

Monday 28th November:

- Local Fields
- p -adic groups $\left\{ \begin{array}{l} \text{Algebraic structure} \\ \text{Topological structure} \end{array} \right.$
- Smooth representations

§ 1 Local Fields

F a non-archimedean local field

$\mathcal{O} = \mathcal{O}_F$ the ring of integers

$\mathfrak{p} = \mathfrak{p}_F$ its unique maximal ideal

$k = k_F = \mathcal{O}/\mathfrak{p}$ the residue field

p characteristic of k

q cardinality of k

$|\cdot|$ normalized absolute value

$\varpi = \varpi_F$ uniformizer

$(F, +)$ has a fundamental system of neighborhoods of 0
 consisting of pro- p subgroups $\{ \mathfrak{p}^n : n \in \mathbb{Z} \}$

$$\bigcap_{n \in \mathbb{Z}} \mathfrak{p}^n = \{0\} \quad \text{and} \quad \bigcup_{n \in \mathbb{Z}} \mathfrak{p}^n = F$$

(F^x, \cdot) has

F^x locally compact $\Rightarrow \mathfrak{O}^x$ compact $\Rightarrow U_F = 1 + \mathfrak{p}_F^{n+1}, n \geq 0$
 filtration by open pro- p subgroups

Characters

C an algebraically closed field of characteristic $l \neq p$ (allow $l=0$)

[$\bullet p \in C$ is invertible

[$\bullet C$ contains all p -power roots of unity]

Fix $\psi: F \rightarrow C^*$ a non-trivial continuous additive character

such that $\psi|_{\mathfrak{p}} = \mathbb{1}$ but $\psi|_{\mathfrak{o}} \neq \mathbb{1}$

Then $F \xrightarrow{\sim} \hat{F} := \{ \text{continuous additive characters} \}$
 $\mathfrak{a} \longmapsto (x \mapsto \psi(ax))$

and, for $n \geq m$, we get

$$\mathfrak{p}^{-n} / \mathfrak{p}^{-m} \xrightarrow{\sim} \left(\mathfrak{p}^{n+1} / \mathfrak{p}^{n+1} \right)^{\wedge}$$

Multiplicative Characters

$$\widehat{F^\times} = \{ \text{continuous } \chi: F^\times \rightarrow \mathbb{C}^\times \text{ group homomorphism} \}$$

- ↳ smooth since $\ker \chi = \chi^{-1}(1)$ is open
- depth $\ell(\chi) = \min \{ n \geq 0 : \ker(\chi) \supseteq U_F^{n+1} \}$
- unramified if $\ker \chi \supseteq \mathcal{O}^\times$.

If $0 \leq m < n \leq 2m+1$ then

$$\begin{array}{ccc} \mathfrak{p}^{m+1} / \mathfrak{p}^{n+1} & \xrightarrow{\sim} & U_F^{m+1} / U_F^{n+1} \\ x + \mathfrak{p}^{n+1} & \longmapsto & (1+x) U_F^{n+1} \end{array}$$

so we get an isomorphism

$$\begin{array}{ccc} \mathfrak{p}^{-m} / \mathfrak{p}^{-m} & \xrightarrow{\sim} & \left(U_F^{m+1} / U_F^{n+1} \right)^\wedge \\ a + \mathfrak{p}^{-m} & \longmapsto & \psi_a : (1+x) U_F^{n+1} \mapsto \psi(ax) \end{array}$$

Algebraic Structure

$G = GL_n(F)$ has

$$T = \mathbb{T}(F) = \left\{ \begin{pmatrix} t_1 & & 0 \\ & \ddots & \\ 0 & & t_n \end{pmatrix} : t_i \in F^\times \right\}$$

the standard maximal
 F -split torus in G .

- All maximal F -split tori are conjugate to T
- There are other maximal tori — e.g.

E/F a separable extension of degree n

$$G \simeq \text{Aut}_F(E) \longleftrightarrow E^\times$$

There is a maximal torus \mathbb{T}' such that $\mathbb{T}'(F) = E^\times$

(conjugate to \mathbb{T}' in $GL_n(E)$)

$$\underline{G = GL_n(F)}$$

$$T = T(F) = \begin{pmatrix} * & & 0 \\ & \ddots & \\ 0 & & * \end{pmatrix}$$

standard maximal \bar{F} -split torus.

$$B = B(F) = \begin{pmatrix} * & \cdots & * \\ & \ddots & * \\ 0 & & * \end{pmatrix}$$

the standard Borel subgroup

- All maximal connected solvable subgroups are

conjugate to B

$$P = P(F) = \begin{pmatrix} \begin{matrix} \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} \end{matrix} & & \\ & \ddots & \\ 0 & & \begin{matrix} \text{---} \\ \text{---} \\ \text{---} \end{matrix} \end{pmatrix}$$

a standard parabolic subgroup

- A parabolic subgroup is a subgroup containing a Borel
- A parabolic subgroup is a closed algebraic subgroup s.t.
 G/P is a projective variety

$$G = GL_n(F)$$

$$T = \Pi(F) = \begin{pmatrix} * & & 0 \\ & \ddots & \\ 0 & & * \end{pmatrix}$$

$$B = \mathcal{B}(F) = \begin{pmatrix} * & \cdots & * \\ & \ddots & \\ 0 & & * \end{pmatrix}$$

$$P = \mathcal{P}(F) = \begin{pmatrix} \diagup & & \\ & \diagdown & \\ & & \ddots \\ 0 & & & \diagdown \end{pmatrix} \begin{matrix} \{n_1 \\ \{n_2 \\ \vdots \\ \{n_r \end{matrix}$$

▽

$$N = \mathcal{N}(F) = \begin{pmatrix} 1 & \diagup & & \\ & 1 & \diagup & \\ & & \ddots & \diagup \\ 0 & & & 1 \end{pmatrix} \begin{matrix} \{n_1 \\ \{n_2 \\ \vdots \\ \{n_r \end{matrix}$$

$$M = \mathcal{M}(F) = \begin{pmatrix} \square & & 0 \\ & \square & \\ & & \ddots \\ 0 & & & \square \end{pmatrix} \begin{matrix} \{n_1 \\ \{n_2 \\ \vdots \\ \{n_r \end{matrix}$$

$$\cong \prod_{i=1}^r GL_{n_i}(F)$$

standard maximal F -split torus

standard Borel subgroup

standard parabolic subgroup

the unipotent radical of P
(maximal connected normal unipotent subgroup)

the standard Levi component of P
(complement to N containing T)

$$P = M \ltimes N$$

$$C = \text{GL}_n(F)$$

$$T = \Pi(F) = \begin{pmatrix} * & & 0 \\ & \ddots & \\ 0 & & * \end{pmatrix}$$

$$B = \text{B}(F) = \begin{pmatrix} * & & * \\ & \ddots & \\ 0 & & * \end{pmatrix}$$

$$P = \text{P}(F) = \begin{pmatrix} \diagup & & \\ & \diagdown & \\ 0 & & \diagup \end{pmatrix}$$

∇

$$N = \text{N}(F) = \begin{pmatrix} \diagup & & \\ & \diagdown & \\ & & \diagup \end{pmatrix}$$

$$M = \text{M}(F) = \begin{pmatrix} \square & & 0 \\ & \square & \\ 0 & & \square \end{pmatrix}$$

$$\simeq \prod_{i=1}^r \text{GL}_{n_i}(F)$$

$$\text{SL}_n(F)$$

Just intersect

everything

with

SL_n

$$\{g_i : \prod_{i=1}^r \det(g_i) = 1\}$$

$$\text{Sp}_{2n}(F)$$

$$\left\{ \begin{pmatrix} t_1 & & & \\ & \ddots & & \\ & & t_n & \\ & & & t_i^{-1} \end{pmatrix} : t_i \in F^{\times} \right\}$$

Intersect

$$\begin{pmatrix} \diagup & & \\ & \diagdown & \\ 0 & & \diagup \end{pmatrix}$$

$n_1 \dots n_{r-1}$
 n_r

$$\begin{pmatrix} \square & & \\ & \square & \\ 0 & & \square \end{pmatrix}$$

is

$$\text{Sp}_{2n_0}(F) \times \prod_{i=1}^r \text{GL}_{n_i}(F)$$

$$\underline{G = GL_n(F)}$$

$$T = T(F) = \begin{pmatrix} * & & 0 \\ & \ddots & \\ 0 & & * \end{pmatrix}$$

$$B = B(F) = \begin{pmatrix} * & & * \\ & \ddots & \\ 0 & & * \end{pmatrix}$$

$$P = P(F) = \begin{pmatrix} L & & \\ & \ddots & \\ 0 & & L \end{pmatrix}$$

▽

$$N = N(F) = \begin{pmatrix} \sqrt{\square} & & \\ & \ddots & \\ 0 & & \sqrt{\square} \end{pmatrix}$$

$$M = IM(F) = \begin{pmatrix} \square & 0 \\ 0 & \square \end{pmatrix}$$

General group G

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Maximal F-split torus

[May not be a maximal torus.
If it is then G is split.]

Minimal parabolic subgroup defined over F

[May not be the F-points of a Borel.
If it is then G is quasi-split.
Split \Rightarrow quasi-split.]

G/\mathbb{P} is projective

Unipotent radical

Complement

Topological Structure / Integral Structure

$G = G(F)$ is a locally compact totally disconnected group.

$$\underline{G = GL_n(F)}$$

$K = GL_n(\mathcal{O})$ maximal compact (open) subgroup
[all are conjugate in G]

∇

$K^m = \{1 + \text{Mat}_n(\mathfrak{p}^m)\}$, for $m \geq 1$ pro- \mathfrak{p} subgroup

• $\bigcap_{m \geq 1} K^m = \{1\}$ fundamental system of neighbourhoods of 1

• $[K^m, K^r] \subseteq K^{m+r}$

$\tilde{K} = N_G(K) = \mathbb{Z}K$ maximal compact-mod-centre subgroup.

$G = GL_n(F)$

$K = GL_n(\mathcal{O})$ maximal compact open

$K^m = 1 + Mat_n(\mathfrak{p}^m)$ filtration by pro-p normal subgroups

$K^{o+} = K^1 \hookrightarrow K \twoheadrightarrow GL_n(k_F)$
 pro-p radical (maximal normal pro-p) reductive quotient

(maximal normal pro-p)

U

U

$\begin{pmatrix} \mathcal{O} & & & \\ & \mathcal{O} & & \\ & & \ddots & \\ \mathfrak{p} & & & \mathcal{O} \end{pmatrix}^*$

parahoric

U

U

$\mathcal{P} \twoheadrightarrow \mathcal{P}(k_F)$ parabolic

$1 + \begin{pmatrix} \mathcal{O} & & & \\ & \mathcal{O} & & \\ & & \ddots & \\ \mathfrak{p} & & & \mathcal{O} \end{pmatrix}$

pro-p-radical

\mathcal{P}^{o+}

$\mathcal{N}(k_F)$

unipotent radical

$\mathcal{P}^{o+} \hookrightarrow \mathcal{P} \twoheadrightarrow \mathcal{M}(k_F)$ Levi subgroup

$$\underline{G = GL_n(F)}$$

$$K = GL_n(\mathcal{O})$$

$$K^{\mathcal{O}^+} \hookrightarrow K \twoheadrightarrow GL_n(k_F)$$

$$\begin{pmatrix} \mathcal{O}^{\times} & & & \\ & \ddots & & \\ & & \mathcal{O} & \\ \mathfrak{p} & & & \mathcal{O}^{\times} \end{pmatrix} \text{ Iwahori subgroup}$$

 U
 U
 I

$$\twoheadrightarrow B(k_F) \text{ Borel subgroup}$$

$\tilde{I} = N_q(I)$ is another maximal compact-mod-centre subgroup

[All such are conjugate to $\tilde{J} = N_q(J)$ for J with equal block sizes.]

Filtration

$$\begin{pmatrix} \mathcal{O}^{\times} & & & \\ & \ddots & & \\ & & \mathcal{O} & \\ \mathfrak{p} & & & \mathcal{O}^{\times} \end{pmatrix} \supseteq 1 + \begin{pmatrix} \mathfrak{p} & & & \\ & \ddots & & \\ & & \mathfrak{p} & \\ \mathfrak{p} & & & \mathfrak{p} \end{pmatrix} \supseteq 1 + \begin{pmatrix} \mathfrak{p}^2 & & & \\ & \ddots & & \\ & & \mathfrak{p}^2 & \\ \mathfrak{p}^2 & & & \mathfrak{p}^2 \end{pmatrix} \supseteq \dots \supseteq 1 + \begin{pmatrix} \mathfrak{p}^2 & & & \\ & \ddots & & \\ & & \mathfrak{p}^2 & \\ \mathfrak{p}^2 & & & \mathfrak{p}^2 \end{pmatrix} \supseteq \dots$$

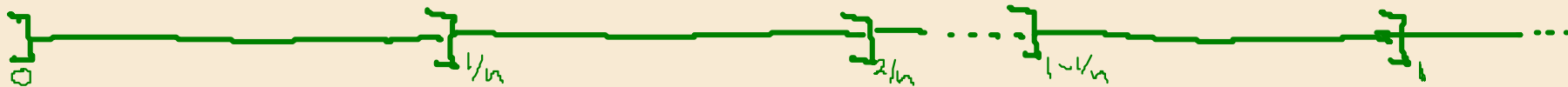
$$I = I^0$$

$$I^{\mathcal{O}^+} = I^{1/n}$$

$$I^{1/n^+} = I^{2/n}$$

 \dots

$$I^{1-1/n^+} = I^1 \dots$$



$G = GL_n(F)$

$K = GL_n(\mathcal{O})$

$K^{\mathcal{O}^+} \hookrightarrow K \twoheadrightarrow GL_n(k_F)$

parabolic $\mathcal{P} \xrightarrow{\quad \cup \quad} \mathcal{P}(k_F)$ parabolic

Filtration

\mathcal{P} has filtrations $(\mathcal{P}^r)_{r \geq 0}$ by pro-p subgroups, with

- $\bigcap_{r \geq 0} \mathcal{P}^r = \{1\}$
- $r \mapsto \mathcal{P}^r$ left continuous (and $\mathcal{P}^{r+} := \bigcup_{s > r} \mathcal{P}^s$)
- $[\mathcal{P}^r, \mathcal{P}^s] \subseteq \mathcal{P}^{r+s}$
- $\mathcal{P} / \mathcal{P}^{\mathcal{O}^+} \simeq GL_n(k_F)$ connected reductive / k_F

There are many filtrations, indexed by a point x in the Bruhat-Tits building (or by a lattice function Λ).

$$\underline{G = GL_n(F)}$$

$$K = GL_n(\mathcal{O})$$

maximal compact

∪

$$\mathcal{P} = \begin{pmatrix} L & \mathcal{O} \\ \mathfrak{p} & L \end{pmatrix} \text{ parabolic}$$

∪

$$I = \begin{pmatrix} \mathcal{O}^\times & \mathcal{O} \\ \mathfrak{p} & \mathcal{O}^\times \end{pmatrix} \text{ Iwahori}$$

$$\text{Filtration } (\mathcal{P}^r)_{r \geq 0}$$

$$\mathcal{P} / \mathcal{P}^{\mathcal{O}^\times} \cong \prod_i GL_{n_i}(k_F)$$

$$\underline{G = SL_n(F)}$$

$SL_n(\mathcal{O})$ and conjugates
by $\begin{pmatrix} \varpi & & \\ & \varpi & \\ & & \dots & \\ & & & \varpi^{-1} \end{pmatrix}$

Intersect and conjugate

Intersect
(all conjugate)

Intersect the
filtration

$$\{(g_i) : \prod_i \det(g_i) = 1\}$$

$$\underline{G = Sp_{2n}(F)} \quad 15$$

$$K_i = \begin{pmatrix} \mathcal{O} & \mathcal{O} & \mathfrak{p}^{-i} \\ \mathfrak{p} & \mathcal{O} & \mathcal{O} \\ \mathfrak{p} & \mathfrak{p} & \mathcal{O} \end{pmatrix} \sim G$$

i 2(n-i) i

$$K_i / K_i^{\mathcal{O}^\times} \cong Sp_{2i}(k_F) \times Sp_{2(n-i)}(k_F)$$

Intersect

Intersect the
filtration

$$Sp_{2n_0}(k_F) \times \prod_i GL_{n_i}(k_F) \times Sp_{2n'_0}(k_F)$$

$$\text{s.t. } n_0 + \sum n_i + n'_0 = n$$

$G = GL_n(F)$

$K = GL_n(\mathcal{O})$ maximal compact

$\mathcal{P} = \begin{pmatrix} L & \mathcal{O} \\ \mathfrak{p} & L \end{pmatrix}^*$ parabolic

$I = \begin{pmatrix} \mathcal{O}^* & \mathcal{O} \\ \mathfrak{p} & \mathcal{O}^* \end{pmatrix}$ Iwahori

Filtration $(\mathcal{P}^r)_{r \geq 0}$

$\mathcal{P} / \mathcal{P}^{\text{ot}} = \prod GL_{n_i}(k_F)$

General group G

the "connected part" of the maximal compact subgroup of the stabilizer of a point in the Bruhat-Tits building of G.

Max-Prasad filtration

Connected reductive group over k_F

Haar measure

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Because $p \in C^\times$, the group G has a Haar measure with values in C :

- choose a pro- p open subgroup (e.g. \mathcal{O}^\times) and declare it to have measure 1.

Note that compact open subgroups can have measure 0!

e.g. $\mathcal{O}_n \subset F^\times$ if $\nu(U_F^\times) = 1$

then $\nu(\mathcal{O}_F^\times) = q^{-1}$ which may be 0 in C .

§3 Smooth representations

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G a group as above, or any closed subgroup

C algebraically closed field of characteristic $\ell \neq p$
(allow $\ell = 0$)

Definition A smooth representation of G is a pair (π, V) with

• V a C -vector space

• $\pi: G \rightarrow \text{Aut}_C(V)$ homomorphism st. $\text{Stab}_G(v)$ is open, for $v \in V$.

Invariants $V^H = \{v \in V : \pi(h)v = v \ \forall h \in H\}$, for $H \leq G$

Then $V = \bigcup_{K \text{ compact open}} V^K$.

(π, V) is admissible if $\dim_C V^K$ is finite, for all compact open K .

G a group as above, or any closed subgroup

\mathbb{C} algebraically closed field of characteristic $\ell \neq p$

$\text{Rep}_{\mathbb{C}}(G)$ the category of smooth representations of G

- morphisms are G -equivariant linear maps
- abelian category
- not just finite-length representations
- not semisimple in general — it is if G is compact and $\ell = 0$

$\text{Irr}_{\mathbb{C}}(G)$ the set of equivalence classes of irreducible representations

Examples

(1) $\chi: F^{\times} \rightarrow \mathbb{C}^{\times}$ a continuous character

(2) If $\pi \in \text{Irr}_{\mathbb{C}}(G \ltimes \mathbb{F})$ is finite-dimensional then

$$\pi = \chi \circ \det \quad \text{is 1-dimensional.}$$

Theorem Every $\pi \in \text{Irr}_{\mathbb{C}}(G)$ is admissible.

Adjointness and Harish-Chandra Theory

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For H a closed subgroup of G we have

$$\text{Res}_H^G : \text{Rep}_c(G) \longrightarrow \text{Rep}_c(H) \quad \text{restriction}$$

which has a right adjoint (smooth) induction

$$\text{Ind}_H^G : \text{Rep}_c(H) \longrightarrow \text{Rep}_c(G)$$

i.e.

$$\text{Hom}_H(\text{Res}_H^G \pi, \rho) = \text{Hom}_G(\pi, \text{Ind}_H^G \rho)$$

$\text{Ind}_H^G \rho$ given by the right regular action of G on

$$\{f: G \rightarrow V_\rho : f(hg) = \rho(h)f(g) \quad \forall h \in H, g \in G \text{ and } f \text{ is smooth}\}$$

If H is also open then there is also a left adjoint ind_H^G , compact induction, i.e.

$$\text{Hom}_H(\rho, \text{Res}_H^G \pi) = \text{Hom}_G(\text{ind}_H^G \rho, \pi)$$

$\text{ind}_H^G \rho$ given by the right regular action on

$$\{f \in \text{Ind}_H^G \rho : \text{supp}(f) \subseteq H\gamma \text{ with } \gamma \text{ compact}\}$$

Example

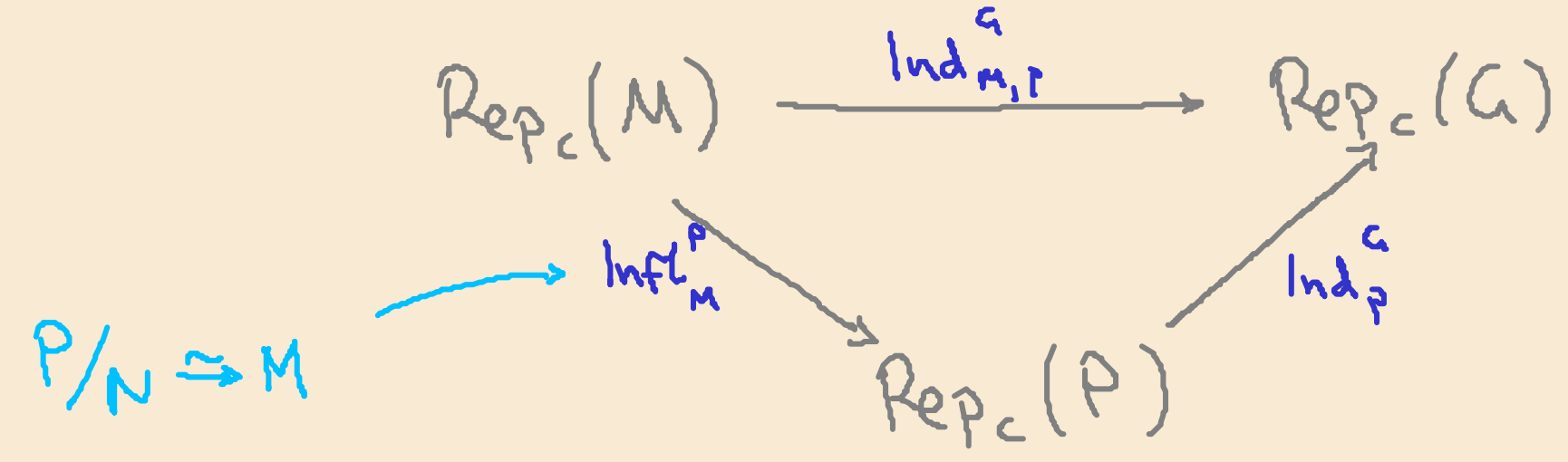
$$\text{ind}_{\mathcal{O}^\times}^{\mathbb{F}^\times} \mathbb{1} = \{f : \mathbb{F}^\times / \mathcal{O}^\times \rightarrow \mathbb{C} \text{ with finite support}\} \simeq \mathbb{C}[X, X^{-1}]$$

- has infinite length
- every unramified character is a quotient
- no irreducible subrepresentation.

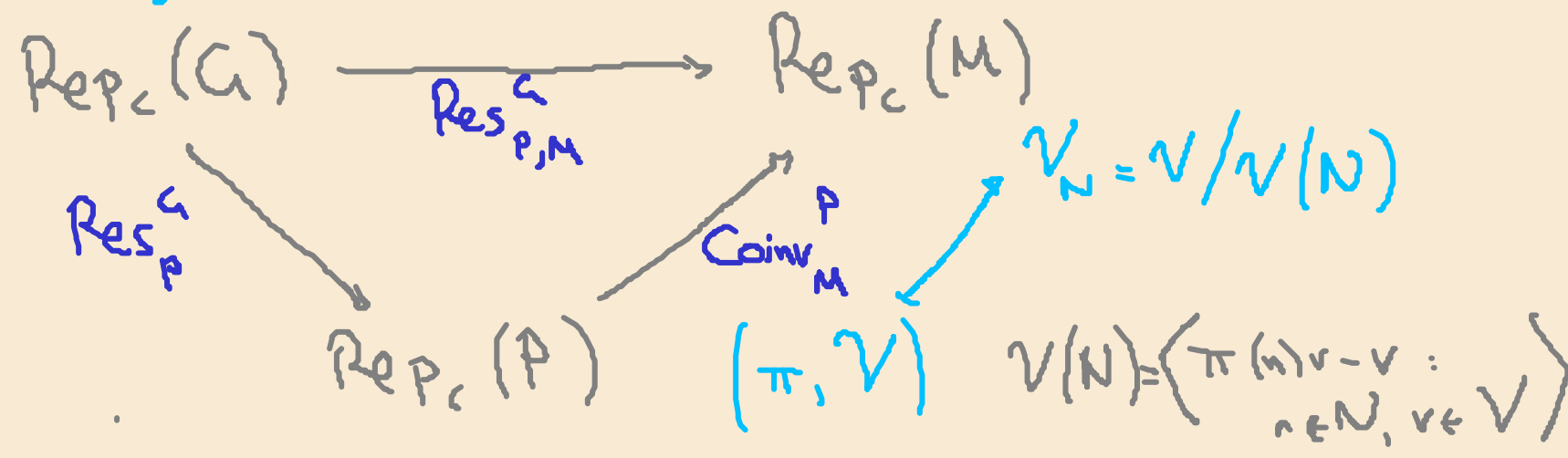
Remark If P is a parabolic of G then $\text{Ind}_P^G = \text{ind}_P^G$ as $P \backslash G$ is compact.

Parabolic Induction

If $P = MN$ is a parabolic subgroup of $G = G(F)$ then we have a parabolic induction functor



which is right adjoint to the Jacquet (parabolic restriction) functor



Parabolic Induction

$P = MN$ a parabolic subgroup of $G = G(F)$

$$\text{Rep}_c(M) \xrightarrow{\text{Ind}_{M,P}^G} \text{Rep}_c(G)$$

$\bar{P} = M\bar{N}$ the opposite parabolic with $P \cap \bar{P} = M$.

Theorem (2nd adjunction, Bernstein, Dat-Helm-Moss-Kurinczuk)
 $C = \mathbb{C}$ any $\mathbb{Z}[\frac{1}{p}]$ -algebra

$\text{Ind}_{M,P}^G$ is left adjoint to $\delta_P \cdot \text{Res}_{\bar{P},M}^G$

where $\delta_P: M \rightarrow \mathbb{C}^\times$ is the modulus character of P .

[$\delta_P(m) = \left(m K m^{-1} : K \right)$ for any compact open subgroup of P .]
 generalized group index

Definition

- (π, V) is cuspidal if $\text{Res}_{P, M}^G \pi = 0$ for all proper parabolics P .

If $\pi \in \text{Irr}_c(G)$ this is equivalent (by adjunction) to

π is not a subrepresentation of any $\text{Ind}_{M, P}^G \rho$, for P a proper parabolic.

Theorem (Harish-Chandra)

For any $\pi \in \text{Irr}_c(G)$ there are a

- parabolic subgroup $P = MN$ and
- $\rho \in \text{Irr}_c(M)$ cuspidal such that

$$\pi \longleftrightarrow \text{Ind}_{M, P}^G \rho$$

If (M', ρ') is another such then it is conjugate to (M, ρ) in G .

The conjugacy class of (M, ρ) is called the cuspidal support of π .

Cuspidal and Supercuspidal

$\pi \in \text{Irr}_c(G)$ is

- cuspidal if it is not a subrepresentation of any proper $\text{Ind}_{M,P}^G \rho$
- supercuspidal if it is not a subquotient of any proper $\text{Ind}_{M,P}^G \rho$

Remark If $\mathfrak{t} = 0$ then cuspidal \Leftrightarrow supercuspidal

Theorem For any $\pi \in \text{Irr}_c(G)$ there are a

- parabolic subgroup $P = MN$ and
- $\rho \in \text{Irr}_c(M)$ supercuspidal such that

$$\pi \leq \text{Ind}_{M,P}^G \rho$$

is a subquotient of

But there is no uniqueness in general. (There is for GL_n , SL_n .)

Invariants

K a compact open subgroup of G .

$$\mathcal{H}_c(G, K) = \{ K\text{-bi-invariant functions } f: G \rightarrow \mathbb{C} \text{ with compact support} \}$$

$$= \text{End}_G(\text{ind}_K^G \mathbb{1})$$

Hedde algebra with convolution product

Theorem Suppose $\nu(K) \neq 0$. Then there is a bijection

$$\{ (\pi, V) \in \text{Irr}_c(G) : V^K \neq 0 \} \xleftrightarrow{\sim} \{ \text{simple right } \mathcal{H}_c(G, K)\text{-modules} \} / \sim$$

$$V \longmapsto V^K = \text{Hom}_G(\text{ind}_K^G \mathbb{1}, V)$$

Iwahori Invariants

$$G = \mathrm{GL}_n(F) \supseteq I = \begin{pmatrix} \mathcal{O}^\times & \mathcal{O} \\ \mathfrak{p} & \mathcal{O}^\times \end{pmatrix} \quad \text{Iwahori subgroup}$$

$$C = \mathbb{C}$$

Theorem The map $(\pi, V) \mapsto V^I$ induces an equivalence of categories

$$\underbrace{\mathrm{Rep}_C^I(G)} \longrightarrow \mathrm{Mod}\text{-}\mathcal{H}_C(G, I)$$

representations (π, V) generated (as G -rep.) by V^I

Moreover:

- $(\pi, V) \in \mathrm{Rep}_C^I(G) \Leftrightarrow$ all irreducible subquotients of π embed in some $\mathrm{Ind}_{T, B}^G \chi$ with χ unramified
- $\mathrm{Rep}_C^I(G)$ is an indecomposable summand of $\mathrm{Rep}_C(G)$.