# Formal Analysis of Binarized Deep Neural Networks 

Nina Narodytska

## Outline

1. Motivation
2. Adversarial attacks on Neural Networks
3. Verification of Neural Networks
4. Few observations on properties/networks

## Motivation

## Machine Learning



## Vulnerability of NN



## Function

## Vulnerability of NN



## Image

Function

## Vulnerability of NN



## Image

Function
Output

## Vulnerability of NN



## Vulnerability of NN



## Vulnerability of NN



## Vulnerability of NN



# Adversarial attacks 

[Szegedy et al.] Intriguing properties of neural networks

## Untargeted adversarial examples

Given an input $(X, C)$, an input $X^{\prime}=X+P$ is an untargeted adversarial example iff NN misclassifies $X^{\prime}$ and $P$ is small according to some metric.

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Given an input ( $\mathbf{X}, \mathbf{C}$ ), an input $\mathbf{X}^{\prime}=\mathbf{X}+\mathbf{P}$ is an untargeted adversarial example iff $N N$ misclassifies $X^{\prime}$ and $P$ is small according to some metric.

## Untargeted adversarial examples

Original image

1.Bus
2. ...

## Untargeted adversarial examples


1.Bus
2. ...

## Untargeted adversarial examples


1.Bus
2. ...

## Untargeted adversarial examples


1.Bus

1. Ostrich
2. ...
3. Bus

## Targeted adversarial examples

Given a input $(X, C)$ and a target class $T$, an input $X^{\prime}=X+P$ is an targeted adversarial example iff the top prediction is $T$ and $P$ is small according to some metric.

## Targeted adversarial examples

Given a input (X, C) and a target class $\mathbf{T}$, an input $X^{\prime}=X+P$ is an targeted adversarial example iff the top prediction is $T$ and $P$ is small according to some metric.

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Given a input (X, C) and a target class $\mathbf{T}$, an input $\mathbf{X}^{\prime}=\mathbf{X}+\mathbf{P}$ is an targeted adversarial example iff the top prediction is $T$ and $P$ is small according to some metric.

## Targeted adversarial examples


1.Bus

1. Building
2. ...
3. Bus

Target: Building

## White-box vs Black-box Attacks



## White-box vs Black-box Attacks


[Goodfellow et al., Szegedy et al.]

[Papernot et al., 2016a, 2016b]

Gradient-based methods that generate adversarial images by perturbing the gradients of the loss function w.r.t. the input image

## White-box vs Black-box Attacks


[Goodfellow et al., Szegedy et al.]

Gradient-based methods that generate adversarial images by perturbing the gradients of the loss function w.r.t. the input image


- More realistic and applicable model
- Challenging because of weak adversaries: no knowledge of the network architecture
- Previous attacks require 'transferability' assumption on adversarial examples
- GAN based attacks


## Are NNs reliable to use in safetycritical application?

## Verification of NN



## Verification of NN



## Verification of Neural Networks

## Verification of NN

- Pulina and Tacchella 2010.


## An Abstraction-Refinement Approach to Verification of Artificial Neural Networks.

- Osbert Bastani, Yani loannou, Leonidas Lampropoulos, D. Vytiniotis, Aditya Nori, and A. Criminisi. Measuring neural net robustness with constraints
- Guy Katz, Clark W. Barrett, David L. Dill, Kyle Julian, and Mykel J. Kochenderfer. Reluplex: An efficient SMT solver for verifying deep neural networks.
- Xiaowei Huang, Marta Kwiatkowska, Sen Wang, and Min Wu. Safety verification of deep neural networks
- Svyatoslav Korneev, Nina Narodytska, Luca Pulina, Armando Tacchella, N. Bjorner, and M. Sagiv. Constrained image generation using binarized neural networks with decision procedures.
- Nina Narodytska, Shiva Prasad Kasiviswanathan, Leonid Ryzhyk, Mooly Sagiv, and Toby Walsh. Verifying properties of binarized deep neural networks
- Chih-Hong Cheng, Georg Nuhrenberg, and Harald Ruess.

Maximum resilience of artificial neural networks.

- Chih-Hong Cheng, Georg Nuhrenberg, and Harald Ruess. Verification of binarized neural networks.
- Rudiger Ehlers.

Formal verification of piece-wise linear feed-forward neural networks.

- Matteo Fischetti and Jason Jo.

Deep neural networks as 0-1 mixed integer linear programs: A feasibility study.

- Vincent Tjeng and Russ Tedrake.

Verifying neural networks with mixed integer programming

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Verifying neural networks with mixed integer programming

## Verification of NN

| Core Techniques | Workable Layer Types | Running Time <br> on ACAS Xu | Computational <br> Complexity | Applicable to State- <br> of-the-art Networks? | Maximal No. <br> of Layers in <br> Tested DNNs |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| SHERLOCK | MILP + Local Search | ReLu | No experiment | NP w.r.t. neuron no. | No ( $\sim 6845$ neurons) | 6 |
| Reluplex | SMT + LP | ReLu | $\mathrm{O}\left(10^{\wedge} 4\right)-\mathrm{O}\left(10^{\wedge} 6\right)$ | NP w.r.t. neuron no. | No ( $\sim 300$ neurons $)$ | 6 |
| Planet | SAT + LP | ReLu, maxpooling | $\mathrm{O}\left(10^{\wedge} 3\right)$ | NP w.r.t. neuron no. | No ( $\sim 300$ neurons) | 6 |
| MIP | MIP | ReLu, maxpooling | $\mathrm{O}\left(10^{\wedge} 3\right)$ | NP w.r.t. neuron no. | No ( $\sim 300$ neurons $)$ | 6 |
| BaB | MIP + BaB | ReLu, maxpooling | $\mathrm{O}\left(10^{\wedge} 2\right)$ | NP w.r.t. neuron no. | No ( $\sim 300$ neurons) | 6 |
| DeepGO <br> (this paper) | GO + Lipschitz <br> Continuty | Layer with Lipschitz <br> Continuty (Sigmod, Tanh, <br> max-pooling, ReLu, etc) | $\mathrm{O}\left(10^{\wedge} 2\right)$ | NP w.r.t. changed <br> input dimensions | Yes (millions of | neurons) |

Figure 8: A high-level comparison with state-of-the-art methods: SHERLOCK [10], Reluplex [7], Planet [26], MIP [11, 9] and BaB [12].

IJCAI'18:
Reachability Analysis of Deep Neural Networks with Provable Guarantees
Wenjie Ruan, Xiaowei Huang, Marta Kwiatkowska

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| MIP | MIP | ReLu, maxpooling | O(10^3) | NP w.r.t. neuron no | No (~ 300 neurons) | 6 |
| BaB | $\mathrm{MIP}+\mathrm{BaB}$ | ReLu, maxpooling | $\mathrm{O}\left(10^{\wedge} 2\right)$ | NP w.r.t. neuron no | No (~300 neurons) | 6 |
| DeepGO (this paper) | GO + Lipschitz Continuty | Layer with Lipschitz Continuty (Sigmod, Tanh, max-pooling, ReLu, etc) | O(10^2) | NP w.r.t. changed input dimensions | Yes (millions of neurons) | 19 |

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Wenjie Ruan, Xiaowei Huang, Marta Kwiatkowska

## Neural Networks

## Neural Networks


[Alfredo Canziani, Adam Paszke, Eugenio Culurciello An Analysis of Deep Neural Network Models for Practical Applications]

## Binarized Neural Networks

## Why Binarized Neural Networks

- special class of NN, where most parameters are binary $\{-1,1\}$
- allows fast binary matrix multiplication (7x speed up on a GPU).
- produces smaller size models as most parameters are binary

Binarized neural networks
634 * 2016
I Hubara, M Courbariaux, D Soudry, R El-Yaniv, Y Bengio
Advances in Neural Information Processing Systems, 4107-4115

Advances in neural information processing systems, 3123-3131

## Binarized Building Block



## Binarized Building Block



A block can be encoded as SAT

## Binarized Building Block



## SAT-based approach to adversarial examples

Verifying Properties of Binarized Deep Neural Networks
N.Narodytska, with S. Kasiviswanathan, L. Ryzhyk, M. Sagiv, T. Walsh


Bus


## Boolean encoding



## Step 1

## Step 2

## Boolean encoding



## Step 1

## Step 2

## Block-wise BNN encoding



## Block-wise BNN encoding



## Block-wise BNN encoding

$$
X_{-1 / 1}^{X_{1}}
$$

$$
X_{-1 / 1}
$$

$$
b, g, h \in \mathbb{R}
$$

$A$ is a binary matrix

## Block

## Block-wise BNN encoding



## Block

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## Boolean encoding



Step 1
Step 2

## Boolean encoding



Step 1


## Step 2

## Boolean encoding



Step 1


## Step 2

## Boolean encoding



Step 1


Step 2

## Boolean encoding



Step 1


Step 2

## Boolean encoding

$$
\begin{gathered}
S A T_{N N}(X+P, o) \\
S A T_{A d}(P, b u s, o)
\end{gathered}
$$

## Search procedure

## Search procedure



$$
\operatorname{Init}(P) \wedge S A T_{B}(X+P, y) \wedge S A T_{B}(y, z) \wedge S A T_{B}(z, o) \wedge A d(o)
$$

## Search procedure



$$
\operatorname{Init}(P) \wedge S A T_{B}(X+P, y) \wedge S A T_{B}(y, z) \wedge S A T_{B}(z, o) \wedge A d(o)
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## Search procedure



$$
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$$

## Search procedure



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$$
G(\ldots, y) \cap V(y, \ldots)
$$

## Search procedure



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G(\ldots, y) \cap V(y, \ldots)
$$

Craig interpolants

## Search procedure



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## Search procedure

$$
G(\ldots, y) \wedge \quad V(y, \ldots)
$$

Solve $(G(y)) \quad \xrightarrow{\hat{y}}$

## Search procedure

$$
G(\ldots, y)
$$



## Search procedure

## $G(\ldots, y)$



$$
\begin{aligned}
& \operatorname{Solve}(G(y)) \quad \xrightarrow{\hat{y}} \quad \operatorname{Solve}(V(y), y=\hat{y}) \\
& G(y)=G(y) \wedge \neg I(y) \stackrel{I(y)}{\rightleftarrows} \quad \stackrel{\text { Compute }(I(y))}{\text { returnP }}
\end{aligned}
$$

## Search procedure

## $G(\ldots, y)$



$$
\begin{aligned}
& \text { Solve ( } G(y) \text { ) } \\
& \text { Solve }(V(y), y=\hat{y})
\end{aligned}
$$

## Experiments

vmware

## Experiments

Dataset: MNIST, MNIST-ROT, MNIST-BACK Network: BNN with FC layers
Problem: Untargeted adversarial examples
Encodings: SAT, ILP, CEG-SAT

+ few simplifications, e.g. un-normalized and binarized inputs


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+ few simplifications, e.g. un-normalized and binarized inputs

Vary:

- the value of maximum perturbation $\varepsilon$


## Untargeted adversarial examples

## Input: (4, 4)

## Untargeted adversarial examples

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Goal:

## Untargeted adversarial examples

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Goal:

Adversarial $X^{\prime}=4+P$,

## Untargeted adversarial examples

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Goal:

Adversarial $X^{\prime}=4+P$, $\max \left(P_{1} \ldots P_{n}\right)<\varepsilon$

## Untargeted adversarial examples

Input: (4, 4)
Goal:
Adversarial $X^{\prime}=4+P$, $\max \left(\mathrm{P}_{1} \ldots \mathrm{P}_{\mathrm{n}}\right)<\varepsilon$


## MNIST

|  | Solved instances (out of 200) |  |  |  |  |  |  |  |  | Certifiably $\epsilon$-robust |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | MNIST |  |  | MNIST-rot |  |  | MNIST-back-image |  |  |  |  |  |
|  | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG |
|  | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \# | \# | \# |
| $\epsilon=1$ | 180 (77.3) | 130 (31.5) | 171 (34.1) | 179 (57.4) | 125 (10.9) | 197 (13.5) | 191 (18.3) | 143 (40.8) | 191 (12.8) | 138 | 96 | 138 |
| $\epsilon=3$ | 187 (77.6) | 148 (29.0) | 181 (35.1) | 193 (61.5) | 155 (9.3) | 198 (13.7) | 107 (43.8) | 67 (52.7) | 119 (44.6) | 20 | 5 | 21 |
| $\epsilon=5$ | 191 (79.5) | 165 (29.1) | 188 (36.3) | 196(62.7) | 170(11.3) | 198(13.7) | 104 (48.8) | 70 (53.8) | 116 (47.4) | 3 | - | 4 |

Table 2: Results on MNIST, MNIST-rot and MNIST-back-image datasets.

## MNIST



Table 2: Results on MNIST, MNIST-rot and MNIST-back-image datasets.


## MNIST-ROT

|  | Solved instances (out of 200) |  |  |  |  |  |  |  |  | Certifiably $\epsilon$-robust |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  | MNIST-back-image |  |  |  |  |  |
|  | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG |
|  | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \# | \# | \# |
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Table 2: Results onivinisi, initit-iot andiMNIST-back-image datasets.


## MNIST-BACK

|  | Solved instances (out of 200) |  |  |  |  |  | MNIST-back-imag |  |  | Certifiably $\epsilon$-robust |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | MNIST |  |  | MNIST-rot |  |  |  |  |  |  |  |  |
|  | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG |
|  | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \#solved (t) | \# | \# | \# |
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Table 2: Results on MNIST, MNIST-rot and MNIST-back-image datasets.


## MNIST-BACK

|  | Solved instances (out of 200) |  |  |  |  |  |  |  |  | Certifiably $\epsilon$-robust |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | MNIST |  |  | MNIST-rot |  |  | MNIST-back-ima e |  |  |  |  |  |
|  | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG | SAT | ILP | CEG |
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Table 2: Results on MNIST, MNIST-rot and MNIST-back-image datasets.

vmware

# Few observations on properties 

## Few observations on properties

- Most papers focus on robustness property


## Few observations on properties

- Most papers focus on robustness property
- Network equivalence
- Invertibility of the network


## Why robustness property?

$$
\begin{aligned}
& y_{1}=1 \times \max \left(0,10 \times x_{1}+x_{2}\right) \\
& y_{2}=2 \times \max \left(0,-5 \times x_{1}+x_{2}\right)
\end{aligned}
$$

$$
\begin{aligned}
& x_{1} \in[0.9,1] \\
& x_{2} \in[-1,1] \\
& y_{i}>1, i=1,2
\end{aligned}
$$

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& \begin{array}{l}
x_{1} \in[0.9,1] \\
\\
x_{2} \in[-1,1] \\
\\
y_{i}>1, i=1,2
\end{array}
\end{aligned}
$$

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y_{1}=1 \times \max \left(0,10 \times x_{1}+x_{2}\right)
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$$

## Few observations on properties

- Most papers focus on robustness property
- Network equivalence
- Invertibility of the network


## Few observations on networks

## Few observations on networks

- Most papers focus on classification problems
- Generative adversarial networks
- Reinforcement learning


## Summary

## Summary

- Scalability remains the main issue
- We need to look beyond robustness


## Verification of Neural Networks is an emerging exciting area!

## Thanks!

## High-level structure



Linear transform Non-linear transform

## High-level structure



## Network formula

$$
\begin{aligned}
& y_{1}=c_{1} \operatorname{Relu}\left(a_{1,1} x_{1}+a_{1,2} x_{2}\right) \\
& y_{2}=c_{2} \operatorname{Relu}\left(a_{2,1} x_{1}+a_{2,2} x_{2}\right)
\end{aligned}
$$

## Decision (robustness) problem

$$
\begin{aligned}
y_{1}= & c_{1} \operatorname{Rel} u\left(a_{1,1} x_{1}+a_{1,2} x_{2}\right) \\
y_{2}= & c_{2} \operatorname{Relu}\left(a_{2,1} x_{1}+a_{2,2} x_{2}\right) \\
& x_{i} \in\left[w_{1}, w_{2}\right], i=1,2 \\
& y_{i}>q, i=1,2
\end{aligned}
$$

