k) \otimes I_Z \rightarrow 0.$$

Moreover, $M_{\mathbb{P}^2}(2; 2r, c_2; 2k)$ is an irreducible variety of dimension

$$\begin{cases} 3c_2 - 3r^2 + k^2 + 3k - 2, & \text{if } c_2 > r^2 + k^2 + 3k + 1 \\ 4c_2 - 4r^2 - 3, & \text{if } c_2 \leq r^2 + k^2 + 3k + 1. \end{cases}$$

$$\dim M_{\mathbb{P}^2}(2; 2r, c_2) = 4c_2 - 4r^2 - 3.$$

Applications to Brill-Noether Theory

Let $M_{\mathbb{P}^2}(2; c_1, c_2)$ be the moduli space of stable vector bundles of rank 2 on \mathbb{P}^2 with fixed Chern classes c_1, c_2 .

For any $t \geq 0$, the subvariety of $M_{\mathbb{P}^2}(2; c_1, c_2)$ defined as

$$W^t(2; c_1, c_2) := \{E \in M_{\mathbb{P}^2}(2; c_1, c_2) : h^0(\mathbb{P}^2, E) \geq t\}$$

is called the t -Brill-Noether locus of the moduli space $M_{\mathbb{P}^2}(2; c_1, c_2)$.

(Costa, Miro-Roig 2008)

- ▶ For any $t \geq 0$, $W^t(2; c_1, c_2)$ has structure of determinantal variety.
- ▶ Each non-empty irreducible component of $W^t(2; c_1, c_2)$ has dimension greater or equal to the Brill-Noether number on \mathbb{P}^2

$$\rho^t(2; c_1, c_2) := 4c_2 - c_1^2 - 3 - t \left(t - \frac{c_1^2}{2} - \frac{3c_1}{2} + c_2 - 2 \right).$$

Theorem (—, Torres-López, Zamora 2021)

Let r, k, c_2 be integers. Assume,

$$t = \frac{(r - k + 2)(r - k + 1)}{2}.$$

Let $E \in M_{\mathbb{P}^2}(2; 2r, c_2; 2k)$. Then

$$\begin{cases} E \notin W^1(2; 2r, c_2), & \text{if } r < k \\ E \in W^t(2; 2r, c_2), & \text{if } r \geq k. \end{cases}$$

Moreover, the Brill-Noether number

$$\rho^t(2, 2r, c_2) < \dim M_{\mathbb{P}^2}(2, 2r, c_2; 2k) \leq \dim W^t(2, 2r, c_2)$$

for $c_2 \gg 0$.

$$0 \longrightarrow \mathcal{O}_{\mathbb{P}^2}(r - k) \longrightarrow E \longrightarrow \mathcal{O}_{\mathbb{P}^2}(r + k) \otimes I_Z \longrightarrow 0,$$

Theorem (—, Torres-López, Zamora 2021)

Let $r, k, c_2 \in \mathbb{N}$

- ▶ Assume $r^2 + 2 \leq c_2$ and $k < r$. Then, a vector bundle $E \in M_{\mathbb{P}^2}(2; 2r, c_2, 2k)$ exists such that

$$h^0(\mathbb{P}^2, E) \geq (r - k)^2 + 4(r - k) + 3.$$

- ▶ Assume $r \geq 2$, $3k^2 - 4k + r^2 + 2 < c_2$ and $k < r$. Let $t = (r - k)^2 + 4(r - k) + 3$. Then,

$$\dim W^t(2; 2r, c_2) \geq$$

$$\begin{cases} 2c_2 + 2k^2 - 2r^2 + 4k - 2, & \text{if } c_2 > k^2 + 3k + r^2 + 1 \\ k^2 + 3c_2 + k - r^2 - 3, & \text{if } c_2 \leq k^2 + 3k + r^2 + 1. \end{cases}$$

Weak Brill-Noether

Definition

The moduli space $M_{X,H}(n; c_1, c_2)$ satisfies weak Brill-Noether if the general sheaf in $M_{X,H}(n; c_1, c_2)$ has at most one nonzero cohomology group.

Theorem (Göttsche, Hirschowitz 1994)

Suppose that $c_1 > 0$ and $c_2 = 2 + \frac{c_1^2 + 3c_1}{2}$. Then the moduli space $M_{\mathbb{P}^2}(2, c_1, c_2)$ satisfies weak Brill-Noether.

Let $k = r + 1$, then the stratum $M_{\mathbb{P}^2}(2; 2r, c_2; 2k)$ is open and $h^0(\mathbb{P}^2, E) = 0$ for any $E \in M_{\mathbb{P}^2}(2; 2r, c_2; 2k)$.

Thank you for your attention!!