

# THE SYMMETRY RULE FOR QUANTIFIED BOOLEAN FORMULAS



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## Quantified Boolean Formulas

## ■ Syntax

The diagram illustrates the structure of a propositional matrix in Conjunctive Normal Form (CNF). It shows a formula  $\exists x \exists y \forall u \exists z. (\neg u \vee z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z)$  with labels indicating its components:

- literals**: Points to the individual atoms  $\neg u$ ,  $z$ ,  $y$ ,  $u$ ,  $\neg z$ ,  $x$ , and  $\neg u$ .
- clause**: Points to the three clauses  $(\neg u \vee z)$ ,  $(y \vee u \vee \neg z)$ , and  $(x \vee \neg u \vee \neg z)$ .
- prefix**: Points to the existential quantifiers  $\exists x \exists y \forall u \exists z$ .
- propositional matrix in CNF**: Groups the entire formula under the label "propositional matrix in CNF".

# Quantified Boolean Formulas

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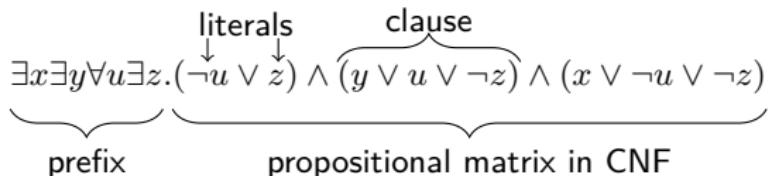
The diagram illustrates the structure of a propositional matrix in Conjunctive Normal Form (CNF). The formula is enclosed in a large brace at the bottom labeled "propositional matrix in CNF". Above the formula, there are three main components: "prefix" (under the first part), "literals" (under the second part), and "clause" (under the third part). The "prefix" part is  $\exists x \exists y \forall u \exists z.$ . The "literals" part is  $(\neg u \vee z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z)$ . The "clause" part is the entire conjunction of literals.

## ■ Semantics

- $\square \forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x]$  and  $Q.\varphi[\neg x]$  true
  - $\square \exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x]$  or  $Q.\varphi[\neg x]$  true

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**Note:** prefix imposes ordering  $x, y < u < z$

## QBF Semantics

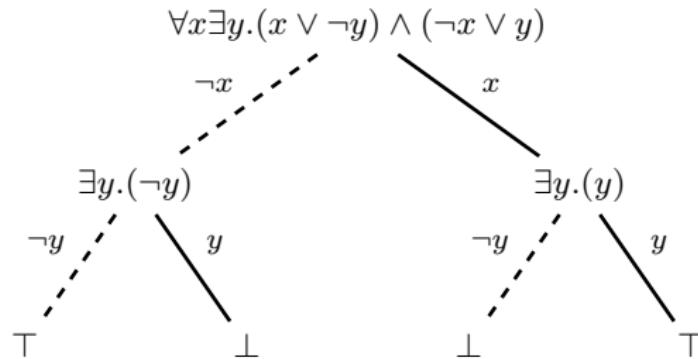
- $\forall x \mathcal{Q}.\varphi$  satisfiable  $\Leftrightarrow \mathcal{Q}.\varphi[x]$  **and**  $\mathcal{Q}.\varphi[\neg x]$  satisfiable

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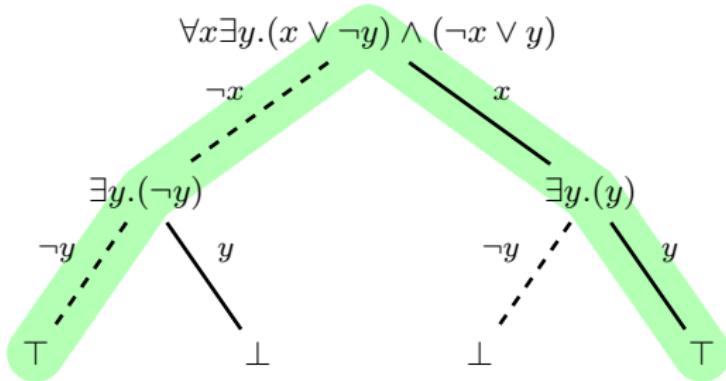
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- Example:



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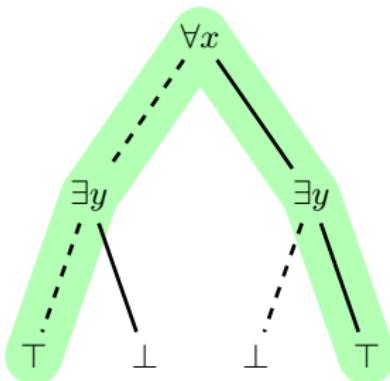
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# QBF Models

Tree model of **true** formula:

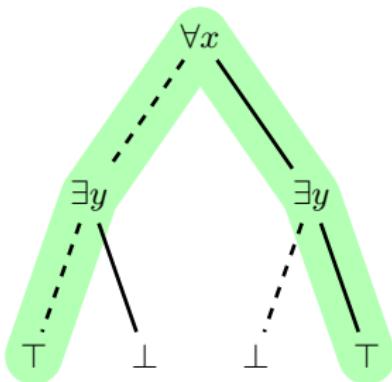
$$\forall x \exists y. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



# QBF Models

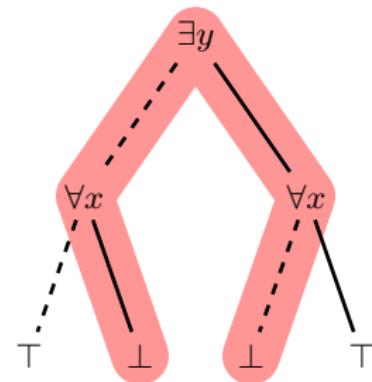
Tree model of **true** formula:

$$\forall x \exists y. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



Tree refutation of **false** formula:

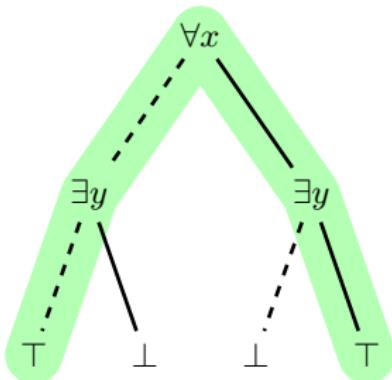
$$\exists y \forall x. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



# QBF Models

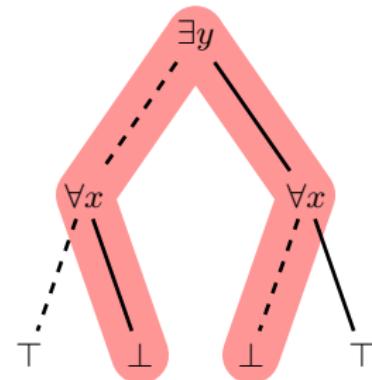
Tree model of **true** formula:

$$\forall x \exists y. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



Tree refutation of **false** formula:

$$\exists y \forall x. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



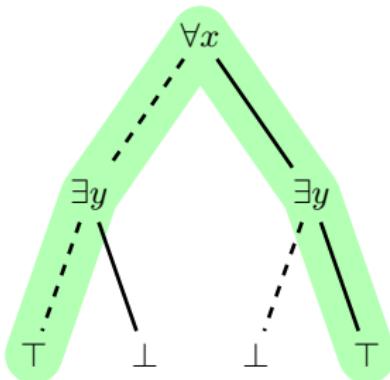
Skolem-functions of  $\exists$ -variables:

$$f_y(x) = x$$

# QBF Models

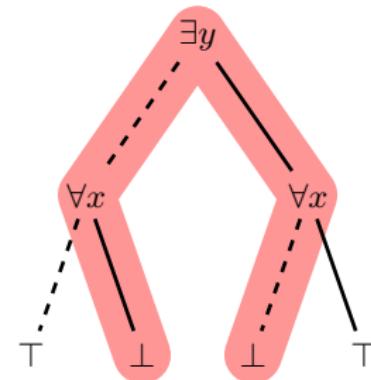
Tree model of **true** formula:

$$\forall x \exists y. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



Tree refutation of **false** formula:

$$\exists y \forall x. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$$



Skolem-functions of  $\exists$ -variables:

$$f_y(x) = x$$

Herbrand-functions of  $\forall$ -variables:

$$f_x(y) = \bar{y}$$

# Symmetries

A **symmetry**  $\sigma$  for a QBF  $Q_1x_1 \dots Q_nx_n.\varphi$  has the following properties:

- $\sigma$  is a bijective function mapping literals of  $\varphi$  to literals of  $\varphi$
- $\overline{\sigma(l)} = \sigma(\bar{l})$
- if applied on all literal occurrences of  $\varphi$ , then  $\varphi = \sigma(\varphi)$
- the variables of  $l, \sigma(l)$  belong to the same quantifier block

## Example

$$\mathcal{Q}.\varphi = \forall x \exists y. ((x \vee y) \wedge (\bar{x} \vee \bar{y}))$$

has the symmetry

$$\sigma(x) = \bar{x}, \sigma(\bar{x}) = x, \sigma(y) = \bar{y}, \sigma(\bar{y}) = y$$

$$\varphi = \sigma(\varphi) = ((\sigma(x) \vee \sigma(y)) \wedge (\sigma(\bar{x}) \vee \sigma(\bar{y}))) = ((\bar{x} \vee \bar{y}) \wedge (x \vee y))$$

## Symmetry Example: KBKF-Formulas

For  $n \in \mathbb{N}$ , the formula  $\text{KBKF}_n$  is defined by the prefix

$$\exists x_1 y_1 \forall a_1 \exists x_2 y_2 \forall a_2 \dots \exists x_n y_n \forall a_n \exists z_1 \dots z_n$$

and the following clauses:

- $C_1 = (\bar{x}_1 \vee \bar{y}_1)$
- for  $j = 1, \dots, n - 1$ :

$$C_{2j} = (x_j \vee \bar{a}_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1})$$

$$C_{2j+1} = (y_j \vee a_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1}).$$

- $C_{2n} = (x_n \vee \bar{a}_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n),$   
 $C_{2n+1} = (y_n \vee a_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n)$
- for  $j = 1, \dots, n$ :  
 $B_{2j-1} = (a_j \vee z_j)$  and  $B_{2j} = (\bar{a}_j \vee z_j).$

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and the following clauses:

- $C_1 = (\bar{x}_1 \vee \bar{y}_1)$

- for  $j = 1, \dots, n - 1$ :

$$C_{2j} = (\textcolor{red}{x}_j \vee \textcolor{green}{\bar{a}}_j \vee \textcolor{teal}{\bar{x}}_{j+1} \vee \textcolor{blue}{\bar{y}}_{j+1})$$

$$C_{2j+1} = (\textcolor{red}{y}_j \vee \textcolor{green}{a}_j \vee \textcolor{teal}{\bar{x}}_{j+1} \vee \textcolor{blue}{\bar{y}}_{j+1}).$$

Symmetry:

- $C_{2n} = (\textcolor{red}{x}_n \vee \textcolor{green}{\bar{a}}_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n),$

$$\sigma_i = (\textcolor{red}{x}_i \textcolor{red}{y}_i) (\textcolor{teal}{\bar{x}}_i \textcolor{blue}{\bar{y}}_i) (\textcolor{green}{a}_i \textcolor{brown}{\bar{a}}_i)$$

$$C_{2n+1} = (\textcolor{red}{y}_n \vee \textcolor{green}{a}_n \vee \bar{z}_1 \vee \dots \vee \bar{z}_n)$$

- for  $j = 1, \dots, n$ :

$$B_{2j-1} = (\textcolor{green}{a}_j \vee z_j) \text{ and } B_{2j} = (\textcolor{green}{\bar{a}}_j \vee z_j).$$

## Symmetry Example: Parity Formulas

For  $n \in \mathbb{N}$  with  $n > 1$ , the formula QPARITY <sub>$n$</sub>  is defined by the prefix

$$\exists x_1 \dots x_n \forall a \exists y_2 \dots y_n$$

and the following clauses:

■  $A_2 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{y}_2)$

$B_2 = (\bar{x}_1 \vee x_2 \vee y_2)$

$C_2 = (x_1 \vee \bar{x}_2 \vee y_2)$

$D_2 = (x_1 \vee x_2 \vee \bar{y}_2)$

■ for  $j = 3, \dots, n$ :

$A_j = (\bar{y}_{j-1} \vee \bar{x}_j \vee \bar{y}_j)$

$B_j = (\bar{y}_{j-1} \vee x_j \vee y_j)$

$C_j = (y_{j-1} \vee \bar{x}_j \vee y_j)$

$D_j = (y_{j-1} \vee x_j \vee \bar{y}_j)$

■  $E_1 = (a \vee y_n)$  and  $E_2 = (\bar{a} \vee \bar{y}_n)$

$A_2 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{y}_2)$

$B_2 = (\bar{x}_1 \vee x_2 \vee y_2)$

$C_2 = (x_1 \vee \bar{x}_2 \vee y_2)$

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$A_3 = (\bar{y}_2 \vee \bar{x}_3 \vee \bar{y}_3)$

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$C_3 = (y_2 \vee \bar{x}_3 \vee y_3)$

$D_3 = (y_2 \vee x_3 \vee \bar{y}_3)$

$E_1 = (a \vee y_3)$

$E_2 = (\bar{a} \vee \bar{y}_3)$

Symmetries:  $\sigma_1 = (x_1 \ x_2)(\bar{x}_1 \ \bar{x}_2)$  and

$\sigma_i = (x_i \ \bar{x}_i)(a \ \bar{a})(y_i \ \bar{y}_i) \dots (y_n \ \bar{y}_n)$  for every  $i = 2, \dots, n$ .

# Resolution for QBF

Let  $\mathcal{Q}.\varphi$  be a QBF. The Q-Res calculus consists of the following rules:

## Resolution Rule (R):

$$C_1 \vee x, \quad C_2 \vee \bar{x} \xrightarrow{\text{R}} C_1 \vee C_2$$

$C_1 \vee x, C_2 \vee \bar{x}$  already derived  
 $x$  is existential

## Universal Reduction (U):

$$D \vee l \xrightarrow{\text{U}} D$$

$D \vee l$  already derived  
 $l$  is universal  
for all existential literals  $k \in D: k < l$

QBF  $\mathcal{Q}.\varphi$  is false  $\Leftrightarrow$  The empty clause can be derived by the rules of Q-Res

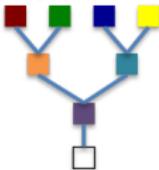
**Example:**  $\exists x \forall y. ((x \vee y) \wedge (\bar{x} \vee \bar{y}))$

$$\begin{aligned} x \vee y &\xrightarrow{\text{U}} x \\ \bar{x} \vee \bar{y} &\xrightarrow{\text{U}} \bar{x} \\ x, \quad \bar{x} &\xrightarrow{\text{R}} \epsilon \end{aligned}$$

## Overview: Solving Approaches for QBF

### Q-Resolution

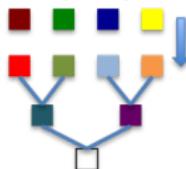
[Kleine Bünning, Karpinski, Flögel, 95]



■ QCDCL

### Expansion

[Beyersdorff, Chew, Janota, 14]



■ CEGAR

### Interference

[Heule, Seidl, Biere, 14]



■ Preprocessing

- no short proofs for QPARITY and KBKF in Q-Res
- short proofs for KBKF in extensions of Q-Res
- short proofs for QPARITY in  $\forall\text{-Exp+Res}$

# The Symmetry Rule: Q-Res+S

Let  $\mathcal{Q}.\varphi$  be a QBF. The Q-Res calculus consists of the following rules:

## Resolution Rule (R):

$$C_1 \vee x, \quad C_2 \vee \bar{x} \xrightarrow{\text{R}} C_1 \vee C_2$$

$C_1 \vee x, C_2 \vee \bar{x}$  already derived  
 $x$  is existential

## Universal Reduction (U):

$$D \vee l \xrightarrow{\text{U}} D$$

$D \vee l$  already derived  
 $l$  is universal  
for all existential literals  $k \in D: k < l$

## Symmetry Rule (S):

$$E, \quad \sigma \xrightarrow{\text{S}} \sigma(E)$$

$E$  already derived  
 $\sigma$  is a symmetry

# Properties of the Symmetry Rule

- QBF  $\mathcal{Q}.\varphi$  is false  $\Leftrightarrow$

The empty clause can be derived by the rules of Q-Res+S

- $\square$  Observation 1: if clause  $C$  can be derived by  $C_1, C_2 \xrightarrow{R} C$ ,  
then  $\sigma(C)$  can be derived by  $\sigma(C_1), \sigma(C_2) \xrightarrow{R} \sigma(C)$
- $\square$  Observation 2: if clause  $D$  can be derived by  $D_1 \xrightarrow{U} D$ , then  $\sigma(D)$  can  
be derived by  $\sigma(D_1) \xrightarrow{U} \sigma(D)$
- $\square$  Observation 3: if  $E \in \varphi$ , then  $\sigma(E) \in \varphi$

**Example**  $\exists x \forall y. ((x \vee y) \wedge (\bar{x} \vee \bar{y}))$

with symmetry  $\sigma(x) = \bar{x}, \sigma(\bar{x}) = x, \sigma(y) = \bar{y}, \sigma(\bar{y}) = yx$

$$\begin{array}{c} x \vee y \xrightarrow{U} x \\ x, \sigma \xrightarrow{S} \bar{x} \quad \text{instead of} \quad \sigma(x \vee y) \xrightarrow{U} \sigma(x)x \\ x, \bar{x} \xrightarrow{R} \epsilon \end{array}$$

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- shorter proofs!

## **Example:** QPARITY<sub>3</sub>

$$\exists x_1 x_2 x_3 \forall a \exists y_2 \dots y_3$$

$$A_2 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{y}_2)$$

$$B_2 = (\bar{x}_1 \vee x_2 \vee y_2)$$

$$C_2 = (x_1 \vee \bar{x}_2 \vee y_2)$$

$$D_2 = (x_1 \vee x_2 \vee \bar{y}_2)$$

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$$D_3 = (y_2 \vee x_3 \vee \bar{y}_3)$$

$$E_1 = (a \vee y_3)$$

$$E_2 = (\bar{a} \vee \bar{y}_3)$$

Symmetries:

$$\sigma_1 = (x_1 \ x_2)(\bar{x}_1 \ \bar{x}_2)$$

$$\sigma_2 = (x_2 \ \bar{x}_2)(a \ \bar{a})(y_2 \ \bar{y}_2)(y_3 \ \bar{y}_3)$$

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## Example: QPARITY<sub>3</sub>

$\exists x_1 x_2 x_3 \forall a \exists y_2 \dots y_3$

$$a \vee y_3, \quad D_3 \xrightarrow{R} a \vee y_2 \vee x_3$$

$$A_2 = (\bar{x}_1 \vee \bar{x}_2 \vee \bar{y}_2)$$

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$$\begin{array}{lcl} a \vee y_3, \quad D_3 & \xrightarrow{\text{R}} & a \vee y_2 \vee x_3 \\ a \vee y_2 \vee x_3, \quad D_2 & \xrightarrow{\text{R}} & a \vee x_1 \vee x_2 \vee x_3 \end{array}$$

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$$\begin{array}{lcl} a \vee y_3, D_3 & \xrightarrow{\text{R}} & a \vee y_2 \vee x_3 \\ a \vee y_2 \vee x_3, D_2 & \xrightarrow{\text{R}} & a \vee x_1 \vee x_2 \vee x_3 \\ a \vee x_1 \vee x_2 \vee x_3 & \xrightarrow{\text{U}} & x_1 \vee x_2 \vee x_3 \end{array}$$

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## Hindering the Symmetry Rule

For  $n \in \mathbb{N}$ , the formula  $\text{KBKF}'_n$  is defined by the prefix

$$\exists x_1 \forall u_1 \exists y_1 \forall a_1 \exists x_2 \forall u_2 \exists y_2 \forall a_2 \dots \exists x_n \forall u_n \forall y_n \forall a_n \exists z_1 \dots z_n$$

and the following clauses:

- $C_1 = (\bar{x}_1 \vee \bar{y}_1)$
- for  $j = 1, \dots, n - 1$ :

$$C_{2j} = (x_j \vee \bar{a}_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1} \vee u_j)$$

$$C_{2j+1} = (y_j \vee a_j \vee \bar{x}_{j+1} \vee \bar{y}_{j+1}).$$

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## Conclusion

- Q-Res+S extends classical QBF resolution with the symmetry rule
- Q-Res+S has short proofs on some hard formulas
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## Future Work

- practical application in solving
- consider independence of variables
- add S to other proof systems than Q-Res