

THE SYMMETRY RULE FOR QUANTIFIED BOOLEAN FORMULAS



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Quantified Boolean Formulas

■ Syntax

$$\underbrace{\exists x \exists y \forall u \exists z}_{\text{prefix}} \cdot \underbrace{(\underbrace{\neg u \vee z}_{\text{literals}}) \wedge (\underbrace{y \vee u \vee \neg z}_{\text{clause}}) \wedge (x \vee \neg u \vee \neg z)}_{\text{propositional matrix in CNF}}$$

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■ Semantics

- $\forall x Q.\varphi$ true $\Leftrightarrow Q.\varphi[x]$ **and** $Q.\varphi[\neg x]$ true
- $\exists x Q.\varphi$ true $\Leftrightarrow Q.\varphi[x]$ **or** $Q.\varphi[\neg x]$ true

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Note: prefix imposes ordering $x, y < u < z$

QBF Semantics

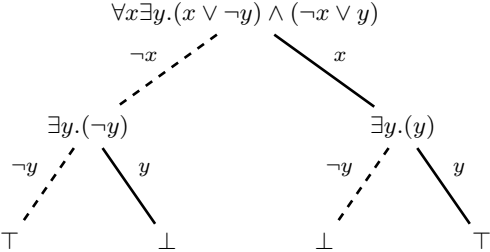
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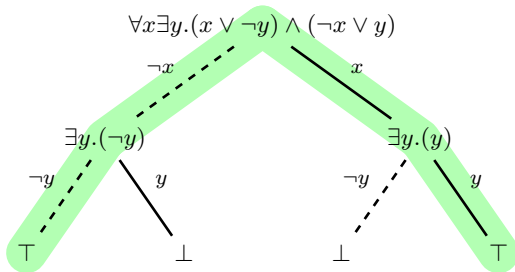
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- Example:



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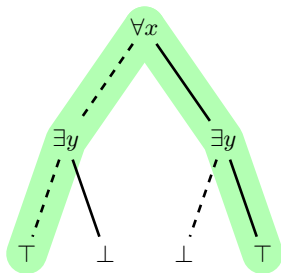
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QBF Models

Tree model of **true** formula:

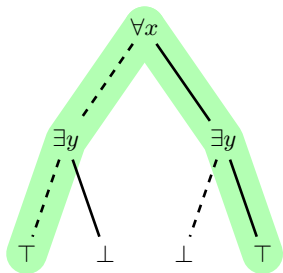
$$\forall x \exists y. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



QBF Models

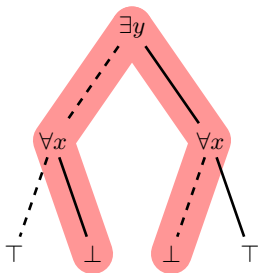
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Tree refutation of **false** formula:

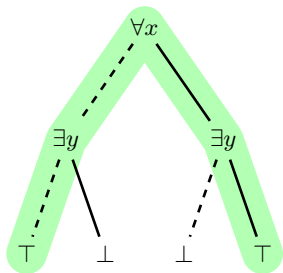
$$\exists y \forall x. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



QBF Models

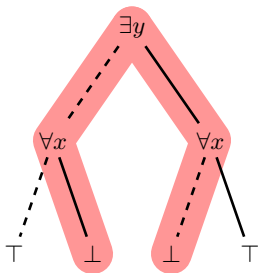
Tree model of **true** formula:

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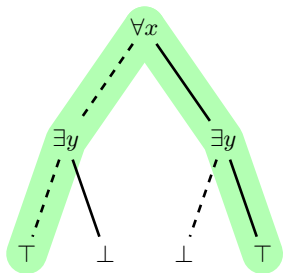
Skolem-functions of \exists -variables:

$$f_y(x) = x$$

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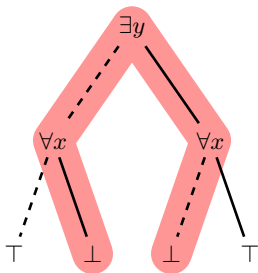


Skolem-functions of \exists -variables:

$$f_y(x) = x$$

Tree refutation of **false** formula:

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Herbrand-functions of \forall -variables:

$$f_x(y) = \bar{y}$$

Symmetries

A **symmetry** σ for a QBF $Q_1x_1 \dots Q_nx_n.\varphi$ has the following properties:

- σ is a bijective function mapping literals of φ to literals of φ
- $\overline{\sigma(l)} = \sigma(\bar{l})$
- if applied on all literal occurrences of φ , then $\varphi = \sigma(\varphi)$
- the variables of l , $\sigma(l)$ belong to the same quantifier block

Example

$$Q.\varphi = \forall x \exists y.((x \vee y) \wedge (\bar{x} \vee \bar{y}))$$

has the symmetry

$$\sigma(x) = \bar{x}, \sigma(\bar{x}) = x, \sigma(y) = \bar{y}, \sigma(\bar{y}) = y$$

$$\varphi = \sigma(\varphi) = ((\sigma(x) \vee \sigma(y)) \wedge (\sigma(\bar{x}) \vee \sigma(\bar{y}))) = ((\bar{x} \vee \bar{y}) \wedge (x \vee y))$$

Symmetry Example: KBFK-Formulas

For $n \in \mathbb{N}$, the formula KBKF_n is defined by the prefix

$$\exists x_1 y_1 \forall a_1 \exists x_2 y_2 \forall a_2 \dots \exists x_n y_n \forall a_n \exists z_1 \dots z_n$$

and the following clauses:

- $C_1 = (\bar{x}_1 \vee \bar{y}_1)$
- for $j = 1, \dots, n - 1$:
 $C_{2j} = (x_j \vee \bar{a}_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1})$
 $C_{2j+1} = (y_j \vee a_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1})$.
- $C_{2n} = (x_n \vee \bar{a}_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n)$,
 $C_{2n+1} = (y_n \vee a_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n)$
- for $j = 1, \dots, n$:
 $B_{2j-1} = (a_j \vee z_j)$ and $B_{2j} = (\bar{a}_j \vee z_j)$.

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■ $C_{2n} = (x_n \vee \bar{a}_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n),$

$$C_{2n+1} = (y_n \vee a_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n)$$

■ for $j = 1, \dots, n$:

$$B_{2j-1} = (a_j \vee z_j) \text{ and } B_{2j} = (\bar{a}_j \vee z_j).$$

Symmetry:

$$\sigma_i = (x_i \ y_i) (\bar{x}_i \ \bar{y}_i) (a_i \ \bar{a}_i)$$

Resolution for QBF

Let $\mathcal{Q}.\varphi$ be a QBF. The Q-Res calculus consists of the following rules:

Resolution Rule (R):

$$C_1 \vee x, C_2 \vee \bar{x} \xrightarrow{\text{R}} C_1 \vee C_2$$

$C_1 \vee x, C_2 \vee \bar{x}$ already derived
 x is existential

Universal Reduction (U):

$$D \vee l \xrightarrow{\text{U}} D$$

$D \vee l$ already derived
 l is universal
for all existential literals $k \in D: k < l$

QBF $\mathcal{Q}.\varphi$ is false \Leftrightarrow The empty clause can be derived by the rules of Q-Res

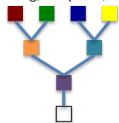
Example: $\exists x \forall y. ((x \vee y) \wedge (\bar{x} \vee \bar{y}))$

$$\begin{array}{l} x \vee y \xrightarrow{\text{U}} x \\ \bar{x} \vee \bar{y} \xrightarrow{\text{U}} \bar{x} \\ x, \bar{x} \xrightarrow{\text{R}} \epsilon \end{array}$$

Overview: Solving Approaches for QBF

Q-Resolution

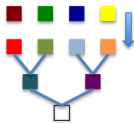
[Kleine Büning, Karpinski, Flögel, 95]



■ QCDCL

Expansion

[Beyersdorff, Chew, Janota, 14]



■ CEGAR

Interference

[Heule, Seidl, Biere, 14]



■ Preprocessing

- no short proofs for QPARITY and KBKF in Q-Res
- short proofs for KBKF in extensions of Q-Res
- short proofs for QPARITY in \forall -Exp+Res

The Symmetry Rule: Q-Res+S

Let $Q.\varphi$ be a QBF. The Q-Res calculus consists of the following rules:

Resolution Rule (R):

$$C_1 \vee x, C_2 \vee \bar{x} \xrightarrow{R} C_1 \vee C_2$$

$C_1 \vee x, C_2 \vee \bar{x}$ already derived
 x is existential

Universal Reduction (U):

$$D \vee l \xrightarrow{U} D$$

$D \vee l$ already derived
 l is universal
for all existential literals $k \in D: k < l$

Symmetry Rule (S):

$$E, \sigma \xrightarrow{S} \sigma(E)$$

C already derived
 σ is a symmetry

Properties of the Symmetry Rule

■ QBF $\mathcal{Q}.\varphi$ is false \Leftrightarrow

The empty clause can be derived by the rules of Q-Res+S

- Observation 1: if clause C can be derived by $C_1, C_2 \xrightarrow{R} C$, then $\sigma(C)$ can be derived by $\sigma(C_1), \sigma(C_2) \xrightarrow{R} \sigma(C)$
- Observation 2: if clause D can be derived by $D_1 \xrightarrow{U} D$, then $\sigma(D)$ can be derived by $\sigma(D_1) \xrightarrow{U} \sigma(D)$
- Observation 3: if $E \in \varphi$, then $\sigma(E) \in \varphi$

Example $\exists x \forall y. ((x \vee y) \wedge (\bar{x} \vee \bar{y}))$

with symmetry $\sigma(x) = \bar{x}, \sigma(\bar{x}) = x, \sigma(y) = \bar{y}, \sigma(\bar{y}) = y$

$$\begin{array}{l} x \vee y \xrightarrow{U} x \\ x, \sigma \xrightarrow{S} \bar{x} \\ x, \bar{x} \xrightarrow{R} \epsilon \end{array} \quad \text{instead of} \quad \sigma(x \vee y) \xrightarrow{U} \sigma(x)x$$

Properties of the Symmetry Rule

■ QBF $\mathcal{Q}.\varphi$ is false \Leftrightarrow

The empty clause can be derived by the rules of Q-Res+S

- Observation 1: if clause C can be derived by $C_1, C_2 \xrightarrow{R} C$, then $\sigma(C)$ can be derived by $\sigma(C_1), \sigma(C_2) \xrightarrow{R} \sigma(C)$
- Observation 2: if clause D can be derived by $D_1 \xrightarrow{U} D$, then $\sigma(D)$ can be derived by $\sigma(D_1) \xrightarrow{U} \sigma(D)$
- Observation 3: if $E \in \varphi$, then $\sigma(E) \in \varphi$

Example $\exists x \forall y. ((x \vee y) \wedge (\bar{x} \vee \bar{y}))$

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■ shorter proofs!

Example: QPARITY₃

$$\exists x_1 x_2 x_3 \forall a \exists y_2 \dots y_3$$

$$A_2 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{y}_2)$$

$$B_2 = (\bar{x}_1 \vee x_2 \vee y_2)$$

$$C_2 = (x_1 \vee \bar{x}_2 \vee y_2)$$

$$D_2 = (x_1 \vee x_2 \vee \bar{y}_2)$$

$$A_3 = (\bar{y}_2 \vee \bar{x}_3 \vee \bar{y}_3)$$

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$$C_3 = (y_2 \vee \bar{x}_3 \vee y_3)$$

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$$E_1 = (a \vee y_3)$$

$$E_2 = (\bar{a} \vee \bar{y}_3)$$

Symmetries:

$$\sigma_1 = (x_1 \ x_2)(\bar{x}_1 \ \bar{x}_2)$$

$$\sigma_2 = (x_2 \ \bar{x}_2)(a \ \bar{a})(y_2 \ \bar{y}_2)(y_3 \ \bar{y}_3)$$

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$$\exists x_1 x_2 x_3 \forall a \exists y_2 \dots y_3$$

$$a \vee y_3, D_3 \xrightarrow{R} a \vee y_2 \vee x_3$$

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$$\begin{aligned} a \vee y_3, D_3 &\xrightarrow{R} a \vee y_2 \vee x_3 \\ a \vee y_2 \vee x_3, D_2 &\xrightarrow{R} a \vee x_1 \vee x_2 \vee x_3 \\ a \vee x_1 \vee x_2 \vee x_3 &\xrightarrow{U} x_1 \vee x_2 \vee x_3 \\ x_1 \vee x_2 \vee x_3, \sigma_3 &\xrightarrow{S} x_1 \vee x_2 \vee \bar{x}_3 \\ x_1 \vee x_2 \vee x_3, x_1 \vee x_2 \vee \bar{x}_3 &\xrightarrow{R} x_1 \vee x_2 \end{aligned}$$

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$$x_1 \vee x_2 \vee x_3, x_1 \vee x_2 \vee \bar{x}_3 \xrightarrow{R} x_1 \vee x_2$$

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$$B_3 = (\bar{y}_2 \vee x_3 \vee y_3)$$

$$C_3 = (y_2 \vee \bar{x}_3 \vee y_3)$$

$$D_3 = (y_2 \vee x_3 \vee \bar{y}_3)$$

$$E_1 = (a \vee y_3)$$

$$E_2 = (\bar{a} \vee \bar{y}_3)$$

$$a \vee y_3, D_3 \xrightarrow{R} a \vee y_2 \vee x_3$$

$$a \vee y_2 \vee x_3, D_2 \xrightarrow{R} a \vee x_1 \vee x_2 \vee x_3$$

$$a \vee x_1 \vee x_2 \vee x_3 \xrightarrow{U} x_1 \vee x_2 \vee x_3$$

$$x_1 \vee x_2 \vee x_3, \sigma_3 \xrightarrow{S} x_1 \vee x_2 \vee \bar{x}_3$$

$$x_1 \vee x_2 \vee x_3, x_1 \vee x_2 \vee \bar{x}_3 \xrightarrow{R} x_1 \vee x_2$$

$$x_1 \vee x_2, \sigma_2 \xrightarrow{S} x_1 \vee \bar{x}_2$$

$$x_1 \vee x_2, x_1 \vee \bar{x}_2 \xrightarrow{R} x_1$$

Symmetries:

$$\sigma_1 = (x_1 \ x_2)(\bar{x}_1 \ \bar{x}_2)$$

$$\sigma_2 = (x_2 \ \bar{x}_2)(a \ \bar{a})(y_2 \ \bar{y}_2)(y_3 \ \bar{y}_3)$$

$$\sigma_3 = (x_3 \ \bar{x}_3)(a \ \bar{a})(y_3 \ \bar{y}_3)$$

Example: QPARITY₃

$$\exists x_1 x_2 x_3 \forall a \exists y_2 \dots y_3$$

$$A_2 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{y}_2)$$

$$B_2 = (\bar{x}_1 \vee x_2 \vee y_2)$$

$$C_2 = (x_1 \vee \bar{x}_2 \vee y_2)$$

$$D_2 = (x_1 \vee x_2 \vee \bar{y}_2)$$

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$$a \vee x_1 \vee x_2 \vee x_3 \xrightarrow{U} x_1 \vee x_2 \vee x_3$$

$$x_1 \vee x_2 \vee x_3, \sigma_3 \xrightarrow{S} x_1 \vee x_2 \vee \bar{x}_3$$

$$x_1 \vee x_2 \vee x_3, x_1 \vee x_2 \vee \bar{x}_3 \xrightarrow{R} x_1 \vee x_2$$

$$x_1 \vee x_2, \sigma_2 \xrightarrow{S} x_1 \vee \bar{x}_2$$

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Symmetries:

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$$B_3 = (\bar{y}_2 \vee x_3 \vee y_3)$$

$$C_3 = (y_2 \vee \bar{x}_3 \vee y_3)$$

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$$x_1 \vee x_2 \vee x_3, x_1 \vee x_2 \vee \bar{x}_3 \xrightarrow{R} x_1 \vee x_2$$

$$x_1 \vee x_2, \sigma_2 \xrightarrow{S} x_1 \vee \bar{x}_2$$

$$x_1 \vee x_2, x_1 \vee \bar{x}_2 \xrightarrow{R} x_1$$

$$x_1, \sigma_1 \xrightarrow{S} x_2$$

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$$x_1 \vee x_2, \sigma_2 \xrightarrow{S} x_1 \vee \bar{x}_2$$

$$x_1 \vee x_2, x_1 \vee \bar{x}_2 \xrightarrow{R} x_1$$

$$x_1, \sigma_1 \xrightarrow{S} x_2$$

$$x_2, \sigma_2 \xrightarrow{S} \bar{x}_2$$

$$x_2, \bar{x}_2 \xrightarrow{R} \epsilon$$

Symmetries:

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Hindering the Symmetry Rule

For $n \in \mathbb{N}$, the formula KBKF'_n is defined by the prefix

$$\exists x_1 \forall u_1 \exists y_1 \forall a_1 \exists x_2 \forall u_2 \exists y_2 \forall a_2 \dots \exists x_n \forall u_n \forall y_n \forall a_n \exists z_1 \dots z_n$$

and the following clauses:

- $C_1 = (\bar{x}_1 \vee \bar{y}_1)$
- for $j = 1, \dots, n - 1$:
 $C_{2j} = (x_j \vee \bar{a}_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1} \vee u_j)$
 $C_{2j+1} = (y_j \vee a_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1}).$
- $C_{2n} = (x_n \vee \bar{a}_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n \vee u_n),$
 $C_{2n+1} = (y_n \vee a_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n)$
- for $j = 1, \dots, n$:
 $B_{2j-1} = (a_j \vee z_j)$ and $B_{2j} = (\bar{a}_j \vee z_j).$

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■ $C_{2n} = (x_n \vee \bar{a}_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n \vee u_n),$

$$C_{2n+1} = (y_n \vee a_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n)$$

■ for $j = 1, \dots, n$:

$$B_{2j-1} = (a_j \vee z_j) \text{ and } B_{2j} = (\bar{a}_j \vee z_j).$$

$$\sigma_i = (x_i \ y_i)(\bar{x}_i \ \bar{y}_i)(a_i \ \bar{a}_i)$$

are no symmetries

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are no symmetries

\Rightarrow **S** is not applicable

Hindering the Symmetry Rule

For $n \in \mathbb{N}$, the formula KBKF'_n is defined by the prefix

$$\exists x_1 \forall u_1 \exists y_1 \forall a_1 \exists x_2 \forall u_2 \exists y_2 \forall a_2 \dots \exists x_n \forall u_n \forall y_n \forall a_n \exists z_1 \dots z_n$$

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■ for $j = 1, \dots, n - 1$:

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are no symmetries

\Rightarrow **S is not applicable**

\Rightarrow **no short proof in**

Q-Res+S

Conclusion

- Q-Res+S extends classical QBF resolution with the symmetry rule
- Q-Res+S has short proofs on some hard formulas
- S is very sensitive to formula structure

Conclusion

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Future Work

- practical application in solving
- consider independence of variables
- add S to other proof systems than Q-Res